Compendium of Institutional Policies



Universidad Central del Caribe



Deanship of Admissions and Student Affairs

July 10, 2023

Esteemed Student:

ENHORABUENA!!!! We welcome you to the Universidad Central del Caribe. We have included a compilation of institutional policies of general interest to the student body. These aim to guarantee a healthy cohabitation and positive learning environment in our university community and will also contribute to achieving your academic goals and objectives.

We want you to know these policies and norms and where to go when you have questions or formation about them. Among others, we have included policies about the general rules and regulations governing student life, policies shared by employees and students of the UCC, and the norms for the healthy cohabitation and conservation of our common spaces.

By understanding that all UCC students must have a broad proficiency in the English and Spanish language spoken and written, these policies are presented in both languages. In the event one of these policies is revised and updated during your tenure periods at the UCC, you will be informed. However, you must know that it is part of your student's responsibility to request information and keep yourself updated about the policies applicable to you.

Please, **read this material carefully to know your rights and duties.** In addition, you will know where to go when you need clarification about the contents of this compilation. *MUCHO ÉXITO!*

Respectfully,

José Luis Oliver-Sostre, DMD, MHS Dean of Admissions & Student Affairs

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Student Life

GENERAL STUDENT RULES AND REGULATIONS

Chapter I

Introduction

The mission goal of the Universidad Central del Caribe (UCC) is to prepare high-quality and devoted health professionals and biomedical scientists to meet the health needs of the community in its biological, physical, and social context with a humanistic focus and a high sense of moral obligation. Our health professionals will serve humanity with dedication and compassion, with the highest ethical and moral standards. The Institution is committed to providing a healthy, intellectual, and positive environment for all students in the classroom, clinics, laboratories, and throughout the Institution. These General Rules and Regulations contribute toward UCC's objectives. They are designed to provide the student with general guidelines detailing institutional policies, procedures, and programs.

Chapter II

Student Rights and Duties

ARTICLE 1 - PREAMBLE

The fundamental right of the university student is the right to an education.

To this end, there is the right to freedom of thought and expression of opinions, subject only to the necessity for harmonious coexistence and respect among students, faculty, and administration.

Coexistence comprises the whole of all the bodies mentioned above, as well as all possible relationships and experiences among fellow students, faculty, and administration. It also includes relations with the members of the surrounding community and affiliates institutions.

The student shall exercise the right to educate him/herself, without infringing on the rights and responsibilities of the other members of the academic community.

ARTICLE 2 - RIGHTS AND DUTIES IN ACADEMIC LIFE

A. General

Intellectual purity and integrity should be a guiding force behind the search for knowledge.

The professor will foster creative dialogue, and an environment open to freedom of discussion, expression, and research. The student may present thought-out objections to the information and points of view presented by the professor when deemed necessary, always considering maintaining a framework of respect and professionalism.

The right to disagree with the professor will not relieve the student from fulfilling the requirements of his/her course of study. Nor will it relieve him/her from acting in a respectful and considerate manner or from considering the professor's rights.

Both students and professors will intellectually examine every academic issue under study.

Neither professor nor student shall use the classroom to present political, sectarian, religious, or any other doctrine not about academia.

Punctual attendance to classes, laboratories, clinics, or any other activities is compulsory and is the responsibility of each student. Student **valid absences** from class because of attendance at official university meetings, illness, death, or severe illness within the student's family: including the student's parents, spouse, children, grandparents, or siblings, court or judicial citations, and military leave will be given special attention by professors. Should the need arise, absences for other reasons shall be discussed with professors and concerned directors.

Grades shall be based on academic achievement and student fulfillment of all course requirements. Personal opinions and conduct not related to academia shall not affect any student grade or evaluation.

If a student feels that any faculty member or administration has violated his/her rights, s/he may appeal before the pertinent university authorities. The student may exercise this right personally or through the Student Council, taking his/her complaint to one of the following: the Dean or Director of the academic program or unit, the Department Director, or his/her delegate. A written copy of the complaint shall be given to the Dean of Admissions and Student Affairs (DASA). If deemed necessary, the student may appeal to the University President and finally to the Board of Trustees.

B. Student-Professor Relationships

This relationship is based on good faith and mutual respect among professors, students, and administrators.

Personal beliefs expressed by the student in the classroom or in private shall be considered a privileged matter and will not be divulged by the professor to a third party. Actions and expressions which are clearly in violation of university regulations, laws of the United States, or the Commonwealth of Puerto Rico, are excluded from this privileged classification.

The professor may, however, emit an opinion or observation about any student's belief, provided that this opinion or observation does not violate the student's rights.

The student may meet with the professor during hours set aside for this purpose, to ask for academic guidance and/or clear up any doubts he/she may have concerned coursework or any other matter.

C. Student Records

Student academic and disciplinary records are confidential documents and shall be kept separate from other documentation.

These records shall not contain religious or any other student beliefs.

Student academic records shall contain only information related to the student's academic status.

Information containing disciplinary matters shall be made available only to those authorized by the University. Any other person who requests said documents must have the student's permission or judicial order.

Any student may examine his/her disciplinary record in the presence of a UCC official if s/he: submits a written request to the DASA, his/her delegate, concerning unit, or sub-unit. Said request shall be made within 5 workdays of advanced notice.

The faculty and administration shall respect the confidentiality of the above-mentioned records.

D. Examinations

Each student has the right to know his/her grades on any examination or quiz within a reasonable period, preferably within 10 business days of their administration.

He/she also has the right to scrutinize these examinations and term papers, corrected and graded within a 10-day calendar period after the grade is posted or informed.

ARTICLE 3 - DEPARTMENTAL CO-CURRICULAR ACTIVITIES OR THOSE CARRIED OUT IN OTHER ACADEMIC UNITS

A. Types of Activities Permitted

Each student has the right to express him/herself freely, meet and associate with other people or groups, to sponsor and hold authorized activities provided that they do not conflict with other

authorized activities and that they meet the following conditions:

- 1. A written request for permission to hold activities at any facilities of UCC shall be made according to the regulations specified further in these regulations.
- 2. Permission to hold professional, cultural, recreational, social, athletic, religious, and political activities shall be granted if they do not: conflict with other authorized activities, interrupt institutional functions, violate institutional regulations, and/or that do not disturb the order, safety, and general well-being of the Institution.
- 3. All political activities shall be permitted, provided they present all political views within an equal amount of time. They must also meet the requirements as stipulated in Article 3-A 2. Participants in any debate, forum, panel, etc., may not include teaching, non-teaching staff, or any other employees of UCC.
- 4. All persons invited to UCC to present conferences, talks, workshops, etc., or to participate in panels, etc., must fulfill the requirements outlined in Article 3-B 6.

B. Rules and Procedures Governing Departmental, Program, or Unit Co-Curricular Activities

- 1. All recognized organizations have the right to hold educational, cultural, recreational, social, athletic, religious, and political activities at UCC, provided they meet the criteria established in Article 3-A 2.
- 2. To use any UCC facility to hold any co-curricular activity, representatives of the student organization must file a written request to the DASA, the Dean of Administration (DA), or the corresponding delegate. This petition must be submitted 10 working days in advance of the activity. The sponsors of any such activity will be responsible for fulfilling all UCC regulations and will take appropriate measures to ensure order and safety. Denial or authorization of any request will be communicated in writing. If said activity is authorized, the time, date, and place will be stipulated on the permit, and the room to be used separated by the relevant unit. The petitioning student organization will secure the reply to the request in the office where it was submitted. In cases of denial, the petitioner has the right to request a reconsideration within five working days to the President of the University, who will have five working days to decide. The decision of the President will be considered final, and no further action will be permitted concerning this matter.
- 3. Approved activities shall be included in the calendar of activities of the corresponding Department, Program, or Unit.
- 4. To use any UCC facility to hold any protests, demonstrations, or manifestations, representatives of the student organization must file a written request to the DASA and the DA, or their delegates. Thus, a petition must be submitted at least seven days before the activity. The sponsors of any such activity will be responsible for fulfilling all UCC regulations and will take appropriate measures to ensure order and safety. Denial or authorization of any request will be communicated in writing. If said activity is authorized, the time, date, and place will be stipulated on the permit. The petitioning student organization will secure the response to the request in the office where it was submitted. In cases of denial, the petitioner has the right to request a reconsideration within three working days to the President of the University, who will have two working days to decide. The decision of the President will be considered final, and no further action will be made concerning this matter.
- 5. The activities mentioned above shall be carried out in a quiet, peaceful, and orderly fashion.
- 6. Any request to invite a guest speaker from outside UCC shall be considered if the petition is made by an authorized student organization or by five percent of the regular student body. Regulations of Article 3-A shall apply. The written request must be submitted within 15 working days of the proposed activity to the DASA or his/her delegate. Said request must contain the following information: the name of the sponsoring student organization, the place where the activity is to be held, the proposed date, the approximate number of people to attend the event, the length of time of the activity, and the intended topic. No invitations shall be offered until proper authorization is granted.

- 7. Any such authorization will be granted in writing. Any authorization may include special clauses concerning the activity. The petitioning student organization will secure the response to the request in the office where it was submitted.
- 8. In cases of denial, the petitioner has the right to request a reconsideration within five working days to the President of the University, who will then have five working days to decide. The decision of the President will be considered final, and no further action will be made concerning this matter.

C. Regulations Concerning Activities Held Outside UCC

- 1. Any recognized student organization that wishes to sponsor an off-campus activity using the name of the Universidad Central del Caribe, must submit a written request within 15 working days of the event. This request shall be submitted to the DASA or his/her delegate.
- Officially recognized student organizations must coordinate these activities with the DASA or his/her delegate.
- 3. The concerned student organization will be responsible for holding the activity in the appropriate place, for assuring that no damage is done to the reputation of the Institution, and for guaranteeing that the Institution is not liable for any legal action.

ARTICLE 4 - PUBLICATIONS

- A. The University administration will not participate in the direction, advising, or any other aspect of preparing student publications. The preparation of student publications is the sole responsibility of each student.
- B. The DASA or his/her delegate must give prior permission for the distribution and/or placement of fliers, hand-outs, or posters on the UCC campus. In cases of denial, the petitioner has the right to request a reconsideration within three working days to the President of the University, who will then render his decision. The decision of the President will be considered final, and no further action will be made concerning this matter.

ARTICLE 5 - STUDENT ORGANIZATIONS

- A. Any group of students from UCC has the right to constitute a student organization and be officially recognized by the Institution. Any such organization may be of an academic, professional, cultural, recreational, social, athletic, or religious nature. No student organization involved in partisan politics shall be considered for recognition. No student organization, which promotes discrimination by sex, race, national origin, political affiliation, religion, or socioeconomic status, will be authorized.
- B. An Accreditation Committee composed of UCC student organizations will be created. This Committee shall be made up of
 - 1. A president who will be a faculty member designated by the President of the University.
 - 2. A faculty member named by the Committee President with the recommendation of the DASA.
 - 3. A student selected by the Committee President with the recommendation of the DASA.
 - 4. A member of the UCC Administration.
 - 5. The DASA will be an ex-officio member.
- C. The Accreditation Committee will grant official recognition to all student organizations that fulfill the requirements as set out in Articles 5-A-G and H.
- D. Procedure to request an accreditation
 - Any student organization, which wishes official accreditation, must submit the following information:

- a. Copy of the by-laws and/or constitution of the organization. This document must contain the organization's statement of purpose, requirements for membership, a list of officers, mentor or mentors name, and rules and procedures.
- b. List of organizing members.
- E. The Accreditation Committee will render its written decision within 30 working days. In cases of denial, the petitioning organization has the right to request a reconsideration within 15 working days to the President of the University, who will render his decision within 15 working days. If the President upholds the decision of the Accreditation Committee, the petitioning organization may appeal to the Board of Trustees within 15 working days. The Board of Trustees will then have 15 working days to revoke or confirm the decision of the President of the University.

F. Revocation of accreditation

- 1. The Accreditation Committee has the right to revoke the accreditation of any student organization which does not meet the required guidelines for accreditation.
- 2. If the Accreditation Committee should rescind the accreditation of any student organization, the organization has the right to request reconsideration within 15 working days to the President of the University, who will decide within 15 working days. If the President upholds the decision of the Accreditation Committee, the petitioning organization may submit an appeal to the Board of Trustees within 15 working days. The Board of Trustees will then have 15 working days to revoke or confirm the decision of the President of the University.
- G. Rules and regulations concerning authorized student organizations.
 - 1. Each student organization must submit to the DASA or his/her delegate a list of the organization's members and its leaders. This list must be submitted within one *week* of electing the board.
 - 2. Any change to the above-mentioned list must be submitted to the DASA or his/her designee before the last day of the month in which these changes occurred.
 - 3. Each student organization must submit with the documentation the name or names of the mentor/s of the organization. It must be a professional with an academic rank from UCC and if is from the community it is required to have another one at the institution.
 - 4. Student organizations shall be accredited for one academic year. Upon request, this accreditation will be renewed if the organization has abided by all guidelines as established in these rules and regulations.
 - 5. Membership in any student organization will not be denied for reasons of race, sex, nationality, socioeconomic position, political affiliation, or religion.
 - 6. Acceptance or denial of admission into any student organization shall be determined by the popular vote of the majority of the organization's members. The only exception to this regulation is the case of honorary organizations where membership is based on academic and/or community achievements and/or extraordinary contributions to the student's field of specific discipline (ie. Alpha Omega Alpha and Gold Humanism Honor Society).
 - 7. Student organizations may not be affiliated with any political group or association.
 - 8. Each student organization will sign a document with the DASA or delegate affirming its commitment to uphold established norms.
 - 9. Any student who feels discriminated against by a student organization may take his/her grievance to the DASA or his/her designee for appropriate action.
 - 10. Recognized student organizations have the right to use University facilities. However, they are held responsible for any actions of their members.

- H. All recognized student organizations must submit an activity plan for each academic year to the DASA or delegate for his/her approval. This plan must be submitted no later than 30 work days after the start of the academic year. In addition to the above-mentioned rules and regulations, fraternities and sororities must also adhere to the following:
 - 1. The date of initiation for new members must be coordinated with the DASA or his/her designee.
 - 2. Each fraternity and sorority shall submit a list of all initiation candidates to the DASA no later than 30 working days before *Initiation Week*.
 - 3. Initiation ceremonies will not include physical, mental, or moral harm to the candidate.
 - 4. Acceptance or denial of admission to any fraternity or sorority will be determined by a secret ballot of the majority of its members. Unanimous agreement of all members concerning the admission of any candidate is prohibited. This practice may lead to the repeal of the organization's accreditation.

Chapter III

Student Councils

Each year, UCC students elect their student representatives to the General Student Council and the Program or Class Student Government.

ARTICLE 6 - REQUIREMENTS FOR STUDENT COUNCILS AND PRESIDENT

Students must meet the following conditions to be members of the Councils\government be registered for a minimum of 12 credits per semester, have a minimum grade point average (GPA) of 2.50, and not be repeating the academic year because of academic deficiencies.

The General Student Council is the principal representative organism of the student body. It is the official forum of the student body and has as its mission to contribute to the educational goals of the University, the positive learning environment, and to look after the rights of all UCC students.

A. Composition

The General Student Council is composed of its president and the presidents and one representative of each Program or Class government. If one of the classes contains more than one group each group will have at least one representative.

B. Board of Directors

The General Student Council shall elect its Board of Directors from among its members. The president, however, shall be elected by all UCC regular students.

Non-regular students or those who hold administrative, or teaching positions cannot belong to any student councils; nor can they hold any position that represents the student body in any way.

C. Time frame for the establishment of the General Student Council

The General Student Council must be established during the first 45 days of the first academic semester. It shall carry out its duties for one year or until its successor has been elected.

D. Lections for President

The outgoing General Student Council, together with the DASA or his/her delegate, will publish notices announcing a call for nominations for President of the General Student Council. This will be done within 30 days of the initiation of classes.

These nominations are valid for two weeks, after which the nomination period will be closed. A call for student elections for the General Student Council will then be made to the student body, with a minimum of seven days advance notice.

E. Internal by-laws

The General Student Council will draft and approve its by-laws.

F. Rights and jurisdiction

- 1. To officially represent the student body.
- 2. To point out and offer possible solutions to any problem which may affect the student body.
- 3. To act as a student forum to deal with issues that affect the student body.
- 4. To work in coordination with other institutional units to offer solutions to student-related problems and/or issues.
- 5. In collaboration with the DASA or his/her delegate, carry out cultural, social, scientific, and other types of activities that complement university education.
- 6. To create a positive and intellectual environment that stimulates optimum student development.
- 7. To select student representatives from among the distinct student organizations and committees.

ARTICLE 8 - STUDENT PROGRAM COUNCILS

Student life is carried out concerning one's classmates and faculty members. Within this framework, various student organizations from diverse programs and student classes will be established.

A. Composition

Each program or class of UCC will establish Student Government. In programs that contain more than one class, each class will have a right to representation. Those programs which offer programs in which the course work is more than two years should have a Council for each class. If the class is divided into groups, each group should have representation.

The Board of Directors of the General Student Council shall be composed of a minimum of a president, a vice-president, a secretary, a treasurer, and two members.

B. Selection procedure

The Program Student Government should be established within the first 30 days of the first semester of each academic year. They will have a term of one year or until their successors are elected and duly certified by the DASA.

Within 30 calendar days of the beginning of the academic year, the outgoing Program or Class Government, in conjunction with the DASA or his/her delegate will call for a student assembly in which nominations for student representatives on both the Government of the particular program or class, as well as on the General Student Council. The call for this student assembly shall be made at least seven calendar days in advance of the assembly. The quorum shall consist of 50% plus one of the total numbers of regular students of the particular program or class.

Nominations shall be open. Voting shall be done by secret ballot. The elected representatives will immediately occupy their corresponding posts.

C. Duties and jurisdiction

- a. To help develop, keep, and strengthen an intellectual climate that will lead to better development of the student's talent.
- b. To work towards the establishment of channels of effective communication between all members of the particular program.
- c. To communicate any academic, administrative, or guidance problem that the students may have and to offer possible solutions.
- d. To stimulate the taking place of activities such as forums, discussions, conferences, social gatherings, and recreational activities.
- e. To offer guidance in all matters to those students who may need it.
- f. To contribute to the integral operation of the University as a whole, and of all the programs in general.

D. Internal By-Laws

The Student Government and the Class Boards of Directors of each program will write their by-laws, in harmony with the rules and regulations established in this document.

ARTICLE 9 -STUDENT REPRESENTATION IN FACULTY MEETINGS

- A. There will be student representation with voice and vote in each academic faculty meeting for each program. This representation will be equal to 10% of the faculty members eligible to vote, but there shall not be less than two student representatives in attendance.
 - 1. The election of the student representatives will be decided by the students who belong to that particular program.
- B. There will be a student representative on each faculty committee except for those committees dealing with faculty affairs, student admissions, and student evaluations and promotions.

The election of the student representatives will be decided by the students who belong to that particular program. ARTICLE 10 - REGULAR AND SPECIAL ASSEMBLIES

A. Regular Assemblies

- 1. Two regular student assemblies can be programmed each academic year: one in the first semester and another in the second semester of the academic year.
- 2. The notice for regular student assemblies shall be made no less than 15 calendar days before the assembly. The notice should include the agenda to be followed.
- 3. The General Student Council will determine the date, time, and place of the assembly.

E. Special Assemblies

- a. The President of the General Student Council will call for a special assembly as s/he deems necessary.
- b. The President of the General Student Council will also call for a special assembly if 10% of UCC regular students request it.
- c. The notice for special student assemblies shall be made by the President of the General Student Council no less than 72 hours before the assembly.
- d. The notice should include the agenda to be followed.

F. Quorum

a. In both regular and special student assemblies, 50% plus one of the regular UCC student body shall constitute a quorum.

Chapter IV

STUDENT PUBLICATIONS

ARTICLE 11 - DISTRIBUTION OF STUDENT PUBLICATIONS

Students may edit publications that they may freely distribute on campus. However, their distribution is prohibited in study areas such as classroom laboratories, libraries, reading rooms, amphitheaters, and clinical practice areas.

ARTICLE 12 - PUBLICATION REQUIREMENTS

- A. For student publications to be officially recognized, they must adhere to the following regulations:
 - 1. Fulfill the requirements of other student organizations.
 - 2. Fulfill the publication norms set forth by the Publications Committee.
 - 3. The names of the editors and contributors of all student publications must appear in the publication.
 - 4. Announcements, posters, charts, banners, etc., will be placed only in officially designated areas (outlined further) and will be in harmony with the regulations concerning this matter established by the Office of the Dean for Admissions and Student Affairs (ODASA),
 - a. open spaces
 - b. bulletin boards of the General Student Council and those of the program government

ARTICLE 13 - PUBLICATIONS COMMITTEE

- A. It is the responsibility of the ODASA to form a Publications Committee. This Committee shall be composed of one student from each program (named by the General Student Council) and three faculty members named by the University faculty.
- B. The Publications Committee will inform the DASA of the norms which will prevail concerning student publications. It will also advise the DASA concerning student publications.

ARTICLE 14

The DASA is responsible for assuring that all student publications satisfy the required regulations for publication. S/he will also recommend to the Board of Trustees any sanctions as a result of violations of these regulations.

Chapter V

STANDARDS OF STUDENT CONDUCT AND ETHICS

ARTICLE 15 - PURPOSE

- A. To recognize and guarantee the right to dissent and protest in an orderly fashion, following the norms established by the University. Under no circumstance should any protest or dissent disrupt normal University activities.
- B. The disciplinary system set in place at UCC proposes to:
 - 1. Advise the student of the consequences of his/her actions.
 - 2. Advise the student of his/her responsibilities to the University and the Puerto Rican community.

3. Guarantee institutional order.

To the objectives mentioned above, the disciplinary system at UCC shall be in the hands of the University.

ARTICLE 16 - INFRACTIONS

- A. The following actions constitute infractions of institutional disciplinary standards and will lead to sanctions:
 - 1. Violations of the General Student Rules and Regulations or other institutional rules and regulations.
 - 2. Lack of honesty in academia or any action leading to it.
 - 3. Alteration or forgery of grades, records, identification cards, or any other official documents.
 - 4. Committing any obscene or lascivious act.
 - 5. Disturbance of the peace or participation in acts that may incite violence at any official UCC activity, either on- or off-campus.
 - 6. Interruption of any UCC activity or holding non-authorized activities. This norm applies to both on- and off-campus activities.
 - 7. Causing malicious damage to the property of another person or group.
 - 8. Publication or distribution, either on- or off-campus, of slanderous, libelous, obscene, or defamatory material.
 - 9. Assumption of authority without the previous authorization of the University Student Council or any other recognized student organization.
 - 10. Causing malicious damage to any University property or defaming any University symbol.
 - 11. Committing any act which constitutes a punishable offense under the laws of the Commonwealth of Puerto Rico.
 - 12. Possession of any firearm without authorization of the University.
 - 13. Possession or selling of any controlled substance as outlined in Chapter 2 of the Controlled Substance Law of Puerto Rico, Number 4 of June 23, 1971, as amended.
 - 14. The use of force or violence against any person or persons on the grounds of the UCC campus.
 - 15. The illegal appropriation of property belonging to the University or another person or group.

ARTICLE 17 - PENALTIES

- A. Violation of the rules mentioned above may cause the following measures to be taken:
 - 1. Reprimand
 - 2. Probation
 - 3. Restitution for damaged University property or its illegal appropriation.
 - 4. Prohibition from the use of university facilities for a determined period.
 - 5. Imposition of monetary fines.
 - 6. Suspension from participation in certain University activities and pro-trams.
 - 7. Prohibition from entrance to the University for a determined period.
 - 8. Suspension from the University for a determined period.
 - 9. Expulsion from the University.

ARTICLE 18 - DISCIPLINARY PROCEDURES

- A. Pertinent administrative authorities will oversee any penalty which involves a suspension of 30 days or less. Said authorities will give the student ample opportunity to defend him/herself. Repeated violations will incur the imposition of more drastic measures.
- B. In cases of any penalty which involves a suspension of more than 30 days, or expulsion from the University, the student will receive written notice of the charges against him/her. In addition, the student will have the opportunity for an administrative hearing which s/he may attend with the counsel of his/her choice. The student will be able to testify on his/her behalf and present evidence.

ARTICLE 19 - DISCIPLINARY TRIBUNAL

- A. A Disciplinary Tribunal will be created yearly or as necessary, as outlined in Article 18-B of these Rules and Regulations.
 - 1. The Disciplinary Tribunal shall be composed of the following:
 - a. A member of the University personnel named by the DASA or his/her delegate.
 - b. Two professors, selected by the faculty.
 - c. Two students, elected by direct student vote, following the norms as outlined in Article 7-C, Chapter III, of this document.
- B. The President may designate members to the Disciplinary Tribunal in case of vacancies.

ARTICLE 20 - HEARINGS BEFORE THE DISCIPLINARY TRIBUNAL

- A. In all hearings before this body, the Tribunal shall adhere to the rules and regulations herein stipulated.
- B. Authority and Rights
 - 1. The Disciplinary Tribunal shall have jurisdiction over all infractions outlined in Article 16, Chapter V, of these Rules and Regulations.
 - 2. The Disciplinary Tribunal shall investigate all cases brought to it by university authorities to determine if the charges have merit.
 - 3. A formal hearing will be held if the Tribunal decides to press charges.

C. Procedures for Disciplinary Sanctions

- 1. The professor or professors who know about the allegations shall render a written complaint against the student to the Dean of the School or the Program Director.
- 2. The Dean and/or Program Director shall determine if the allegation has merit. If found to have merit, a request to press charges will be filed.
- 3. The Disciplinary Tribunal will conduct a thorough investigation of the charges, notify the student of said charges, and call for a hearing within 30days.
- D. Procedure Before the Disciplinary Tribunal
 - 1. The Dean and/or Program Director, who has pressed charges, will present pertinent evidence.
 - 2. The student will have the right to legal counsel and may present evidence on his/her behalf.
 - 3. After hearing all testimony, the Disciplinary Tribunal will have 30 days to render its recommendations to the DASA. The DASA will then inform the President of the University and the student of its recommendations. The DASA will notify the student by certified mail.
 - 4. The student may appeal this decision to the President of the University within 15 days. The student may also appeal to the Board of Trustees of the University within the same time frame.

5. The University Board of Trustees will study the evidence presented and render a decision. The decision of the University Board of Trustees shall be considered final.

ARTICLE 21 - SUMMARY SUSPENSIONS

- A. The President of UCC or his designate may suspend any student for disciplinary reasons. The following procedures will be adhered to:
 - 1. The President or his designate may suspend any student without a preliminary hearing in extreme circumstances that require immediate action.
 - 2. Any summary suspension will take effect upon notification to the student.
 - 3. Should a preliminary hearing be held after a summary suspension, the findings shall be notified to the President within 72 hours. The President will then take appropriate measures to resolve the situation.
 - 4. All summary suspensions will remain in effect until the situation is resolved, under Articles 18 and 19 of these Rules and Regulations. Any determination must be made within 30 days of the summary suspension.

Chapter VI

GENERAL DISPOSITIONS

ARTICLE 22 - GENERAL CLAUSES

- A. The President of the University, the Deans, and the Directors of the University, are responsible for carrying out these Rules and Regulations.
- B. It is the responsibility of all UCC students to know the content of these Rules and Regulations. Ignorance of them is not an acceptable defense.
- C. The UCC has the right to discontinue any course or program when its discontinuation is justified by economic, academic, or any other means.
- D. Should the University need to communicate with a student regarding any matter, a notification will be sent by registered and certified mail to the student's address as it appears in the student's academic record. The sending of the notification shall relieve the University of its responsibility. It is the student's responsibility to keep his/her records constantly updated.
- E. These regulations and all other University rules and regulations, shall form a binding contract between the student and the University.

ARTICLE 23 - PROCEDURES TO AMEND THESE RULES AND REGULATIONS

- A. The General Student Council can recommend amendments to these Rules and Regulations through the President of the University.
- B. Any student with a petition signed by at least 100 regular students may recommend an amendment to this document through the President of the University.

ARTICLE 24 - EMERGENCY SITUATION

A. The University Board of Trustees has the right to partially or completely amend, substitute, or revoke these Rules and Regulations at any time.

- B. The President of the University is authorized to suspend these Rules and Regulations when an emergency is declared partially or completely. Any suspension shall be for no more than 30 days or until the next session of the University Board of Trustees, whichever occurs first. The President may request an extension to the University Board of Trustees at any time he/she feels necessary.
- C. Should any emergency continue, the President must request an extension from the University Board of Trustees. Any such extension, if granted, shall be for no more than 30 days.

Chapter VII

Definitions

Except when this document indicates the contrary, the following definitions shall apply to these Rules and Regulations:

- 1. **Official University Activities**: Any official University-sponsored activity, be it held on- or off-campus. This includes but is not limited to graduation ceremonies, community service, conferences, forums, panels, athletic events, exhibitions, meetings, etc.
- 2. **Co-Curricular Activities:** Any activity which directly or indirectly complements the student's growth from an educational standpoint.
- 3. **Student:** Any person officially registered for at least one contact hour per week, either for credit or as a listening student. The person shall be considered a student from the time s/he registers for class until s/he finishes the last final examination of each semester. The exception to this is during the student's last semester when s/he will be considered a student until the conclusion of the graduation ceremony.
- 4. **Member of the Administration:** Any person employed by the University, either full- or part-time, including, but not limited to, members of the University Board of Trustees, personnel at all administrative levels, the President, and the Deans.
- 5. **Faculty Members:** Any person who has a teaching position in any program, unit, or sub-unit of the University, full- or part-time.
- 6. **Teaching Sub-Units:** The academic organisms, which depend on, and answer to, the University academic programs.
- 7. **Teaching Unit:** The operational academic units of the University.

Chapter VIII

LEGAL BASIS

ARTICLE 24

If any clause of these Rules and Regulations is declared null and void, the remaining clauses will not be in any way affected.

ARTICLE 25

Any student regulations inconsistent with these Rules and Regulations shall be considered null and void.

ARTICLE 26

These Rules and Regulations shall take effect immediately after the University Board of Trustees approve them.

Revised in: June 27, 2023 Dr. José Luis Oliver-Sostre

Dean of Students

INSTITUTIONAL POLICY REGARDING THE ACCESS TO STUDENT RECORDS

The Universidad Central del Caribe, following Institutional Regulations and the Family Educational Rights and Privacy Act (FERPA) of 1974, as amended ("Buckley Amendment", "The Act"), has established and published and will apply the following Institutional Policy Regarding the Access to Student Records.

Article I. Definitions

A. Student

A student is a present or former student at the University. An applicant is not a student until he/she attends the University.

B. Education record

An education record is any information or data which directly relates to a student and is maintained by the University, except a record described in Article VII, E.

Article II. Notification

The University will inform its present students of this policy at least annually by such medium as is generally available to and read by the students.

Article III. Listing of records maintained at the University

The University maintains a listing of the types and locations of education records, and the titles and locations of the officials responsible for those records. For education records maintained by individual department members, the University may indicate on the listing that the head of the department should be contacted concerning identifying the types and locations of the records for which individual department members are responsible.

The following is the list of the types of records th the University maintains, their locations, and their custodians.

TYPES OF RECORDS	LOCATION	CUSTODIAN
Applicant Records	Admissions Office	Admissions Officer
Admissions Records	Registrar's Office	Registrar
Cumulative Academic Records	Registrar's Office	Registrar
(Current students and five years after graduation or withdrawal)		
Cumulative Academic Records (Former students; over five years after graduation or withdrawal).	Registrar's Office	Registrar
Health Records	Student Health Services Office	Director of the Student Health Services Office
Financial Records	Bursar's Office	Bursar
Placement Records	Registrar's Office	Registrar
Alumni Records	Deanship of Institutional Development and Strategic planning	Dean
Progress Records	Registrar's Office	Registrar
	Faculty Office at each Department	Director
Disciplinary Records	Registrar's Office	Registrar
Occasional Records	The appropriate official will collect	The University staff person
(Student educational records not included	such records, direct the student to	assigned to occasional system
in types or listed above such as minutes of	their location, or otherwise make	records
faculty committee meetings, copies of correspondence in office not listed, etc.)	them available for inspection.	

Article IV. Procedures for a student to inspect and review records

- A. A student who wishes to inspect and review his/her records may take the request to the person in charge of the office which is the official custodian of the record in question, or to that person's designee. A request for records in the custody of a professor or counselor should be made directly to that person.
- B. A request to inspect a record should be in writing.
- C. A request shall be granted or denied in writing within 15 days of receipt of the request.
- D. If the request is granted, a University official must be present when the student inspects and reviews his/her education records. A student at his/her reasonable request shall be provided with an explanation and interpretation of the record to which access is granted.
- E. If the access request is denied or not responded to within 15 days of receipt, the student may appeal to a person designated by the President. The appeal must be in writing and should identify the specific record to which access was requested, the date of the original request for access, the person to whom the request was made, and the reasons why the student believes he/she has a right of access. A denial of an access request must be in writing and contain the reasons for the denial.

Article V. Copies

The fee for copies of the individual educational record (partial or total) is \$2.00 per page. A fee of \$5.00 will be charged per transcript of the Academic Record. There is a special fee of \$10.00 per MSPE "Dean's Letter" required for graduating medical students.

Copies of education records are not to be provided to the student (except under Article IX, B. and XI) if:

- A. the record can be reasonably read and comprehended by the student upon visual examination
- B. the student has no disability, which prevents him/her from being present.
- C. the student resides in the vicinity of the Institution.

Article VI. Procedures for record correction

- A. A student may request correction of his/her education records on the basis that an entry or entries are inaccurate, misleading, or in violation of the student's right to privacy or other rights. The request must be made in writing and addressed to an individual or office designated by the President. The request to the extent possible shall identify the record or records containing the challenged entry or entries to be inaccurate, misleading, or in violation of his/her right to privacy or other rights. A student may not contest the assignment of a grade through this procedure but may contest whether the assigned grade was recorded accurately.
- B. The designee shall, within 15 days after receipt of the written request, grant or deny the request in whole or in part and inform the student. If the designee denies the request in whole or part, the student shall also be advised in writing of his/her right to a hearing under Article VI, C.
- C. A student may request a hearing if his/her request to correct has been in whole or in part denied, or if the designee has not responded to the request within 15 days. The request for a hearing shall be in writing addressed to an individual or office designated by the President and shall contain the same information contained in the request's correct records.
- D. Within seven days after receipt of a request for a hearing, the student shall be notified of a date, time, and place of the hearing, which shall take place within a reasonable time after receipt of the request.

- E. At the hearing the student shall have a full and fair opportunity to present evidence relevant to the issues raised under Article VI, A, before a person, selected by the President who does not have a part in the outcome of the hearing. The student may be assisted or represented by the individuals of his or her choice, including an attorney, at his/her expense.
- F. The hearing officer, within 15 days after the conclusion of the hearing, shall render a report to the President in writing, which shall include a summary of the evidence presented at the hearing. The President shall render a final decision within 15 days after receipt of the report based on the evidence, which shall include a summary of the evidence and reasons for the decision. The student shall be provided with a copy of the final decision. If the request is denied in whole or in part, the student shall also be advised of his/her right to place in his/her education records a statement commenting on the information in the challenged record and setting for any reasons for disagreeing with the decision.

Article VII. Records not mandatorily accessible

- A. Records of the student institutional, supervisory, administrative staff, or educational personnel ancillary thereto, which are in the sole possession of the maker and are not accessible or revealed to another person except a temporary substitute.
- B. Exempt from the definition of "education records" records maintained by an institution's law enforcement unit that was created by that law enforcement unit for law enforcement.
- C. Employment records of the university employee who may be a student:
 - 1. are normally maintained by the University
 - 2. relate exclusively to the individual's employment
 - 3. are used only for employment purposes
 - 4. such employment is not the result of student status.
- D. Records of the student which are made by a physician, psychiatrist, psychologist, or other recognized paraprofessional, acting in his/her professional or paraprofessional capacity, or assisting in that capacity, that:
 - 1. are created, maintained, or used only in connection with the provision of treatment to the student, and
 - 2. are not disclosed to anyone other than the individuals providing the treatment.
- E. Records which pertain to a student generated after he/she is no longer an enrolled student at the University.
- F. The financial records of a student's parents and the information contained therein.
- G. All confidential recommendations relating to the receipt of an honor or honorary recognition, provided:
 - 1. The student or applicant has signed a waiver of the right of access and has been allowed to request to be notified of the names of all individuals providing the recommendations,
 - 2. The recommendation is used only for the purpose for which it was originally intended, and
 - 3. The waiver is not required as a condition of admission to receive any other service or benefit from the University.
- H. Any other record which is under any other law or regulation is privileged, or which is otherwise inaccessible to the student.

Article VIII. Directory Information

A. The following categories of directory information may be made available to individuals with a legitimate interest in such information: A student's name, attendance dates, telephone listing, home address, major and minor fields of study, and degrees and awards received. This shall not be construed to require that such information be released.

B. Any student may require that any or all the information outlined in paragraph A not be released by the University without his/her prior written consent, by completion of a form to be made available in the Registrar's Office during regular business hours.

Article IX. Access without prior consent

Access without the prior consent of the student to education record may be furnished under the following circumstances:

- A. To a university official, employee, or agent who has a legitimate educational interest in particular student records. A person shall be deemed to have a legitimate educational interest in obtaining access to a particular record if access is reasonably necessary to perform his/her instructional, research, administrative, or other duties or responsibilities.
- B. Officials of other schools or school systems in which the student seeks or intends to enroll. Any such request shall be complied with without notice to the student, but upon such student's request, a copy of the education records transferred will be provided to the student and the student may review their content under Article VI.
- C. To an authorized representative:
 - 1. The Controller General of the United States
 - 2. The Attorney General of the United States
 - 3. The Secretary of the U.S. Department of Education, or
 - 4. State and local educational authorities.
- D. In connection with the student's application for or receipt of student financial aid, to the extent necessary to determine financial aid eligibility, and the amount thereof, conditions to be imposed regarding the financial aid, and to enforce the terms and conditions of financial aid.
- E. According to a judicial order or under a lawfully issued subpoena, provided notice is mailed to the student three days in advance of compliance, addressed to the last known address, except a shorter period of notice may be authorized by the President.
- F. To State and local officials or authorities if the allowed reporting concerns the juvenile justice system and the system's ability to effectively serve the student whose records are released or allowed to be reported or disclosed according to a state statute.
- G. To organizations external to the University conducting studies for or on behalf of educational agencies or institutions to develop, validate, or administer predictive tests, administering student aid programs, and improving instruction; provided, that such studies are conducted in a manner that will not permit the personal identification of students by individuals other than representatives of the organization and the information will be destroyed when no longer needed for the purpose for which the study was conducted.
- H. To accrediting institutions, for purposes of carrying out accrediting functions.
- I. To parents of a dependent student as defined by the Internal Revenue Code of 1986.
- J. In connection with an emergency where knowledge of the information is necessary to protect the health or safety of the student or other individuals. The factors to be considered in determining whether personally identifiable information from the education records of a student may be disclosed include the following:
 - 1. The seriousness of the threat to the health or safety of the student or other individuals;
 - 2. The need for the information to meet the emergency;
 - 3. Whether the parties to whom the information is disclosed are in a position to deal with the emergency; and
 - 4. The extent to which time is of the essence in dealing with the emergency.

- K. The disclosure is information the educational institution has designated as "directory information".
- L. To the parent of a student who is not eligible or to the student.
- M. In compliance with the Campus Security Act, institutions may disclose to the victim of an alleged perpetrator of a crime of violence or a non-forcible sex offense, the final results of any disciplinary proceeding conducted by the institution against the alleged perpetrator of the crime, regardless of the outcome of the proceeding.
- N. The disclosure is in connection with a disciplinary proceeding at an institution of postsecondary education if the institution determines that the student violated its rules or policies concerning the crime.
- O. The disclosure is to a parent of a student at an institution of postsecondary education regarding the student's violation of any Federal, State, or local law or any rule or policy of the institution, governing the use or possession of alcohol or a controlled substance if:
 - The institution determines that the student has committed a disciplinary violation concerning that use or possession; and
 - 2. The student is under the age of 21 at the time of the disclosure.
- P. The Campus Sex Crimes Prevention Act established that an educational institution may disclose information concerning registered sex offenders provided to it under State sex offender registration and community notification programs.
- Q. The USA Patriot Act of 2001 established that the US Attorney General can apply for an ex-part court order requiring an educational institution to allow the Attorney General to collect and use education records relevant to investigations and prosecutions of specified crimes or acts of terrorism (domestic or international).

Article X. Discretionary access to records

- A. The President may grant a student access to the records specified in Article VII, provided such access does not violate the rights of another person.
- B. Access to a student's education records without the student's consent under Article IX, B through F shall only be granted where required by law or regulation or in the best interest of the University.

Article XI. Access with the consent of the student

The written consent of the student is required for disclosure of personally identifiable information from the student's education records except as provided in Articles VIII and IX, or where the disclosure of accessible records is to the student him/herself. The written consent must be signed and dated by the student and shall include:

- A. A specification of the records to be disclosed.
- B. The purpose or purposes of the disclosure, and
- C. The party or class of parties to whom the disclosure may be made.

Where a record is disclosed according to the student's written consent, the University, upon the student's request, shall provide the student with a copy of the record.

Article XII. Limitation on redisclosure

Any disclosure of personally identifiable information from the education records of a student except under Article VIII of this Policy may be made only on the condition that the party to whom the information is disclosed will not disclose the information to any other party without the prior written consent of the student, except that the personally identifiable information which is disclosed to an institution, agency or organization may be used by its officers, employees and agents but only for the purposes for which the disclosure was made. The party to whom the disclosure is made shall be informed of his requirement. This does not preclude the University from disclosing personally identifiable information under Article IX of this Policy with the understanding that the information will not be redisclosed to other parties under that section, provided that the record-keeping requirements of Article XIV of this policy are met concerning each of those parties.

Article XIII. Student's parents

The Institution must obtain a student's prior written consent before disclosing information from his/her education records to his/her parents. Only in the case of students who are claimed as dependents by his/her parents, according to the regulations of the Bureau of Income Tax of the Commonwealth of Puerto Rico or the Internal Revenue Service of the United States, disclosure of education records to the parents will be allowed without the consent of the student.

Article XIV. Record of requests for access

- A. Record of each request for and each disclosure of student records under Article IX, C, D, E, F, G, and H, shall be maintained in the Registrar's Office.
- B. Such record shall list the parties who have requested or obtained information concerning the student, and the reason for the request, and shall be available for inspection by the student.
- C. The University may assign additional or other places where the record of disclosure will be maintained.
- D. Such record shall be accessible to the student, the Registrar, and the designated members of the Registrar's staff, and for purposes of auditing and record-keeping procedures, any individual specified in Article IX, A, and C.

Article XV. Waivers

- A. A student may waive any of his or her rights under the Act, the regulations or this Policy provided the waiver is in writing and signed by the student.
- B. The University will not require that a student execute a waiver of his/her rights under the Act, the regulations, or this policy.
- C. A waiver may be revoked while a request for access to that record is pending. Nothing in this policy otherwise requires the retention of any record.

Article XVII Copies of this Policy

A copy of this Policy shall be furnished upon request.

Article XVIII Complaints regarding violation of the Act, or the regulations thereunder

A student who believes that his/her rights under the Act or the regulations thereunder have been violated may submit a complaint in writing to:

Family Policy Compliance Office

U.S. Department of Education 400 Maryland Avenue, S.W. Washington, D.C. 20202-4605

Article XIX. Amendments

This Institutional Policy may be amended upon recommendations of the Dean for Admissions and Student Affairs, the Dean for Academic Affairs, the Dean of Medicine, the Dean of Chiropractic, and/or the Dean of Health Sciences and Technology to the President of the Universidad Central del Caribe. The President will make the final recommendation to the Board of Trustees for their consideration and final decision.

Revised and Nereida Díaz Rodríguez, PhD

Amended by: June 20, 2003

Reviewed on: June 2023

By: Dr. José Luis Oliver-Sostre Dean of Students

INSTITUTIONAL POLICY REGARDING CONFIDENTIAL COUNSELING TO STUDENTS, FACULTY, RESIDENTS, AND STAFF

The Universidad Central del Caribe (UCC), following Institutional regulations, has established, published, and will apply the following Institutional Policy Regarding Confidential Counseling to Students, Faculty, Residents, and Staff.

- 1. The licensed professional counselor (LPC) makes provisions for maintaining confidentiality in the storage and disposal of records and follows an established record retention and disposition procedure. The counseling relationship and information resulting there are kept confidential, consistent with the obligations of the Code of Ethics standards of the counseling profession.
- 2. In a group counseling setting, the LPC sets a norm of confidentiality regarding all group participant's disclosures.
- 3. When the participant's (person who receives the counseling service) condition indicates that there is clear and imminent danger to the client or others, the licensed professional counselor will take reasonable personal action to inform responsible authorities. Consultation with other professionals must be used if needed. The assumption of responsibility for the client's behavior must be taken only after careful deliberation. The participant must be involved in the resumption of responsibility as quickly as possible.
- 4. Records of the counseling relationship, including interview notes, assessment data, correspondence, recording, electronic data storage, and other documents are to be considered professional information for use in counseling, and they are not considered part of the student academic records at the UCC. Revelation to others of counseling material will occur only upon the expressed and informed consent of the student.
- 5. The licensed professional counselor will inform the student of the purposes, goals, techniques, rules of procedure, and limitations that may affect the relationship at or before the time that the counseling relationship is entered. If the licensed professional counselor determines an inability to be of professional assistance to the student, the counselor will either avoid initiating the counseling relationship or immediately terminate that relationship. In either event, the licensed professional counselor will suggest appropriate alternatives. The licensed professional counselor is knowledgeable about referral resources and a satisfactory referral is initiated. In the event the student declines the suggested referral, the licensed professional counselor is not obligated to continue the relationship.

Amendments

This document may be amended upon recommendations of the Dean for Student Affairs and the Dean for Academic Affairs to the President of Universidad Central del Caribe for his consideration and final decision.

Reviewed on: July 2023

By: Dr. José Luis Oliver-Sostre

Dean of Students

FAMILY EDUCATIONAL RIGHTS AND PRIVACY STUDENT ANNUAL NOTIFICATION

The Family Educational Rights and Privacy Act (FERPA) (20 U.S.C. § 1232g; 34 CFR Part 99) is a federal law that protects the privacy of student education records. The law applies to all schools that receive funds under an applicable program of the U.S. Department of Education. According to Section 99.7 of the Family Educational Rights and Privacy (FERPA) you, as an eligible student, must be informed annually of your rights under the Act. An eligible student, according to the Act, has reached eighteen (18) years of age or is attending an institution of postsecondary education, like Universidad Central del Caribe.

The following are your rights, which must be notified annually, in addition to receiving a copy of the complete Institutional Policy Regarding the Access to Student Records as per Section 99.7 which has been handed to you during orientation activities:

- 1. Inspect and review the student's education records.
- 2. Seek amendment of the student's education records that the parent or eligible student believes to be inaccurate, misleading, or otherwise in violation of the student's privacy rights.
- Consent to disclosures of personally identifiable information contained in the student's education records, except to the extent that the Act and Sec. 99.31 authorized disclosure without consent;
- 4. File with the Department a complaint under Sec. 99.63 and 99.64 concerning alleged failures by the educational agency or institution to comply with the requirements of the Act and this part.
- 5. The procedure for exercising your right to inspect, review and request amendment of your education record is established in the Institutional Policy Regarding the Access to Student Records (Articles IV and VI) which was handed out during orientation activities.
- 6. If you have any doubts or uncertainties about the institutional policy requests by section 99.7 of the Act, which was handed to you on admission, feel free to make an appointment with the Dean of Student Affairs for clarification.
- 7. We presume that due to the established requirements for admission calling for conversational knowledge in both English and Spanish, you should not have any difficulty understanding this notification. If you have any doubts, please visit the Dean of Student Affairs for clarification.
- 8. Copy of this notification will be permanently posted on the Bulletin Board of the Deanship of Student Affairs.
- 9. The student has the right to obtain a copy of the Institutional Policy Regarding the Access to Student Records in addition to that handed to him/her at the time of admission.

Reviewed on: June 2023

By: Dr. José Luis Oliver-Sostre Dean of Students

POLICY ADDRESSING STUDENT ABUSE, MISTREATMENT BULLYING & CYBERBULLYING

The mission of the Universidad Central del Caribe (UCC) is to prepare high-quality and committed health professionals to meet the community's health needs in its biological, physical, and social context with a humanistic focus and a high sense of moral obligation. It is characterized by its emphasis on the excellence of its educational programs, research activities, and services of health maintenance, prevention, and early detection of illness. It is committed to improving the quality of life of the Puerto Rican community through its services, as well as to developing health care professionals. This Policy Addressing Student Abuse and Mistreatment (in all its manifestations including bullying and cyberbullying) aims to contribute toward the achievement of a professional and collegiate academic environment.

This policy outlines an additional process within the UCC for responding to complaints of student mistreatment. However, it should be considered applicable to other institutional policies. These include, but are not limited to the following policies and procedures:

- UCC General Student Rules and Regulations
- UCC General Regulations
- Institutional Policy Regarding Prevention of Sexual Harassment

UCC has established a policy that student mistreatment or abuse, in all its manifestations, will not be tolerated. Student abuse may be verbal, psychological, or physical. It is understood that all faculty, non-teaching personnel, staff, and other students will professionally treat students. UCC is committed to the principle of mutual respect. Thus, all students and personnel, regardless of their activity at the Institution, must professionally treat each other under any circumstances.

The relationship between teacher and student should be based on mutual trust and respect. It is the position of UCC that certain behaviors by teachers, house staff, and other students that could be abusive and disruptive of the learning process will not be tolerated. Some examples of these behaviors include the following:

- Verbal comments of a derogatory or demeaning nature that do not contribute to a constructive learning environment.
- Physical violence of any nature
- Physical threats or punishments
- Sexual harassment
- Degrading comments or discrimination based on gender, nationality, age, religion, ethnicity, sexual orientation, or physical characteristics.
- Grading or threats of grading used to punish a student rather than to objectively evaluate academic or clinical performance.
- Attempts at deception concerning credit for the work of others.
- Mistreatment in the care of patients
- Request for the performance of personal services
- Requiring the performance of hazardous medical procedures without sound medical indication or proper protective equipment
- Overbearing supervision, shouting, verbal, written, online, or other published abuse.
- Abuse of power or behavior that causes fear or distress for others.
- Academic bullying, for example, asserting a position of intellectual superiority in an aggressive, abusive, or offensive way, including by electronic media (for example, by email or on social media).
- Deliberately undermining someone by not allocating work fairly or constantly criticizing them.
- Inconsistent management style where some people are favored more than others.
- Public ridicule, sarcasm, or humiliation.

UCC contends that student abuse results in inferior learning; less effective patient care; cynicism; diminished humanitarianism; and persistent psychological problems including feelings of inadequacy and low self-esteem, depression, and frustration. Such outcomes interfere with the practice of medicine and the practice of other health professions and with the physical and psychological well-being of healthcare providers, their colleagues, and their families.

Definitions

- 1. **Student Mistreatment:** behavior that shows disrespect for the dignity of others and unreasonably interferes with the learning process. Disrespectful behaviors including abuse, harassment, and discrimination are inherently destructive to the learning environment.
- 2. Abuse: unnecessarily harmful, injurious, or offensive treatment inflicted by one person upon another. Abuse is further defined to be particularly unnecessary or avoidable acts or words of a negative nature inflicted by one person on another person or persons. This includes but is not limited to verbal (swearing, humiliation), emotional (intentional neglect, a hostile environment), behavioral (creating a hostile environment), sexual (physical or verbal advances, discomforting attempts at "humor"), and physical harassment or assault (threats, harm). To abuse is to treat in a harmful, injurious, or offensive way; to pressure into performing personal services (especially if an evaluative or potentially evaluative relationship exists); to attack in words; to speak insultingly, harshly, and to revile by name calling or speaking unkindly to or about an individual in a contentious manner.
- 3. **Bullying:** can be described as threatening, abusive, intimidating, undermining, or insulting behavior that may be an abuse of power, position, or knowledge. What one person may consider bullying behavior may be viewed as no more than firm management or strong personality by another and so may sometimes be difficult to define. However, inappropriate behavior that leads to other people becoming stressed, demotivated, or frightened is unacceptable. Bullying can take many forms, and we consider all types equally serious (For example Cyberbullying).
- 4. **Harassment:** verbal or physical conduct that creates an intimidating, hostile work or learning environment in which submission to such conduct is a condition of continuing one's professional training.
- 5. **Discrimination:** constitutes those behaviors, actions, interactions, and policies that have an adverse effect because of disparate treatment, disparate impact, or the creation of a hostile or intimidating work or learning environment due to gender, race/ethnicity, age, sexual orientation, religion, or other biases. In all considerations, the circumstances surrounding the alleged mistreatment must be taken into consideration, especially regarding patient care, which cannot be compromised at the expense of educational goals.
- 6. **Teacher:** anyone who engages in the teaching process of a student at UCC. This includes and is not limited to faculty members, attendings, residents, community preceptors, and clinical supervisors.
- 7. **Student:** anyone enrolled, registered, and matriculated as a full-time or part-time student in any of the academic offerings at the UCC.
- 8. Ad Hoc Student Abuse Committee (Ad Hoc SAC): a committee appointed by the President comprising members from the faculty, student body, residents, and the Director of Human Resources. It is charged with the responsibility of making recommendations to the President on cases in which a formal complaint of mistreatment is reported to the Dean for Student Affairs. The Ad Hoc SAC is activated when the Dean for Student Affairs is unable to resolve a formal complaint of a student mistreatment incident.

Policy

The UCC, therefore, opposes all forms of student and staff abuse and advocates educational and institutional policies to identify and effectively eliminate abusive behavior in the learning environment. UCC promotes the following:

- Education of faculty, residents, and students regarding rights and responsibilities
- A safe, non-threatening mechanism for reporting and evaluating allegations of mistreatment including Anonymous reporting (*U-REPORT*)
- The guarantee of rights of privacy and due process
- Appointment of grievance procedures and committees to investigate ongoing disputes.
- Establishment of procedures to educate involved parties and punish persistent offenders.
- Availability of counseling for all parties involved.
- Procedures to evaluate and promote faculty with consideration of their effectiveness in teaching and evaluation.
- Involvement of student and resident representatives in establishing clear performance goals and evaluation

Procedures to Address an Infraction of this Policy

Students who believe that a violation of this policy has taken place should immediately contact the Dean for Student Affairs or his/her designated. UCC has created a method and procedure to receive reports of alleged misconduct or other potential violations as well as positive comments regarding the learning environment. Unless you identify yourself, all reports received are confidential and anonymous. The UCC values your right to privacy on all reported information and will not knowingly disclose any information that would identify you without your express permission. The following link within the Current Students Section is for the **U-REPORT** in the UCC web system: http://www.uccaribe.edu/ureport/. See the terms and conditions for more information. Students still could report directly to the Dean for Student Affairs or his/her designated (orientation about **U-REPORT** will always be conducted). Several options may be pursued:

- 1. The first inquiry can be informal, and the student may ask that the discussion go no further. An informal record of this interchange, lacking the student's name, (Addendum # 1), should be filed in the central mistreatment file at the Office of the Dean for Student Affairs. Names will not be in this record if the student requests anonymity. Nevertheless, the accused will be notified of the alleged violation.
- 2. If the complainant wishes to remain anonymous, no formal action will be taken. However, the anonymous complaint will be filed in the Office of Student Affairs and reviewed to monitor for patterns of abuse. All reports of incidents will be held in confidence and will be dealt with expeditiously (Addendum # 1). Anonymously, the accused will be notified of the alleged violation.
- 3. If a formal complaint is reported, the Dean for Student Affairs will discuss the allegations with the consent of the accuser, among all involved parties in attempting to resolve. The mediation of the matter may involve contacting the chairperson of the relevant department, administrator, Clerkship or Residency Program Director, clinical supervisor, community preceptor, and others.

Mechanism of Reporting and Investigating Incidents of Student Abuse

As mentioned above the following link is for the **U-REPORT** UCC web system: http://www.uccaribe.edu/ureport/. An algorithm outlining the steps to report, investigate and resolve an incident of student mistreatment is included (see Figure A). The system and the plan for its implementation are designed to protect students from retaliation and to protect those charged with abuse from unfair accusations. The names of the students, the reporting individual, and the alleged abuser will be held in strict confidence on a need-to-know basis.

An incident of mistreatment may be reported by a student or by an individual who witnessed the incident of abuse. An incident can be reported directly to the Dean for Student Affairs. An incident reported to a trusted faculty member, a class officer, a member of academic administration, or a close friend may be reported to the Dean for Student Affairs who will evaluate the case. When a faculty is involved in a case of student mistreatment, he/she will be notified in writing about the procedures to be conducted. On a need-to-know basis the Program Coordinator, the Program Director, the Course Director, or the Departmental Chair will also be notified about the procedures to be followed with the complaint.

The Dean for Student Affairs will notify the University's legal counsel of the incident, receive necessary advice, and gather information on the incident from the student and from any known witnesses to the incident, and the accused offender. The communication and interaction involved in this process may lead to a resolution of the incident. If the incident is resolved, no further action needs to be taken. The Dean for Student Affairs will file a report describing any documented incident with a committee comprised of members of the faculty, student body, residents, and members of the administration (named Ad Hoc Student Abuse Committee "Ad Hoc SAC"). The report of a resolved incident will be anonymous. The Dean for Student Affairs will follow up on the incident 6 weeks after the report to assure that there has been no retribution.

If the incident is not resolved, the Dean for Student Affairs will consult with the University's legal counsel again, gather more information, if needed, and present the case to the Ad Hoc SAC. The Ad Hoc SAC will review the information and make a recommendation to the President for further action. Disciplinary actions may include but are not limited to:

- a) Verbal or written reprimand
- b) Suspension
- c) Dismissal
- d) Possible criminal prosecution if warranted

In the strictest confidence, the Dean for Student Affairs including information regarding verification and resolution will document all reported incidents of abuse. During a follow-up assessment, 6 weeks after the process, the Dean for Student Affairs will determine if there has been any retribution to the student.

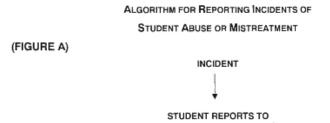
Once a formal complaint is reported, any faculty member, administrator, or university staff will be allowed due process following the provisions of the Institutional General Regulations.

Documentation will be forwarded to the Ad Hoc SAC, which will compile an annual report on all incidents of abuse or student mistreatment. This annual report will be sent to the University President and kept on file.

REPORT OF ALLEGED STUDENT ABUSE OR MISTREATMENT

Addendum # 1

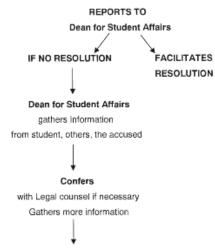
Telephone	Student Number	-		
Name of an accused person of alleged student mistreatment: Position: Nature of alleged offense (include any specific comments or observations that are directly related to the allege incident, the individuals involved, and the specific location where the incident took place:				
Date of incident//	Date of report/_/_			
I agree to pursue a formal investigat	ion.			
		- - -		
□ No. Please, explain:		-		
Student's signature		-		



Dean for Student Affairs

Trusted faculty member, Friend

Directly or by using the U-REPORT System



DEAN FOR STUDENT AFFAIRS REPORTS CASE TO SA COMMITTEE

Reviews information

RECOMMENDATIONS FOR ACTION BY PRESIDENT

In all instances the report from the Dean for Student Affairs will include at least the following:

- · a description of the complaint, with pertinent documentation
- · channels already pursued to resolve the issue(s)
- results of the investigation

To promulgate this policy of Student Mistreatment, this document will be posted electronically on the University's website, and a copy will be distributed to faculty members, residents, and other individuals who teach students. Additionally, this policy will become part of the institutional policies and regulations.

Reviewed by: Dr. José Luis Oliver-Sostre

Dean of Students

Reviewed on: June 2023

STUDENT HEALTH CARE SERVICES POLICY

A. GENERAL INFORMATION

- 1. Any student in need of emergency care may also receive services at Dr. Ramón Ruíz Arnau University Hospital (HURRA), which is part of our Academic Health Center, located nearby. During non-working hours and for services other than emergency care, the student may use his/her health insurance or that provided by the Institution. The student who has paid for the Health Insurance Plan provided by the Institution may visit the physician or laboratory of his/her choice. In that instance, he/she must have referral documents, and his/her I.D. Card, and his/her matriculation form to receive services. Additionally, the health professionals at the University Center for Complementary and Integral Medicine (CUMIC) will be available during the week to provide evaluation and care for the students.
- 2. Before matriculation, each new student must present the following health documents; health certificate, VDRL test, tuberculin test (if necessary, a chest x-ray report), CBC test, urinalysis test, diphtheria vaccine, pertussis vaccine, tetanus vaccine, mumps-measles-rubella vaccine (including a booster), varicella vaccine, poliomyelitis vaccine, and COVID-19 vaccine. Also, he/she must receive three doses of the hepatitis B vaccine during his first academic year. Yearly tuberculin skin tests and influenza immunizations are required.
- 3. **It is mandatory for each student to have a health plan.** Any student without a personal health plan must pay the Health Insurance Plan provided by the Institution.
- 4. Benefits and services under the Health

Insurance Plan provided by the Institution

covered but not limited to the followings:

- a. Hospitalization
- b. Emergency Room
- c. Urgency Room
- d. Generalist and Specialist Services
- e. Medico-Ambulatory Services
- f. Laboratory and Radiology Services
- g. Pharmacy Services
- h. Dental Care Services

B. STANDARDS ON STUDENT HEALTH INSURANCE PLAN

Universidad Central del Caribe (UCC) requires that students demonstrate adequate health insurance coverage to pay for expected and unexpected medical expenses (with coverage in PR and the US mainland) as a condition of enrollment. Health insurance coverage must be equivalent to the one provided by UCC. When a student does not have such insurance, he/she must pay for the student health insurance plan provided by the Institution.

- 1. The student health insurance plan provided by the Institution is designed to assure that balance is established between necessary coverage and the ability of students to pay for the cost of the plan.
- 2. The student health insurance plan provided by the Institution is designed to augment benefits provided through student health services.
- 3. Management of student health insurance plans is the responsibility of the Student Health Services area in the Deanship of Student Affairs.
- 4. The Student Health Services area communicates with the insurance carrier and medical care providers beyond the student health service to: (A) continually evaluate the plan to make sure it is meeting the needs of the insured individuals; (B) obtain necessary benefits at the least possible cost.

and (C) work to return as much as possible of the premium to the insured students in the form of medical care benefits.

- 5. The Student Health Services area receives full disclosure of the premiums from the carrier.
- 6. The Student Health Services area establishes long-term arrangements with the insurance carrier to procure: (A) renewal premium rates are reasonable based upon the paid claims and premium generated; (B) all plan services (including reporting data) have been provided at the level and quality mutually agreed upon by the insurance carrier and the University; (C) no service becomes available from a competitive insurance carrier that would greatly enhance the plan, that is not available from the incumbent insurance carrier; and (D) the University administration and insured student populace remain confident in the quality of services provided by the insurance carrier.
- 7. The student health insurance plan provided by the Institution fully defines the services to be performed. Agents, brokers, and consultants do not have relationships with the University that would adversely affect the management of their insurance plans or would be construed to be a real or potential conflict of interest.
- 8. The student health insurance plan provided by the Institution is available to all students regardless of race, creed, sex, age, religion, country of origin, mental status, or sexual preference.
- 9. Cost containment benefits are promoted to assure that the most effective and efficient medical care is provided whenever possible.
- 10. Optional benefit enhancements, such as dental coverage and catastrophic major medical coverage, are evaluated and offered when feasible and when shown to enhance the quality of the plan without affecting the ability of students to obtain coverage from a cost standpoint. The students, in a general meeting, have the final decision regarding the addition of services related to an increase in premium.
- 11. Maternity benefits are provided on the same basis as any other temporary disability following Title IX of the Education Amendments of 1972 and the Civil Rights Restoration Act of 1987.
- 12. The Student Health Services area develops and periodically reviews clear and concise statements of purpose for the student health insurance plan.

The insurance plan is designed to retain students. The University provides a health insurance plan for students due to the possibility that large unexpected medical expenses may force a student to either withdraw from the university or be unable to resume his/her studies following recuperation from an illness or injury.

The health insurance plan helps to assure that academic and extracurricular activities are not jeopardized by minor medical conditions. The benefits of the plan facilitate care for medical conditions that may affect academic and extracurricular performance if not properly treated. Mental health care may play a valid and important role in meeting this goal.

- 13. It is expected that the health insurance plan benefits will protect the University from potential litigation.
- 14. The health insurance plan benefits are developed for the express purpose of expanding the number of insured students, since increasing plan participation may lower the cost of the plan for all students.
- 15. The Student Health Services area works to maintain a high level of knowledge of important trends and developments in the health insurance industry.
- 16. Exclusions and limitations are evaluated to assure that they are consistent with the purpose of the plan.
- 17. The University strongly encourages students to buy health insurance plans upon termination of an individual student's coverage.

C. MEDICAL LEAVE OF ABSENCE

The Leave that may be granted will be following the Institutional document "Regulations for Students Evaluation and Promotion".

D. MATERNITY AND PATERNITY LEAVE FOR STUDENTS

The Dean of Students, the specific Programmatic Dean, and the Program Director as a committee will evaluate each student's situation according to his/her own merits and to the time during the academic year in which the event will occur. The leave that may be granted will be following the Institutional document "Regulations for Student Evaluation and Promotion" and Title IX (*Title IX of the Education Amendments of 1972 ("Title IX"), 20 U.S.C. §1681 et seq., is a Federal civil rights law that prohibits discrimination based on sex—including pregnancy and parental status—in educational programs and activities. All public and private schools, school districts, colleges, and universities receiving any Federal funds ("schools") must comply with Title IX.)*

E. DISABILITY INSURANCE

Disability insurance is required for all students. The UCC included a fee to cover all enrolled students in disability insurance, currently with Mutual of Omaha.

F. MENTAL HEALTH

The University has an "Institutional Policy Regarding Confidential Counseling to Students, Faculty, Residents and Staff".

G. CHEMICAL IMPAIRMENT

The University has an "Institutional Policy Regarding the Possession, Use or Distribution of Drugs and Alcohol by Students and Employees.

H. OCCUPATIONAL EXPOSURE

The University has "Institutional Policies and Procedures Regarding the Management of Students with, AIDS, AIDS Related Syndromes or Communicable Diseases".

The University has a centralized system for confidentially monitoring the health and immunization status of our students. The computer program provides the following:

- 1. A memorandum for all first-year students requesting all health documents (Addendum #1)
- 2. A memorandum for all students reminding them of their responsibility to bring the results of the tuberculin test (Addendum #2)
- 3. A letter of warning for each student who has not complied with the tuberculin test (Addendum #3)
- 4. A certification to be signed by the student who has not complied with the tuberculin test stating reasons (Addendum #4)
- 5. A list of all students, by class, showing his/her vaccine status (Addendum #5)
- 6. A certification to be signed by the student who has not presented an immunization certificate, stating reasons (Addendum # 6)
- 7. List of students missing hepatitis B Vaccine (Addendum #7)
- 8. A letter of warning regarding noncompliance with the hepatitis B vaccine (Addendum #8)
- 9. A certification to be signed by the student who has not presented proof of the three doses of the Hepatitis B Vaccine (Addendum #9)
- 10. Vaccine Status and Laboratory Test Certification for any student who requested it (Addendum #10)

Issued: May 19, 1992

Approved by: Dr. José Ginel Rodríguez, June 24, 2019 Reviewed: Dr. José Luis Oliver-Sostre June 2023

STUDENT IMMUNIZATION & HEALTH REQUIREMENTS

PURPOSE

To prevent or reduce the risk of transmission of vaccine-preventable and other communicable diseases between UCC students.

ACCOUNTABILITY

Under the direction of the Dean of Students Affairs, the Deans shall ensure compliance with this policy. The Associate Deans or other administrators responsible for graduate medical education at the school and the individual Program Directors shall implement this policy in conjunction with student's health service providers or patient-care unit.

APPLICABILITY

This policy shall apply to all students enrolled in UCC. New students will preferably be in full compliance with this policy before beginning their programs but must be in full compliance within six months of beginning their duties.

DEFINITION

"UCC-sponsored graduate education program" is one for which UCC maintains academic responsibility.

POLICY

A. Immunization and Health Requirements

1. History and physical exam:

Each year students shall undergo a complete medical history review within thirty (30) days of beginning the program and, if needed, an appropriate physical examination based on the history.

2. Hepatitis B:

Each student must complete a series of three doses of the hepatitis B vaccine or present serologic evidence of current immunity to hepatitis B or sign a waiver before contact with patients, blood, blood products, or other potentially infectious body or laboratory fluids unless serologic evidence of immunity can be demonstrated, or a waiver is signed. Testing antibodies to hepatitis B surface antigen (anti-HBs) to determine serologic response should be performed 1-2 months after vaccination. Non-responders should complete a second 3-dose vaccination series and be tested again for serologic response. Individuals who still do not respond to antibody production should be counseled and treated as non-responders following exposure.

3. Tuberculosis:

Each student shall undergo TB skin testing using the Mantoux method (5 tuberculin units of intradermal PPD) before school enrollment. All PPD tests must be administered, read, and interpreted by a professional following the Centers for Disease Control and Prevention (CDC) guidelines. Positive reactions shall be appropriately followed up.

Thereafter, annually, or more frequently if indicated, house officers with negative reactions shall be re-tested. Those with positive reactions shall be followed and treated as appropriate.

4. Measles and rubella:

Each student must submit documented proof of immunity to measles and rubella before or within thirty (30) days of beginning the program. (People born before 1957 may be immune from childhood exposure to naturally occurring diseases, but this evidence has proved unreliable.) Immunity can be proved by:

- a. serologic (laboratory) evidence of immunity to each disease, or
- b. documentation of receipt of two doses of live-virus measles vaccine, the first dose administered on or after the first birthday and the second dose no earlier than one month after the first dose; plus, one dose of live-virus rubella vaccine administered on or after the first birthday. This requirement may be met by two doses of live-virus MMR (measles-mumps-rubella) vaccine or any combination of MM, bivalent, and monovalent measles and rubella vaccines that result in two doses of measles vaccine and one of rubella. An official record of measles and rubella immunizations administered by a public health department or by any physician licensed to practice medicine in any jurisdiction of the United States or foreign country, or by any other health professional licensed to administer immunizations in Puerto Rico constitutes adequate documentation of measles and rubella immunization.
- c. Students lacking the necessary documentation of immunity as described above must receive at least one dose of MMR before or within thirty (30) days of beginning the program; a second dose must be taken no less than one month later.

5. Influenza:

Students should be immunized each year during the fall season with the current influenza vaccine.

6. Varicella:

Students who will have direct patient contact should, before beginning the program or prbeforeatient contact, receive 2 doses of varicella vaccine 4 to 8 weeks apart or prove immunity to varicella-zoster virus via serology or a health-care provider-documented history of clinical varicella (e.g., chickenpox). Serology is recommended in clinical history as proof of immunity. Because of the potential transmission of the vaccine virus to susceptible high-risk patients, such as immunocompromised patients, newborns, and pregnant women, contact with high-risk susceptible patients should be avoided if a vaccine-related rash develops within three weeks of receipt of either the first or second dose of the vaccine.

7. Tetanus-diphtheria:

Each student before beginning the program should have completed a primary series of tetanus and diphtheria toxoid immunizations and received a booster dose within the previous 10 years if more than 10 years have passed since the last booster dose or since the primary series.

8. Polio:

Each student should have completed a full primary series of poliovirus vaccines. Students who have not completed or cannot document a primary series of poliovirus vaccine should receive at least one additional dose or a full series, as appropriate, of enhanced-potency inactivated polio vaccine.

9. COVID-19:

At present, the UCC adheres to the current regulations established by the Puerto Rico Health Department, however, we require that all our constituents have the complete doses of vaccination

against COVID-19. Since we are a university of higher education in which all its programs are related to health professions and these programs require rotations with our affiliates, the students must also be able to meet the requirements established by hospitals, offices, and practice centers where they must perform rotations. If there is any document that establishes that you were exempted from vaccination for religious reasons or because it is medically contraindicated for you, it is important that you communicate it and provide the corresponding evidence.

Note: To protect the health of our university community, affiliates, and patients, the UCC reserves the right to request additional vaccinations, if required, from health agencies such as the CDC and the Puerto Rico Department of Health.

10. Exemptions/Exceptions

- A student may be exempted from any required immunization or test if he/she has a medical contraindication for that immunization or test and if failure to receive this immunization or test does not prevent fulfillment of the requirements of the training program. Conditions comprising valid medical contraindications to vaccine administration are those outlined in the most recent Recommendations of the Immunization Practices Advisory Committee (ACIP) published periodically by the Centers for Disease Control and Prevention (CDC). Such a student must present a written statement from a physician licensed to practice medicine in the United States or a foreign country stating that a specific immunization is medically contraindicated and giving the reason for and duration of this contraindication. These written physician's statements shall become part of the individual's immunization record and shall be reviewed annually by the Dean of Students Affairs in conjunction with the Associate Dean of Medicine or Infectious Disease, to determine whether this exemption shall remain in effect for the next year. When a medical contraindication no longer exists, the student must then comply with the immunization requirements. The University shall provide reasonable accommodations to those students whose medical conditions contraindicate immunizations so long as the failure to be vaccinated will not prevent the individuals from fulfilling the requirements of the training program.
- 2. A student may be exempted from any required immunization or test if he/she submits a bona fide written signed statement explaining how immunization or testing conflicts with his or her religious beliefs and if failure to receive this immunization or test does not prevent the fulfillment of the requirements of the training program. The individual may be required to acknowledge in writing that he or she was informed of the value of immunizations and has knowingly declined to have such immunizations for religious reasons. The University shall provide reasonable accommodations to those students whose religious beliefs bar immunizations so long as the failure to be immunized will not prevent the individuals from fulfilling the requirements of the training program. Students should be informed of the immunization and testing requirements before employment.
- 3. Students who are not able to complete immunizations and tests by the start of the program may be excluded from certain activities such as patient contact or laboratory work. For example, students shall not be permitted to have contact with patients or other potentially infectious body or laboratory fluids if they have not received at least one dose of the hepatitis B vaccine or cannot provide serologic evidence of current immunity to hepatitis B, or have not signed a waiver; the student shall not be permitted to have contact with patients unless they have received tuberculin testing and any required follow up. Provisional employment on this basis may not continue beyond six months.

11. Record-Keeping Requirements

1. There must be acceptable evidence of required immunizations, immune status, or health status prior t beginning the training program.

- Acceptable documents serving as evidence of previous immunization and/or immunity may include:
 - a. an official school immunization record or copy thereof from any primary, secondary, undergraduate, graduate, health professions, or other schools.
 - b. a record from any public health department;
 - c. a medical record from summarizing a medical record and prior immunizations signed by a
 physician licensed to practice medicine in any jurisdiction of the United States or foreign
 country or other licensed health professional approved by the Puerto Rico Department of
 Health;
 - d. report of serology from a licensed laboratory.
- 3. Records shall be maintained of the documented histories, physical exams, immunizations, immune status, and any exemptions of all students. These records shall be updated upon additional immunization, immunity testing, or occurrence of a relevant infectious disease. Immunization records shall be kept for three years following completion of the program, termination, transfer, or other departure of a house officer from UCC.

Approved by: José Ginel Rodríguez, MD, FAAP

President and Dean of Medicine

June 25, 2019

Reviewed by: Dr. José Luis Oliver-Sostre

Dean of Students Affairs

June 2023

POLICIES AND PROCEDURES REGARDING THE MANAGEMENT OF STUDENTS WITH AIDS, AIDS-RELATED SYNDROMES, OR OTHER COMMUNICABLE DISEASES

The Universidad Central del Caribe (UCC), following the Institutional regulations and a request by the Office of Student and Educational Programs from the Association of American Medical Colleges to share knowledge with other institutions, has established and will apply these Policies and Procedures Regarding the Management of Students with AIDS, AIDS-Related Syndromes or other communicable diseases.

For this particular document, it shall be understood that an applicant or student will be considered as having AIDS, AIDS-related syndromes, or other communicable diseases when that person reports such conditions voluntarily, and/or when an official health organization or institution reports such a situation to our Institution and/or when the student is hospitalized with such diagnosis.

To provide policies and procedures in an organized manner and to be able to locate the different topics easily, such policies and procedures have been divided as follows:

- I. Admission of Students
- II. Education of Students Concerning AIDS, AIDS-Related Syndromes, or other Communicable Diseases.
- III. Managing Students with Positive Antibodies for HIV.
- IV. Management of Students with Clinically Manifested AIDS or ARC.
- V. Confidentiality and Student Reporting of Condition.
- VI. Student Interaction with Patients with AIDS.
- VII. Precautions to Prevent Transmission of HIV Infection or Other Communicable Diseases.
- VIII. Provision of Care to Students with AIDS, ARC, or Other Communicable Diseases.
- IX. Amendments

I. Admission of Students

- A. The Universidad Central del Caribe requires that the accepted applicant must present a certificate of health previous to matriculation. In addition, he/she must present a certificate for laboratory tests such as urinalysis, CBC, VDRL, turbirculin test (when necessary a chest X-ray report), and an immunization certificate.
- B. A candidate affected by diseases that carry a high mortality risk and/or make it unlikely for him/her to complete the course of studies, will not be accepted into the entering class.
- C. The existence of AIDS, ARC, or a positive HIV antibody test will not be considered as part of the initial admissions decision for applicants to the Institution if the individual is in a physical condition, which permits adequate participation in the required activities of the University.
- D. Mass screening will not be performed. Exceptions may include high-risk or symptomatic individuals in high-risk groups conducive to HIV transmission.
- II. Education of Students Concerning AIDS, AIDS Relate Syndromes, or Other Communicable Diseases.
 - A. During the Orientation Week, the incoming students will receive adequate information regarding AIDS, AIDS-Related Syndromes, or other communicable diseases.

- B. Students will receive specific information on HIV, AIDS, and, other communicable diseases as part of their pre-clinical training. Additionally, all students will receive training in hospital infection control standards, as part of an introduction to the hospital environment and during clinical rotations.
- C. The University will take an active role in making available to the members of its community current information about the transmission of AIDS, AIDS-Related Syndromes, and other communicable diseases and the means of minimizing the risk of contracting them.
- D. During the student orientation process, students who voluntarily admit being positive for HIV antibodies will be informed of their responsibility to report this fact to the Office of Student Health Services to obtain medical treatment and counseling for their protection.

III. Managing Students with Positive Antibodies for HIV

- A. The activities of a student having HIV infection will not be restricted provided that their behavior and personal hygiene are acceptable. Acceptable means, among the other conditions, that they are aware of the risk of transmission of HIV by exchange of body fluids, particularly semen, and blood, that they practice appropriate standards of personal hygiene including hand washing before personal contact and proper management of open lesions on the hands. Each such individual will be counseled by a member of the Office of the Dean for Admissions and Student Affairs concerning the appropriate safeguards and behavior expected in the school setting. Individuals, whose behavior for any reason significantly deviates from these guidelines and places patients or staff members in jeopardy, will be immediately brought before the Dean for appropriate action.
- B. Students known to be infected with HIV who do not perform invasive procedures need not be restricted from school unless they have evidence of other infections or illnesses for which any other student would be restricted.
- C. AIDS shall be treated like any other serious communicable disease. There is presently no known reason to exclude AIDS victims from campus, social, cultural, or employment activities, provided the individual behaves responsibly in light of his or her medical condition. Responsible behavior shall include acquiring information about preventing the transmission of AIDS and proper management of the medical condition itself and taking appropriate precautions to protect others.

IV. Management of Students with Clinically Manifested AIDS or ARC

- A. The Dean, in conjunction with the student's physician, and subject to the policies of the participating hospitals or institutions, shall determine whether the impaired student can continue in a modified program if needed for completion of his/her education, based on the capabilities of the student.
- B. If the decision is that the student may continue. The following safety measures are to be followed when he/she is involved in performing invasive procedures involving needles, scalpels, or other sharp instruments:
 - 1. The students will wear double gloves.
 - 2. The preceptor will be made aware that the student is positive for HIV and must be present at any time that the student has sharp instruments in his/her hands.
 - 3. The student will not be permitted to use a scalpel.
 - 4. Should the student's glove or any other part of his/her body be entered or nicked by a needle or scalpel or other sharp instruments, the involved instrument, needle, etc., will be immediately discarded without further use on the patient, and the student will retire for appropriate medical management.
- C. A regular program of testing of higher mental functions will be performed on any student recognized as having AIDS or AIDS-Related Syndromes. A student who shows evidence of mental deterioration

- will be removed from those rotations where his/her frontline judgment may pose a significant threat to the patients.
- D. A student with AIDS or AIDS-Related Syndromes, which is involved in direct patient care, shall not work with immunocompromised patients including pregnant women.

V. Confidentiality and Student Reporting of Condition.

- A. Students with AIDS, AIDS-Related Syndromes or other communicable diseases should:
 - 1. Promptly report his/her condition to the Dean for Admissions and Student Affairs who will determine those pertinent administrators (i.e., Deans, Program Directors, etc) who will implement and monitor the compliance of the policy.
 - 2. See his/her physician regularly and authorize the physician to consult with a representative of the hospital administration and the Dean about his/her medical condition.
- B. In the absence of legislation to the contrary, the existing rules and regulations concerning the confidentiality of medical records of students shall equally apply to situations involving students affected by AIDS. Such information, if known, shall not be released without the person's permission, except as otherwise required by law. This statement does not diminish the obligation to report required information concerning diagnosed and verified cases of AIDS or any other communicable diseases to the appropriate public health authorities and disease control centers.
- C. Beyond mandated reporting requirements to public health authorities, results of tests for HIV anybody should not be disclosed to any party other than the individual who has been tested.

VI. Student Interaction with Patients with AIDS

A. A student should not be excluded on his request from providing care to patients with AIDS. A student, who believes to be at increased risk because he/she is immunosuppressed or has other clinical conditions that may increase the risk of acquiring an infection, should discuss his/her condition with the Dean for Admissions and Student Affairs to adequately evaluate the situation.

VII. Precautions to Prevent Transmission of HIV Infection or other communicable diseases

- A. These precautions apply to all students, regardless of whether or not they perform invasive procedures:
 - 1. All students should wear gloves for direct contact with mucous membranes or nonintact skin of all patients.
 - 2. Students who have oxidative lesions or dermatitis should refrain from all direct patient care and from handling patient-care equipment until the condition resolves.
 - 3. Blood precautions should be observed. Gloves should be worn at all times during any procedures involving the handling of blood or any other fluids. Gowns, masks, and eye coverings are not needed for routine purposes but may be desired during procedures involving more extensive contact with blood or other potentially infective body fluids.
 - 4. Mouthpieces, resuscitation bags (Ambu bags), or other ventilation devices should be readily available for use in the event of the need for cardiopulmonary resuscitation.
 - 5. All programs in which students are handling potentially infected material should be continuously evaluated for the utilization and teaching of appropriate techniques. The assistance provided should correct substandard procedures.
 - 6. If a student's accidental exposure to a possible HIV infection in a patient, a medical evaluation should determine whether an HIV serology test should be obtained.

- 7. To prevent needlestick injuries, **needles should not be recapped, bent, broken, removed from disposable syringes, or otherwise manipulated by hand**. In case of an accidental needle stick injury by the student, his/her immediate supervisor must complete an Accident Report (Addendum A) and refer him/her to the Ounce Student Health Services for immediate evaluation and follow-up. The student is responsible for any treatment, either through the health insurance offered by the Institution or through his/her health insurance, or by covering the cost himself.
- 8. If a patient has a parental or mucous membrane exposure to blood or other body fluids of a student, the patient should be informed of the incident by a representative of the hospital's infection control committee. For exposure of a student to patients, both the student and the patient should be followed up.

VIII. Provision of Care to Students with AIDS, ARC, or other communicable diseases

- A. Students with AIDS, AIDS-related Syndromes, or other communicable diseases will be instructed as to:
 - 1. The significance of HIV infection.
 - 2. The potential for transmission of HIV to patients and the means to minimize or prevent such transmission.
 - 3. Their risk of contracting infections from patients and the means to reduce the risk.
 - 4. The availability of psychosocial support.

IX. Amendments

This document may be amended upon recommendations of the Association of American Medical Colleges, or new findings arising in the field of health, which are recommended by the corresponding agencies at the state or federal level.

Reviewed on: June 2023 Dr. José Luis Oliver-Sostre Dean of Students

RECOMMENDATION FOR HIV POSTEXPOSURE PROPHYLAXIS (PEP)

Recommendations for PEP following occupational exposure are based on a careful review of available studies and constitute the considered opinion of expert HIV clinicians.

PEP FOLLOWING OCCUPATIONAL EXPOSURE IN HEALTHCARE WORKERS (HCW)

- **A. Manage exposure incidents.** Clean the exposure site with soap and water; flush mucous membranes with water.
- **B. Assess the severity of the exposure.** PEP (HAART) is recommended for all significant risk exposures.

Table 1: Assessing Significant Risk for PEP		
Type Of Exposure	Source Material	Prophylaxis
Percutaneous OR Mucocutaneous OR Non-intact skin	Blood or visibly bloody fluid or potentially infectious fluid AND source patient is potentially HIV infected	Recommended
Mucocutaneous		Not Recommended

C. Evaluate the Source Patient

If the source patient is known:

- **Do not delay the initiation of PEP** to determine the HIV status of the source.
- Seek voluntary HIV testing of source with informed consent as soon as possible after exposure.
 Rapid testing can determine the HIV status of the source patient within 30 minutes of testing. A positive rapid test requires a Western blot confirmatory test.
- Evaluate for evidence of other bloodborne diseases (HBV, HCV).
- **Discontinue therapy** if the source is found with certainty not to be infected with HIV. If source patient is unknown:
- Base treatment on assessment of bloodborne disease risk and type of exposure (see Table 1).

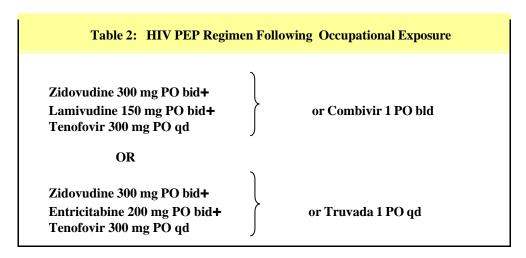
D. Counsel/treat the healthcare worker

- Discuss the significance of exposure; provide scientifically accurate information about the known risks of seroconversion and transmission.
- Inform HCW of the need for baseline and follow-up HIV testing.
- **Encourage confidential testing** at 1-, 3-, and 6-months post-exposure, even if PEP is declined, to assess HIV status.
- Educate HCW to immediately report symptoms (lymphadenopathy, rash, sore throat, flu-like symptoms) suggestive of acute HIV seroconversion.

- Counsel HCW about the need for risk-reduction measures until testing excludes HIV infection:
 - Avoid sex or use a male latex condom or a female condom during sex.
 - Postpone pregnancy; consult HIV Specialist if HCW is already pregnant.
 - Refrain from blood, organ, or sperm donation, and breastfeeding.
- E. Record in HCW's confidential medical record: date and time of exposure; details of the procedure leading to exposure, including protective equipment used; the type, severity, and amount of fluid to which HCW was exposed; exposure source details (i.e., bloodborne diseases, ARV regimen, ARV resistances-exposure sure management, including HCW's PEP treatment decision. OSHA requirements can be found at: https://www.osha.gov/bloodborne-pathogens.

F. Prophylaxis for HIV exposure

- Initiate prophylaxis as soon as possible, ideally within 2 hours, and no later than 36 hours post-exposure (PEP regimens on the reverse side).
- Continue regimen for 4 weeks.
- Review the regimen and circumstances of the exposure with an HIV Specialist within 72 hours of beginning PEP.
- **Inform the HCW** about potential drug toxicity and the importance of adherence to therapy.
- Re-evaluate HCW within 72 hours after exposure.
- Monitor HCW weekly while on PEP regimen for adherence, symptoms of HIV seroconversion, side effects, and emotional status.



Notes:

- * When the source is known to be HIV-infected, past and current ARV therapy experience, viral load data, and genotypic or phenotypic resistance data (if available) may indicate the use of an alternative PEP regimen. Consult an HIV Specialist.
- NNRTIs should be considered only when 1) the patient cannot tolerate either tenofovir or a protease inhibitor alternative, or 2) the patient has been exposed to a source with known drug-resistant HIV that is sensitive to NNRTIs. The use of efavirenz should only be considered in men and in women not capable of bearing children because of associations with teratogenicity in animal studies in anecdotal reports in humans. Initial central nervous system toxicity, often seen with efavirenz, may affect one's ability to work. Nevirapine is not recommended for women with CD4 counts >250 cells/mm3 or men with CD4 counts >400 cells/mm3 and should only be used when NRTIs or PIs are not an option and no other hepatic risk (e.g., hepatitis) is.

present. If nevirapine is used, the 14-day lead-in period must be strictly followed. Serum liver enzymes should be obtained at baseline, at dose escalation, and 2 weeks after dose escalation.

- The dosing interval of lamivudine, emtricitabine, and tenofovir should be adjusted in patients with baseline creatinine clearance <50 mL/min. Because Combivir and Truvada are fixed-dose combinations, clinicians should consider using the individual components (i.e., Combivir = zidovudine + lamivudine; Truvada = emtricitabine + tenofovir) dose adjusted for creatinine clearance (see Appendix A in *HIV Prophylaxis Following Occupational Exposure* for dosing recommendations in patients with renal impairment). If the combination pills are used in this setting, clinicians should monitor for renal toxicity.
- § If the patient is intolerant to zidovudine, stavudine 40 mg PO bid may be substituted (if the patient is <60 kg, 30 mg PO bid should be given). The dosing interval of zidovudine should be adjusted in patients with baseline creatinine clearance of <15 mL/min (see Appendix A in *HIV Prophylaxis Following Occupational Exposure* for dosing recommendations in patients with renal impairment).

RESOURCES

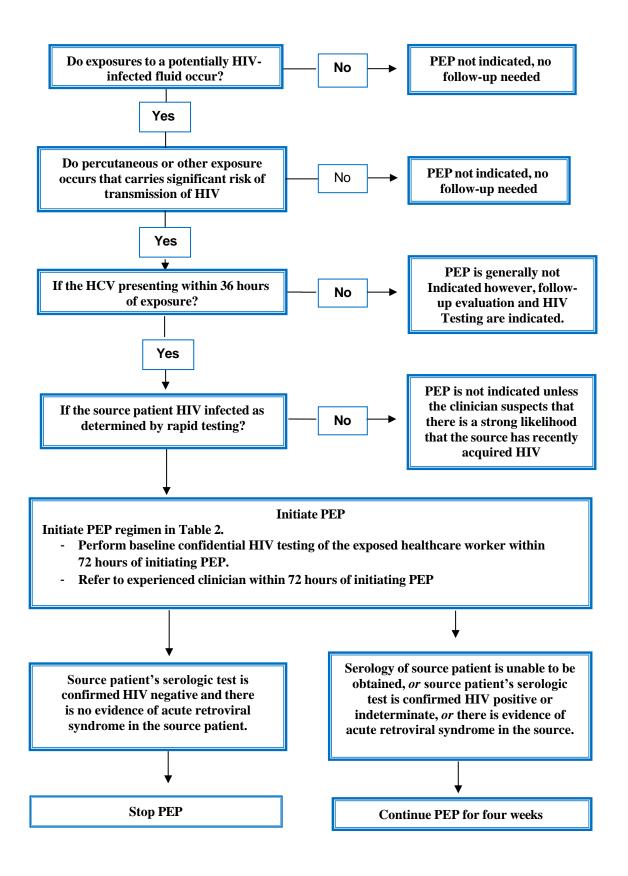
MMWR updated U.S. Public Health Service Guidelines for the Management of Occupational Exposures HBV, HCV, and HIV and Recommendation for Post exposure Prophylaxis June 29, 2001. (https://www.cdc.gov/mmwr/preview/mmwrhtml/rr5011a1.htm)

UPDATED: https://stacks.cdc.gov/view/cdc/20711.

Approved by: José Ginel Rodriguez, MD Date: December 22, 2010

Revised by: Omar Pérez Del Pilar, Ph.D. July 2022

Criteria for Recommending Post-exposure Prophylaxis Following Occupational Exposure



June 25, 2019

To All Students of the Universidad Central del Caribe

Waleska Crespo-Rivera, DrPH, MHSA President

Nereida Díaz Rodríguez, PHD Dean for Student Affairs

PAYMENT POLICY FOR STUDENTS REQUESTING TRANSFERS TO OTHER ACADEMIC INSTITUTIONS

The Universidad Central del Caribe has adopted the following policy applicable to those students in the process of requesting a transfer to complete their studies in another academic institution:

"Transfers – Any student who is in the process of transferring to another institution and decides to enroll in our Institution must pay the full cost of enrollment for the semester for which the enrollment process was completed. In other words, said student does not have the right to an extension, nor can they enroll against future financial aid. If the student doesn't enroll, he/she won't be able to attend class".

It is the Universidad Central del Caribe's interest to retain all good student(s), notwithstanding that you must be aware that this policy is in effect should you initiate a transfer process.

STUDENT APPLICATION FOR REASONABLE ACCOMMODATION

What is the ADA Act?

The "Americans with Disabilities Act of 1990" (ADA Act) guarantees equal opportunities to <u>persons</u> with physical or mental <u>impairments</u>. For the Universidad Central del Caribe (UCC) it is important to ensure that students with physical or mental impairments have **reasonable accommodation** in order to execute their duties and reach their academic goals.

What is an impaired person?

ADA Act defines an <u>impaired</u> person: 1) as an individual with a physical or mental impairment that substantially limits him/her in one or more principal activities of his/her life, 2) who has an impairment history, or 3) is considered as a person with such impairment.

The first part of this definition is applied, among others, to people with substantial physical limitations, such as deafness, blindness, speech, respiratory, mobility, learning problems, and AIDS, among others. Also covered are individuals with epilepsy, paralysis, substantive visual or hearing impairments, and mental retardation.

The second part of the definition protects persons with an impairment record wherefore it covers individuals who have recovered from cancer or mental illnesses. The third part of the definition refers to persons who are considered to have a substantial limitation, although they do not have such impairment. An example of this is a person with a severe disfiguration against whom a discrimination act could be committed due to his physical appearance.

What is a reasonable accommodation?

A reasonable accommodation is a modification or adjustment to the setting or academic environment which allows the student to participate and execute his essential duties during the teaching-learning process. Reasonable accommodation includes modifying the existing facilities, so that they are accessible to impaired persons, providing readers or interpreters, providing individualized assistance for studies (tutors, for example), preferential seats, and additional time to complete exams. The reasonable accommodation will always be tied to a logical, adequate, and reasonable adjustment that does not represent an extremely onerous effort in economic terms.

How can you request a reasonable accommodation?

The Universidad Central del Caribe sustains that it is important to ensure that all its students have the necessary support to reach their academic goals. It is the student's responsibility to request these services with the Office for Student Affairs Dean. In the case of impaired students, they are also responsible for requesting the necessary reasonable accommodation to carry out their academic duties.

The decision as to which is the proper reasonable accommodation to be offered will depend on the particular circumstances of each case and will be evaluated by the Institutional Committee on Reasonable Accommodation. The criteria that shall be considered upon recommending reasonable accommodation should be based on the effectiveness thereof to provide the impaired person an opportunity to achieve the same performance level and enjoyment of benefits as those reached by the average person without impairment. The criteria must not conform to minimum requirements of quality or performance, and it could neither be to such an extent that it exceeds the reasonability limits.

The UCC is willing to provide reasonable accommodation when the impaired students request it. The impaired student must provide medical evidence of his/her impairment and the medical recommendation for the accommodation. The UCC will not be compelled to provide reasonable accommodation if by doing so, it represents a burdensome cost for the Institution.

Efforts to request reasonable accommodation will be made in strict confidentiality at the Deanship of Students Affairs. The student should request an appointment with the Dean of Students and complete the corresponding form (Notice of Intention to process Reasonable Accommodation and Request for Reasonable Accommodation) with the corresponding evidence attached thereto to be evaluated by the Institutional Committee on Reasonable Accommodation. If the student is unable to attach his Request for Reasonable Accommodation with the necessary medical evidence, the UCC will be unable to evaluate his request and may refuse to make the requested accommodation until he presents the required evidence.

The student should request reasonable accommodation preventively, not as a remediate mechanism for failing courses. The reasonable accommodation does not constitute a retroactive, but a prospective measure, from the time a formal request is presented and the same is evaluated and favorably recommended. It is important to indicate that due to the evolutionary (improve or aggravate) nature of the conditions which may require reasonable accommodation, the student must request it for each academic year attaching the corresponding evidence to the request. The reasonable accommodation that may be granted is NOT automatically renewed. Any accommodation whose request is not renewed will be set aside.

The Dean of Students will notify in writing to the faculty the reasonable accommodation measures provided for each case based on the recommendations done by the Institutional Committee on Reasonable Accommodation after reviewing the student petition and corresponding evidence. The Dean of Students will follow up to have the recommended reasonable accommodation implemented.

The information contained herein does not intend to be thorough and cover all the possibilities within the ADA Act, the "Rehabilitation Act" or other applicable local or federal legislation. This document is considered a student's guideline, so they have an idea about their rights. In the event of legal nature concerns, they should refer to the mentioned aid sources and consult with your legal counsel.

Where can you get help?

Office of the Licensed Professional Counselor at the UCC (787) 740-1611, (787) 798-3001, ext. 2013, 2406

Office of the Social Worker at the UCC (787) 798-3001, ext. 2413

Vocational Rehabilitation Administration (787) 729-0160 https://arv.pr.gov/Pages/default.aspx

Office of the Solicitor for Handicapped Persons
Main Office 1-800-981-4125 (Free of charge)
Oficina del Procurador del Paciente

Prepared and Nereida Díaz Rodríguez, PhD

Submitted by: July12, 2002

Approved by: José Ginel Rodríguez, MD

Dean of Medicine June 24, 2019

Revised and Dr. Omar Pérez Del Pilar

Amended by: June 2021

Reviewed on: June 2023 By: Dr. José Luis Oliver-Sostre



UNIVERSIDAD CENTRAL DEL CARIBE Admissions and Student Affairs Dean Office

Notification of Intent to process Reasonable Accommodation

Name:	Date:	
Program:	Academic Year*:	Cellular phone
number:		
After having been oriented and having read the information you have any physical or mental impairment which interf Central del Caribe:		
If you have any physical or mental impairment interfering v Central del Caribe, specify which type of reasonable accom application)		
State whether this application is accompanied by the corresponder of the student Affa should they require any or more information to consider this	airs Dean Office to communicat	
Signature		Date
*Note: The reasonable accommodation granted will not b the relevant evidence. Any accommodation for which its a		
FOR OFFICIAL USE BY Action Taken:	THE STUDENT'S DEAN'S OFF	ICE



Admissions and Student Affairs Dean Office

REASONABLE ACCOMMODATION APPLICATION

Academic year: 20____- 20____

1. First Last Name	2. Second Last Name	
3. Name Initial	4. Student ID Number	
5. Date of Birth	6. Sex:F M	
7. Academic Program: Associate		
Masters(specify DC); PhD	; MD;
8. Study Year		
9. Postal Address:		
10 CityCountry	Zip Code	
11. Residential Address if different fro	m Postal Address	
12. CityCountry	Zip Code	
13. Telephone No.: Residential:	Mobile:	
14. Institutional Electronic Mail:	Other:	
Staying in a room and board? Yes	_ No Address	
Contact person in case of emergency:		
Family Relationship:	Telephone No./s:	

Telenhone No :	
rerepriorie No	Extension:
Type of Accommodation requested:_	
Mark the impairments you have:	
☐ Hearing impairment /Deafness	☐ Speech problems
☐ Visual impairment /legally blind	☐ Learning problems
☐ Psychiatric/emotional	
Other:	Specify:
• •	mpanied by the Impairment Certification and Recommendations for closed), completed by the health professional who treats your condition, vocational Rehabilitation Office.
Reasonable Accommodation (encor by the Certification from the National Property of the Student is responsible Accommodation Application property of the Student Studen	closed), completed by the health professional who treats your condition

IMPAIRMENT CERTIFICATION AND RECOMMENDATION FOR REASONABLE ACCOMMODATION

Confidential Document

I,	, student of Universidad Central del Caribe	
(print)		
ID number, hereby certify that I have been Application and the confidentiality of the	n duly oriented about my rights regarding the Reasonable information.	e Accommodation
I authorize the disclosure of the information	on required herein to Universidad Central del Caribe.	
	Student's Signature	
	Condition or Impairment Certificate	
I hereby certify that has the following cond	dition or impairment.	
Type of accommodation recommended: (examples: restructuring of tasks and academic practices, or format of exams, training materials, and special o	
Name of Physician or Specialist:	License No.:	
Signature of the Physician or Specialist:	Telephone Nos:	
Address:		
Date:		
Included: 06/16/14- OP		

Revised: 07/2022

TRAVEL AUTHORIZATION FOR STUDENTS DURING SCHOOL PERIOD

The Universidad Central del Caribe (UCC) acknowledges the importance of student participation in professional improvement activities in and out of the country. The UCC stimulates our student body to continuously benefit from the enhancement derived while sharing knowledge and debating ideas with other professionals in local and international forums. To support student participation in these activities and to prevent them from becoming averse to the achievement of academic goals of our student body, the following mechanism has been developed:

- 1. The student will originate the travel authorization application at least **15** days before his departure, by filling out the **Travel Authorization Application**. He will present it in writing and will enclose all the documents supporting the trip to the coordinator/s of the course in which he is enrolled to explore the authorization and agreement with the professor(s). The Dean of Students will not authorize any trip without consultation with course coordinators.
- 2. The student has to contact the professor or professors with whom he will be taking courses to explore the possibility of making his trip during the corresponding period. Each professor must indicate on the sheet if he reached an agreement with the student and will document it on the application sheet. Upon completing the application sheet with the corresponding agreements, the student will deliver a copy to the Deanship of Students Affairs.
- 3. If this request is approved the application will be signed thereby validating the petition. If it is denied, the professor will inform the student in writing. The final decision will be communicated to the student within 7 days after having presented his request.
- 4. Upon his return (if it is an academic activity) the student shall present a brief report of the work performed, addressed to the Program Director with a copy to the Dean of Student Affairs.
- 5. The student shall be responsible for making up for all academic work assigned during his absence according to the determinations of the professors, course coordinators, and/or department directors involved. Such determinations, from professors and/or department directors, shall have to be under the institution's regulations.
- 6. This procedure shall not be interpreted as if the UCC is restraining the liberty of action as independent adults of our students. The purpose of this mechanism is to coordinate academic efforts outside the UCC or outside PR for the benefit of the student. The air ticket for every trip must have insurance that may take care of any situation related thereto.

Prepared and Nereida Díaz Rodríguez, PhD

Submitted by: February 14, 2003

Approved by: Dra. Diana M. Fernández Santos, MS, EdD

Interim Dean of Medicine February 24, 2020

Reviewed by: Dr. José Luis Oliver Sostre

June 2023



Universidad Central del Caribe **DEAN OF STUDENTS AFFAIRS**

Travel Authorization Application

This application must be completed 15 days before your departure, together with a copy of the travel documents. /___/20___ Application Date Student Name_____ Student No. Academic Program: **Trip Information** Country: Place: ____ **Purpose of Trip:** Academic status at the time of trip: (a course or other academic activity during such period; each professor must indicate his agreement or disagreement, and agreements made with the student) After evaluating your request, we have decided that the same has been done: Approved Denied Reason: Authorization Signature Course Director Authorization Signature Year Director Dean or Associate Dean of Student Affairs

The Universidad Central del Caribe School of Medicine (UCC-SOM) Policy and Procedures On Criminal Background Checks (CBC)

Title: Criminal Background Check (CBC)

Rationale:

Recognizing the need to enhance the safety and well-being of patients, peer students, faculty, and the whole Institution, and in so doing, to bolster the public's continuing trust in health professions, and to ascertain the ability of students to maintain of eventually become licensed and/or certified in their professions, criminal background checks (CBC) will be performed on all admitted applicants of the Universidad Central del Caribe School of Medicine (UCC-SoM) as required by AMCAS beginning on January 2010.

Some criminal offenses preclude students from participating in patient care. In addition, some professional licensure boards prohibit licensure for those convicted of specific offenses. Thus, students from professional programs are subject to the statutory and/or regulatory requirements independently imposed by law, or as required by affiliating entities. Students must meet all requirements of the clinical facility, which may be more extensive than those referenced herein. Inability to participate in patient care or being subject to any other exclusion prescribed by law will preclude the successful completion of the requisite curriculum. As such, affected students may not be eligible for matriculation, continuation in the program, or graduation, if applicable.

This policy is designed to provide a safe environment for patients, visitors, faculty, employees, and students at the UCC-SoM. Criminal background checks (CBC) allow the Universidad Central del Caribe to evaluate whether students possess the character and appropriateness to participate in clinical education activities.

Policy:

All admitted applicants must consent, submit to, and satisfactorily complete a CBC as a condition of matriculation into the UCC-SoM. Enrollment will not be final until the completion of the criminal background check with results deemed acceptable. All expenses associated with the CBC are the responsibility of the applicant, either directly or through arrangements with an appropriate centralized application service. Students, who do not consent to the required CBC, refuse to provide information necessary to conduct the CBC, or provide false or misleading information regarding the CBC will be subject to the refusal of admission.

This policy applies to all applicants to the UCC-SOM educational program leading to the MD degree.

Criminal Background Check (CBC)

Prospective Students:

Prospective students seeking admission to the UCC-SOM leading to the MD degree will be informed of this policy and its requirements will be included with the admissions materials.

New and Transfer Students:

Students admitted to the UCC-SoM and Transfer students will be informed of this policy and its requirements at the time of acceptance. Once admitted, students will be required to complete a criminal background check and authorize the release of results to appropriate academic and/or clinical personnel. A criminal background check will be considered a condition of acceptance. Transfer students must provide a letter from the dean of their school of origin stating whether the student has been subject to disciplinary actions, placed on probation or negative reports have been made against him or her while enrolled in the Institution.

Procedures for CBC

- Prospective students for any of the UCC-SoM will be notified through the admissions web page that if admitted they would be required to complete a criminal background check and authorize release of the results to the admission committee.
- Students accepted for admission will be notified that matriculation is contingent upon the evaluation and acceptable outcome (no significant convictions found as determined by the review committee) of all required CBCs.
- 3. Once admitted, students will be notified verbally and by letter or e-mail of the deadline for completion. The school will provide students with the name(s) of the vendor(s) with which the Universidad Central del Caribe has established reporting relationship(s). At present, as notified by the AAMC in January 2010, all applicants applying through AMCAS will be screened for a CBC through Certiphi Screening Inc. as the vendor. Students will be given instructions on obtaining and reporting the results of their CBC. Students will be responsible for the cost associated the with completion of a criminal background check.
- The Dean for Students Affairs and the Director of Admissions will receive certification letters
 for all CBCs that result in no records found and will store them in a locked file until the applicant
 is admitted.
- 5. In those CBC where a record of conviction is found, the record will be screened as to severity by the vendor and the student will be notified:

Major offenses (as defined in Appendix I) will result in termination of the admission process for the student, and the student with a serious offense cannot ask for reconsideration.

Minor offenses (as defined in Appendix I) will be considered on a case-by-case by the Dean of Students Affairs who will refer the case to the Review Committee [a designated committee (different from the Admission Committee) and assessors (considered but not limited to specialists in behavioral sciences and legal services)].

The student will be notified of his/her right to appeal, and all information will be retained until an appeal, if any, has concluded and a determination regarding continued participation in the Universidad Central del Caribe has been made.

Students claiming inaccuracies in their CBC will be referred to the entity completing the initial CBC for procedures as required by the Fair Credit Reporting Act.

Students may submit an appeal to the Dean of Students only if the finding in the CBC constitutes a minor offense.

Review Committee process (see Figure 1)

- A Review Committee, appointed by the Dean of Medicine standing separate from the UCC-SoM Admissions Committees, will be responsible for reviewing all relevant materials related to any adverse background check report containing information that could be relevant to the applicant's suitability for enrollment.
- Members of the Review Committee may include health professions college admissions, student affairs, academic affairs, and health professions college faculty in consultation with the Dean of Student Office, Office of Legal Counsel, and other relevant resources.
- Based on institutional policies and procedures, a careful review of the information in the
 applicant's file including criminal convictions and pending adjudications, the information
 in the criminal background check report, and relevant supplementary materials obtained
 from the applicant and other sources including court documents will ensue. Factors
 involved in the individual case review may include, but not be limited to:
 - the nature, circumstances, and frequency of any reported offense(s)
 - the length of time since the offense (s)
 - available information that addresses efforts at rehabilitation

- the accuracy of the information provided by the applicant in their application material.
- the relationship between the duties to be performed as part of the educational program and the offense committed.
- Any applicant with a minor offense finding in the CBC whose acceptance is referred to the Review Committee has the right to appear before that committee to contest or explain the findings from the CBC.
- Applicants who showed in the CBC minor offenses, and who believe that there are
 extenuating circumstances to be considered, may submit a written request for consideration
 to the MD Program.
- When appropriate and necessary, the applicant with the CBC minor offense finding may be asked to meet with the Review Committee.
- The Review Committee will be responsible for deciding whether the results of the background investigation disqualify an applicant from entrance into the MD Program. They will forward their decision in writing to the applicant, to the Admissions Office, to the Dean of Students, and the Dean of Medicine within thirty (30) working days.

Appeals process

- The applicant may appeal any decision to deny admission to him or her based on the decision of the Review Committee. *Only those applicants with no mayor findings in the CBC can appeal*. The appeal must be made in writing within seven days of notification of refusal for final admission and sent to the Dean of Students and the Director of Admissions of the UCC.
- The College Dean will consider the request for appeal.
- The applicant may request a meeting with the Dean. The Dean will determine whether such a meeting is necessary and will determine any terms of the meeting. The Dean will render a decision on the matter within seven days of the receipt of all relevant material including the analysis of the Review Committee, if necessary. The applicant, the Admissions Office and the Dean of Medicine will be informed of this decision.
- The decision of the Dean of Medicine regarding the termination of the offer of admission is final and cannot be appealed.

Maintenance of Records and Confidentiality

• Information obtained for the purpose of and during the criminal background check, will be retained by the Admission Office separate from other student educational and academic records. Confidentiality will be maintained consistent with FERPA guidelines.

Prepared and

Omar Pérez Del Pilar, Ph.D., Dean of Admissions and Students Affairs

Submitted by:

and Legal Counselors- González Badillo's Law Firm

November 30, 2010

Approved by:

José Ginel Rodríguez, MD, President

November 30, 2010

Reviewed by:

Dr. Jose Luis Oliver-Sostre, Dean of Admissions and Students Affairs

June 2023

The Universidad Central del Caribe School of Medicine (UCC-SoM) Policy and Procedures On Criminal Background Checks (CBC)

Appendix I

Criminal Background Check Reporting Criteria

Some criminal offense precludes students from participating in patient care (i.e., Red Flags). In Addition, some professional licensure boards include specific offense which constitutes a failing report and to what extent clinical sites would refuse a student based on the failed status. All felony cases listed below will be posted as a "Fail". In addition, each clinical facility may require more or less stringent criteria for placement.

Major Offenses (Red Flags are those that indicate clear potential threat or harm to the community-constitutes an automatic failure of the CBC and termination of the admission process and dismissal from the MD Program)

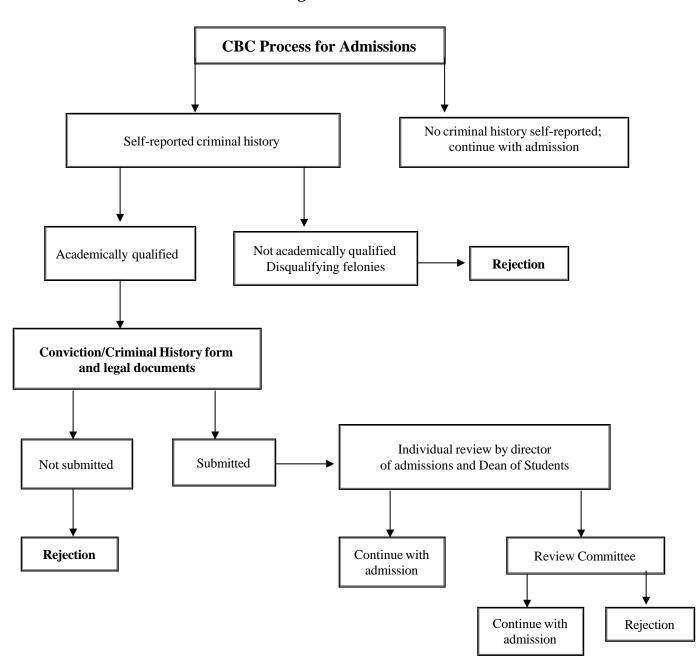
- Assault and related offenses when resulting in a felony
- Battery and related offenses when resulting in a felony
- Arson, Attempted Arson
- Child abuse, aggravated child abuse, neglect of a child, exploitation of a child.
- Endangering the welfare of a child
- Theft and related offenses resulting in a felony
- Contributing to the delinquency of dependency of a child
- Fraud
- Credit Card Crimes: Credit Card Fraud, Credit Card Theft, illegally use a credit Card
- Hate Crimes and related offenses
- Sale, Distribute, deliver controlled substances and related offense when resulting in a felony
- Possession of a controlled substance when resulting in a felony
- Incest
- Kidnapping, False Imprisonment
- Killing of an unborn child by injury to the mother
- Lewd and Lascivious Behavior when resulting in a felony
- Murder, Maiming, Manslaughter, Attempted Murder, Attempted Maiming, Attempted Manslaughter
- Malicious Wounding, Unlawful Wounding
- Prohibited acts of persons in familial or custodial authority
- Rape, Sexual Abuse, Sexual Assault, Incest
- Sexual Misconduct
- Sexual Assault, Sexual Battery and other Sexual Offenses
- Robbery and related offenses resulting in a felony
- Vehicular Homicide, Vehicular Assault, Hit and Run
- Breaking and Entering
- Weapon Crimes and related offenses
- Embezzlement

Minor offense (Yellow Flags – may not constitute and automatic failure of CBC). These will be reviewed independently, and a decision will be based on the nature of the crime and the nature of the position: (e.g., 10-year-old charge of Possession of Marijuana, DWI, etc.)

Note: This is not an all-inclusive list. Some offenses have no time limit; others will have a time limit of 5 to 10 years. Applications are reviewed on a case-by-case basis. The UCC-SOM may request additional applicant information as part of the decision-making process.

The Universidad Central del Caribe School of Medicine (UCC-SOM) Policy and Procedures On Criminal Background Checks (CBC)

Figure 1



UNIVERSIDAD CENTRAL DEL CARIBE, INC. REFUND POLICY

The university has a tuition refund policy that stipulates the amount of tuition and fees that are refunded to a student who withdraws from all classes during a term.

The following chart shows the amount of tuition and fees returned to a student, depending upon when the student withdraws.

Time of Withdrawal	% of charges Refunded
Before the 1st day of class	100% tuition; 100% fees
Within the first week of classes	80% tuition; 0% fees
During the second week of classes	50% tuition; 0% fees
After the second week of classes	0% tuition; 0% fees

• Registration deposit guaranteeing admissions is not refundable.

Return of Federal (Title IV) Financial Aid

As an Institution that participates and distributes students' financial aid Title IV Funds, Universidad Central del Caribe adheres to the Federal guidelines governing refunds related to said program. The return of the Title IV Funds Policy applies to all registered students who qualify and participate in the federal financial aid program and later withdraw or are administratively withdrawn. The policy determines the amount of funds the student spends at the moment of withdrawal up to sixty (60) percent of the academic term; after this period refunds are not applicable.

Refunds will be made within thirty (30) days from the date that the University determines that the student had withdrawn. Requests for withdrawal must be submitted in writing to the registrar.

The formula used to determine the total amount to be refunded is as follows:

- 1. Determine the percentage of period of enrollment the student attended.
- 2. Determine the amount of Title IV funds earned by multiplying the total amount of Title IV aid (other than FWS) for which the student qualified by the percentage of time enrolled.
- 3. Compare the amount earned to the amount disbursed, if less aid was disbursed than was earned the student may receive a post-withdrawal disbursement by the institution for the difference. If more aid was disbursed than was earned, the Institution will determine the amount of Title IV aid that must be returned (i.e., that was unearned) by subtracting the earned amount from the amount actually disbursed. The difference will be refunded to the appropriate Title IV Funds Program.
- 4. If the student received an amount of funds that had been considered due prior to withdrawal and upon withdrawal it is determined the student received an overpayment, the student will be responsible for the reimbursement of the amount determined to be an overpayment.

If the student has received an overpayment, the Financial Aid Office will communicate to the student the existence of his situation and that he/she is responsible to return the overpayment amount.

Approved by: José Ginel Rodríguez Irizarry, MD

President and Dean of Medicine

Date: June 2011

Reviewed by: Dr. Jose Luis Oliver-Sostre, Dean of Admissions and Student Affair

June 2023

Due Process Policy and Procedure in cases facing adverse action under the Regulations for Student Evaluation and Promotion of the School of Medicine

I. Introduction

This Due Process Policy and Procedure is intended to describe the course of action and protections available at the UCCSoM, should the Committee on Student Evaluation and Promotion (CSEP) recommend an adverse action against a student for failure to maintain academic standards. Expected academic standards for medical students are described in detail in the Regulations for Student Evaluation and Promotion of the School of Medicine (RSEP).

The due process for any actions not considered under this policy is outlined in the General Student Rules and Regulations under Chapter V (Standards of Student Conduct and Ethics), Articles 15 through 21.

II. Relevant Definitions

a. Due Process

Any student whose rights or privileges as defined in the Regulations for Student Evaluation and Promotion are affected by the action of a member of the faculty or administration has the right to be informed in a timely fashion of the impending adverse action, should be provided with disclosure of the evidence on which the action would be based, must have an opportunity to respond, and an opportunity to appeal to the pertinent authority of the institution. The due process comprises all of these protections, provisions, and courses of action.

The right to appeal may be exercised by the student personally or through the General Student Body Council, elevating the appeal before the concerned Faculty, Departmental Chair, Year Coordinator, Associate Dean of Medicine, the Committee on Student Evaluation and Promotions, and the Dean of the Medical School, or other designated individual.

b. Adverse Action

At the UCCSoM an adverse action is defined as any action undertaken by an institutional authority that separates the student from the School of Medicine or that delay by at least one semester a student's completion of the MD degree. Adverse actions include but are not limited to dismissal from the School of Medicine, temporary enforced leave of absence, and repetition of all or part of the curriculum.

III. Provisions

a. Student Access to Support Services

Any student at the UCCSoM will be informed by the Dean of Student Affairs (DSA) of the available services to support he/she in reaching his/her academic and professional goals. The DSA or a designated person will inform the student of his/her academic situation at the UCCSoM and the anticipated implications of his/her academic status. The DSA will inform the student regarding the courses of action and protections available at the UCCSoM, should he/she be in a position of facing an adverse action due to failure to maintain academic standards.

b. Student Right to Challenge a Grade

Any student has the right to be informed of his/her grades on examinations or any other evaluative activities. In addition, the student has the right to review the examinations and other required work, duly corrected and graded. The student has the right to challenge a grade in the case it is inaccurate or miscalculated. The student requests to resolve an academic problem should be addressed by the concerned Faculty, Course Director, Departmental Chair, Year Director, and Associate Dean of Medical Education. All of these claims should be in writing and responded (in writing) by the UCCSoM academic administrators within a reasonable time frame (within 15 days of receipt of any challenge).

c. Consideration of an Adverse Action

Consideration of an adverse action may be initiated by CSEP through their periodic evaluation of a student academic progress. In such cases the Associate Dean of Academic Affairs (ADAA) of the School of Medicine will submit a written warning to each student facing an adverse action due to failure to maintain academic standards. In the written letter the ADAA will inform under which specific regulations the adverse action has been considered and instruct the concerned student and involved faculty to submit the written evidence necessary for the CSEP to conduct a detail evaluation. Should the student fail to submit the written evidence to the ADAA within thirty (30) days he/she has waived his/her right.

The ADAA will maintain a confidential students' file to keep and protect all the corresponding documentation under consideration through the due process.

d. Imposition of an Adverse Action

Prior to taking final action on an academic situation that might impose adverse action; the CSEP will conduct a detailed investigation and review all available information from the student and or concerned faculty. Substance of the issues considered will be included in the minutes of the meeting at which the student's performance is discussed. The CSEP will arrive at a decision by a simple majority vote.

The CSEP recommendation for an adverse action will be communicated in writing to the student by the ADAA expeditiously. Within 15 days of the CSEP meeting at which the adverse action was recommended, the student will be notified of the action by certified letter from the ADAA.

IV. Appeals

1. Appeal of an Adverse Action

A student on whom an adverse action is being imposed has the right to appeal the decision. The student must make a written request for an appeal to the Dean of the School of Medicine (DSoM) stating the reasons why the student disagrees with the decision. The student's request for an appeal must be received in writing within 30 days after receipt of the adverse action letter. In preparing for the appeal, the student may request a copy of the relevant portion of the minutes of the CSEP meeting at which the student's performance was discussed.

Should the student fail to notify in writing the Dean of Medicine of the appeal within thirty (30) days, this shall be considered a waiver of the right to an appeal and the decision shall become final for the School of Medicine.

2. Appeal to the Dean

The DSoM will review the student's academic record, the recommendation of the CSEP and the decision of the Associate Dean of Medicine and any other documents in the student's appeal file. The DSoM has the prerogative to meet with the student.

The DSoM will communicate his/her decision to the student in writing within 3 days of conclusion of the appeal process. The Dean's decision is final for the School of Medicine.

3. Discretionary Review of the DSoM's decision by the President and the Board of Trustees

The DSoM's decision is subject to discretionary review by the President of the UCC and the Board of Trustees. The President and the Trustees will normally accept review only in extraordinary cases, such as where proper procedures have apparently not been followed, contractual rights are being breached, where the decision appears to be unsupported by the facts, or where the decision appears to violate UCCSoM policy. Procedures for such appeals shall be according to the General Regulations ("Reglamento General") of the UCC.

a. Discretionary Review of the DSoM's decision by the President and the Board of Trustees

The DSoM's decision is subject to discretionary review by the President of the UCC and the Board of Trustees. The President and the Trustees will normally accept review only in extraordinary cases, such as where proper procedures have apparently not been followed, where the decision appears to be unsupported by the facts, or where the decision appears to violate UCCSoM policy.

Prepared by: Dr. Nereida Díaz Rodríguez

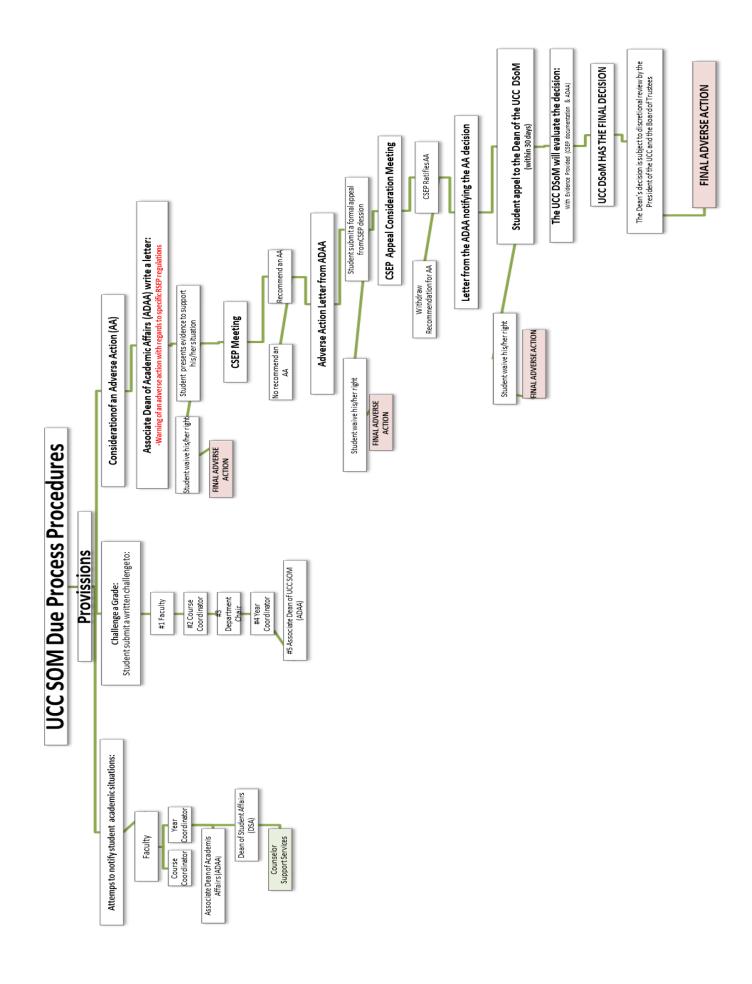
Dean of Academic Affairs

May 26, 2011

Reviewed by: Dr. Jose Luis Oliver-Sostre

Dean of Admissions and Student Affairs

July 2023



Policy to Request Students at Academic Difficulty to meet with Student Support Services Personnel

PURPOSE:

To facilitate the timely and appropriate academic progression of each medical student, a formal review policy and procedure is employed to track and aid students enrolled in the program leading to the medical degree in the School of Medicine. This policy and procedure provide early identification of students that may encounter academic difficulty and enable the implementation of timely corrective measures.

The Universidad Central del Caribe (UCC) has an obligation to maintain the credibility of the University and the integrity of its programs and courses by maintaining and insisting upon appropriate levels of achievement from its students in their academic study. The UCC addresses this obligation through the ongoing formal Academic Monitoring System (AMS). Students confronting academic difficulties are identified by faculty/course coordinators and referred to the guidance counselor to assess factors in need of attention and to develop an appropriate intervention plan.

DEFINITIONS:

Students in Academic Difficulty:

A student that is performing marginally or below a passing score in one or more courses and is at risk of failing a course/clerkship.

Academic Monitoring System:

The AMS is a system that works in close collaboration between the faculty/course directors, year director, the Associate Dean of Medical Education, the Dean of Admissions and Student Affairs, and the license professional counselor. Through the AMS students confronting academic difficulty are periodically reported to the Deanship of Student Affairs for the corresponding support services.

Support Services Personnel:

Refers to a License Professional Counselor, Dean of Student Affairs or academic advisor.

POLICY CONTENT AND PROCEDURE:

A student that is performing marginally or below passing score in one or more courses/clerkships and is at risk of failing will be identified and reported through the AMS. After each unit exam, the course director reports the results to the year director. The year coordinator documents and compares the progress of each student and submits a report to the year subcommittee. Students presenting academic difficulties are identified and referred to the Dean of Admissions and Student Affairs, who discusses the report with the License Professional Counselor. The License Professional Counselor follows up on those students presenting academic difficulties by e-mail, phone calls or regular mail in order to invite them for a visit.

All students who are identified with academic difficulty and making unsatisfactory academic progress **are required** to discuss their academic progress with the License Professional Counselor /Dean of Students or academic advisor.

During this process, the student will be given assistance to identify reasons for not achieving satisfactory academic progress and support in order to develop an intervention strategy. This intervention strategy will include actions agreed upon by the student. Agreed-upon actions may also be developed in conjunction with the faculty and academic advisor. The plan may include counseling (provided at the institution or outside), tutorial help, referral to the Mental Hygiene Clinic or to external health care providers or other specialized services. These services will adhere to all confidential management of records in compliance with all institutional policies and federal regulations to this effect. The License Professional Counselor reports to the course director the follow-up provided to the students who were presenting academic difficulties.

Students facing academic difficulty may seek assistance from the Office of the Dean of Student Affairs on a voluntary basis.

A student with academic difficulties may refuse to seek help at the Deanship Students Affairs, License Professional Counselor or Academic Advisor. In such cases students will be referred to the Student Evaluation and Promotion Committee for a face-to-face hearing with the Committee. The student will have to express the reasons for refusing the service and must present a corrective plan to the attention of the Committee for their approval and follow-up.

Approval date: October 2011

This policy takes effect on: November 2011 This policy will be reviewed on: Every year

Dr. Jose Luis Oliver-Sostre Dean for Student Affairs Review on: June 2023

Medical Student Professional and Ethical Values: Fitness to Practice

I. INTRODUCTION:

Medical students have responsibilities and privileges that are different from those of other students and therefore, higher professional and ethical behaviors are expected of them. The medical school has the responsibility to ensure that medical students are given the guidance and the opportunity to learn and practice the standards to which they will be held to.

II. PRINCIPLES OF PROFESSIONAL BEHAVIOR FOR MEDICAL STUDENTS:

Although medical students have legal restrictions on the clinical work they may perform, patients often see them as knowledgeable and with the same duties and responsibilities as a doctor. Medical students must be aware that their actions can and will affect patients.

Students should be encouraged to strive for academic excellence, as well as high standards in their professional and personal lives. Basic clinical training gives medical students the opportunity to learn professional behavior in a supervised setting that provides safety for patients but also gives the school an opportunity to identify behaviors that are not acceptable and take remedial action to help students improve. This applies not only to student behavior within the school or clinical setting, but students should be aware that their behavior outside the clinical environment, including their personal lives, may have an impact on their fitness to practice. Student behavior must, at all times, justify the trust that the public places in the medical profession.

To be able to provide good clinical care is fundamental to becoming a physician. This is the objective that should drive and guide a medical student's behavior in clinical and academic work.

- a. To provide good medical care is fundamental to becoming a doctor. The students should:
 - Recognize and work within the limits of their competence and ask for help when necessary.
 - Accurately report their position or abilities (Ex. should not present themselves as "doctor").
 - Make certain that they are supervised appropriately when performing any procedure or clinical task
 - Respect the patient's autonomy and rights.
 - Behave with courtesy and respect.
 - Not allow personal biases to interfere with a patient's treatment. The student must not discriminate against patients because of gender, age, color, ethnicity, social standing or lack thereof, religion, beliefs, sexual orientation marital status, etc.
 - Report any concerns they may have about patient safety or well-being to the appropriate authority.
 - Be aware of the responsibility of maintaining their knowledge and skills throughout their careers.
- b. To demonstrate good clinical practice, students must be aware of their responsibility to maintain their knowledge, and skills throughout their careers. The student should:
 - Attend compulsory teaching sessions or lectures, and if unable, arrange with the proper authority to remediate or fulfill the learning objectives for the activity.
 - Complete and submit work on time.
 - Be responsible for their own learning.
 - Reflect on feedback about their performance and achievements and respond constructively.
 - Respect the knowledge and skills of those involved in their education.

- Are expected to contribute to the teaching, training, appraising, and assessing of students and peers. They should be fair, honest, and objective when appraising the work of others, in order to ensure that students and peers acquire and maintain a satisfactory standard of practice.
- c. Medical students have extensive contact with patients during their academic courses. To maintain good relationships with patients, students should:
 - Build and maintain relationships with patients based on openness, trust, and good communication.
 Relatives, spouses, and other caretakers must be treated with respect and consideration and be given support as necessary.
 - Students must maintain a professional boundary between themselves and anyone close to the patient. Their position must not be used to cause distress or to exploit patients.
 - Patient's autonomy must be respected, not only in terms of choices for treatment, but in participating in teaching, research, or any activity undertaken by the student.
 - Patients have a fundamental right to expect that information about them to be held in strict confidence. A patient's case may not be discussed in a way that may identify them with anyone not directly involved in the case. Academic work containing specific information about a patient must not identify the patient if it is to be seen outside the care team. This includes case and procedure logs that are submitted as part of the student's coursework or assessment.
 - Students must treat patients with respect and dignity.
 - Be aware of ethical issues in their behavior with patients, their careers, relatives, partners, or anyone else close to them. This includes issues of consent and confidentiality.
 - Make sure that the patient has consented to a student being involved in their care.
 - Make sure they are clearly identified as students
 - Dress in an appropriate and professional way. They need to be aware that patients will respond to their appearance, presentation, and hygiene.
- d. As members of a team, students should
 - Work effectively with colleagues inside and outside of healthcare in order to deliver a high standard of care and safety.
 - Develop skills necessary to work in multi-disciplinary teams. This involves respect for the contributions and skills of other members of the team and developing effective communication with the other members of the team, as well as not discriminating against them.
 - Protect patients from harm posed by another physician's or medical student's behavior, performance, or health. The information should be shared with the appropriate person.
 - Demonstrate skills that allow them to deal with uncertainty and change in the workplace.
 - Develop and demonstrate teamwork and leadership skills.
 - Awareness of the roles and responsibilities of the persons involved in delivering health care.
- e. Probity: Students must be honest and trustworthy, and act with integrity.
 - By bringing attention to any concerns about, or errors, in their clinical work.
 - Be honest, original, and genuine in their academic work, in conducting research.
 - Be honest and trustworthy when writing reports and logbooks.
 - Be honest in not misrepresenting themselves in CVs, or applications: not misrepresent their qualifications, positions, or abilities.
 - Be honest in any financial dealings.
 - Cooperate with any formal inquiry by the medical school, or other agency into their health, behavior, or performance, or that of anybody else.
 - Comply with the laws of Puerto Rico.
 - Comply with the regulations of the medical school or the clinical settings where they may be assigned.

Students must be aware that fitness to practice may be impaired for a number of reasons (and that the information can be recorded in the Medical Student Performance Evaluation (MSPE/Deans Letter) on seeking a residency program):

- Misconduct: issues that raise questions about a student's probity, trustworthiness, or character.
- Deficient professional performance.
- Convictions or a determination by a regulatory body.
- Adverse physical or mental health (including problematic use of alcohol, legal or illegal drugs).

III. FREQUENT AREAS OF CONCERN RELATING TO STUDENT FITNESS TO PRACTICE:

Areas of Concern	Examples
	Child pornography
	• Theft
Criminal Convictions	 Financial Fraud
Criminal Convictions	 Possession of illegal substances
	 Child abuse or any other abuse
	 Physical or verbal violence
	Drunk /reckless driving
	 Alcohol consumption that affects clinical work
Drug/Alcohol Misuse	or the work environment
	 Dealing, possessing, or misusing drugs, even
	if there are no legal proceedings
	 Assault and Battery
Aggressive or Violent Behavior	 Physical Violence
Aggressive of Violent Denavior	 Bullying
	• Abuse
	 Uncommitted to work
	 Neglect of administrative tasks
Persistent Inappropriate Attitude or	 Poor time management
Behavior	Non-attendance
	 Poor communication skills
	Failure to accept and follow educational advice
	 Cheating on exams, logbooks, or portfolios
Cheating/Plagiarizing	 Passing off another's work as if one's own
Circumg'i mgurizing	• Forging a supervisor's name or signature on
	assessments
	 Falsifying Research
Dishonesty or Fraud	Financial Fraud
215110110019 02 12111111	Fraudulent CVs or other documents
	Misrepresentation of qualifications
	Breach of Confidentiality
	 Misleading patients about their care or
Unprofessional Behavior or Attitudes	treatment
	Culpable involvement in a failure to obtain
	proper informed consent from a patient
	Sexual, racist or other forms of harassment
	 Inappropriate examinations or failure to keep boundaries in behavior
	 Persistent rudeness to patients, colleagues,
	• Persistent rudeness to patients, coneagues, or others
	Unlawful discrimination
	- Omawita discrimination

IV. MANAGING REPORTS OF UNPROFESSIONAL ACADEMIC STUDENT BEHAVIOR:

- 1. Concerns about student professional academic behavior may arise from (a) faculty with whom a student interacts during a course, or another (b) faculty, staff, student, or patient with whom the student interacts. These individuals will be expected to report their concerns to the faculty responsible for the student's evaluation. In some instances, such reports may go directly to the course/clerkship director. Some student behaviors, such as academic dishonesty and unlawful behavior are managed under the University's disciplinary process and are not a part of this policy.
- 2. Faculty is expected to discuss all concerns about a student's professional behavior both with the student and with the course/clerkship director. The faculty then has the option to (a) include professionalism concerns in the student's formal evaluation, (b) submit a separate Early Concern Note to the course or clerkship director, (c) include professionalism concerns in the student's evaluation and submit an Early Concern Note or (d) determine that no action is indicated. In the case of reports which come to the course /clerkship directors directly from staff, students, patients or faculty not responsible for the student's evaluation, the course /clerkship director may choose to complete an Early Concern Note.
- 3. Upon receipt of an Early Concern Note, the course/clerkship director will review the information with the student and forward the Early Concern Note to the Dean of Student Affairs. While Early Concern Notes are confidential, (their content is not shared with anyone except the reporting faculty, course/clerkship director, and student) they are not anonymous. The student will know which faculty or course/clerkship director submitted the Early Concern Note. For this reason, faculty/course/clerkship directors are strongly urged to review their concerns personally with the student when an Early Concern Note is submitted.
- 4. Upon receipt of an Early Concern Note on a student, the Dean of Student Affairs will meet with the student to discuss the report. After this discussion, the Dean will make recommendations to the student regarding appropriate interventions. Any professional forms do not become part of the student's academic record.

In order to promulgate this policy of Medical Student Professional and Ethical Values: Fitness to Practice, this document will be posted electronically on the University's website, and a copy will be distributed to students, faculty members, residents, and other individuals who teach students. Additionally, this policy will become part of the institutional policies and regulations.

This policy is applicable to all medical students immediately.

Prepared by: Frances García, MD

Date: June 22, 2011

Approved by: José Ginel Rodríguez, MD

President and Dean of Medicine

Date: June 22, 2011

Reviewed on: Dr. José Luis Oliver-Sostre

June, 2023

Student Professional and Ethical Values: Fitness to Practice

Early Concern Note

Please complete this note if you have any concerns about the professional behavior of a student. This note is to be submitted to the course or clerkship director who is responsible for the course in which the student is currently enrolled. The course/clerkship coordinator will submit the note to the Dean of Student Affairs.

Student Name	
Course	Date
Person originating this <i>Early Con</i>	cern Note (print)
	his Early Concern Note
This form is being completed based on:	[] my direct observation(s) or encounter(s) with this student [] information about this student provided to me by a third party
<u> </u>	ng patterns of behavior is not meeting the personal or professional standards inherent case mark the area which best describes your concerns about this student. Provide on the back.
Integrity and Personal Responsi	·
[] fails to fulfill responsibilities re	-
[] misrepresents or falsifies action	
[] fails to accept responsibility fo	
[] fails to respect patient confiden	•
	on to take advantage of a patient emotionally or sexually
[] Other	
Motivation to Pursuit of Excelle	nce and Insight for Self-improvement: The student
	itment to honoring the needs of patients
[] is resistant or defensive in acce	
[] remains unaware of his/her limit	• •
[] resists considering or making c	hanges based on feedback
	inimally acceptable level of effort as a goal
[] Other	
Personal Interactions - Compass	sion and Respect: The student
[] inadequately establishes rappor	rt or empathy with patients or families
[] does not function and interact a	appropriately within groups
[] is insensitive to the needs, feel	ings or wishes of others
[] uses demeaning or disrespectfu	
[] is abusive or arrogant during ti	mes of stress
[] fails to maintain a professional	appearance / attire
[] Other	

the student on the problem(s) identified. **COMMENTS** (required): (a separate sheet may be attached) **Instructions for Course Director:** 1. Review this *Early Concern Note*. If possible, meet with the student to review/discuss the concerns. 2. Sign below; if you meet with the student, ask the student to complete the student section below. 3. Forward this Early Concern Note to the Den of Student Affairs. Course Director Signature Date I have reviewed the contents of this Early Concern Note with the student: [] YES [] NO For completion by the student: I have read this evaluation and discussed it with the course/clerkship director. The student's signature on this form is intended only to verify that the student has reviewed the form with the course or clerkship director. Student Signature _____ Student Comments (optional) For the use of the Dean of Student Affairs: Nature of discussion with student and recommendations: Student's signature_____ Date __/_/ Dean of Student Affairs signature Date / / Modified and adapted from the work of Maxine Papadakis, University of California

The back of this form must be used to describe details and examples of student behaviors that led to the completion of this form. This form will be shared with the student and the information will be used to counsel

Policy for the Provision of Health Care Services to Students

Element 12.5 Non-Involvement of Providers of Student Health Services in Student Assessment states that the health professionals who provide health services, including psychiatric/psychological counseling, to a medical student are not involved in the academic assessment or promotion of the medical student receiving those services...

Faculty members and residents with student assessment responsibilities are precluded from evaluating students that are their patients because of dual-relationship and conflict of interest issues. The conflict created by this dual role could affect both the quality of medical care and the content of evaluations:

- a student-patient might be less likely to report a sensitive medical issue (e.g., drug abuse) to his/her physician if that physician will be providing an evaluation or grade
- a faculty member's evaluation or grade (which is likely to include some subjective elements) might be, despite the evaluator's commitment to neutrality, positively or negatively affected as a result of the therapeutic relationship

In instances of pre-existing doctor—patient/student relationships, the physician must discuss with the student the potential for a dual relationship and inform the student that he/she will abstain himself or herself from any situation in which a formal evaluation is required.

In emergent situations or other instances in which an appropriate referral is not available, a student may seek the care of any faculty member or resident. In this circumstance as well, the physician must discuss with the student the potential for a dual relationship and abstain him- or herself from any situation in which a formal evaluation is required.

The Office Licensed Professional Counselor is the primary student service unit in a position to channel and address student health and counseling needs. As a general practice, the Office never refers a student for health services in the community with someone that is known to be affiliated with the UCC faculty in a position of assessing student performance or taking part in the evaluations and promotions process.

Students may request a referral to a health care provider that might be in a position to assess his/her academic performance or be crucial in the evaluation and promotions process. When such a case arises, the Licensed Professional Counselor will ensure that the potential conflicts are thoroughly discussed and that the student is making an informed decision in pursuing such services.

In addition, medical students will be given information about available medical and counseling services through several mechanisms:

- During incoming student's orientation activities-Students receive information about medical and counseling services provided by the UCCSoM and nearby facilities and through coordination of services by the counselors;
- The students that have their health insurance sponsored by the university receive the Providers directory guide and a copy of the specific insurance coverage for their review and reference:
- Active referral process from the Dean of Students in close collaboration with the counselors.

All of these mechanisms are focused on providing information to facilitate access to the appropriate services available in the community without generating any uncomfortable situation for both, the student and the health professional.

Prepared and Omar Pérez Del Pilar, Ph.D.

Submitted by: Dean of Admissions and Students Affairs

Date: August 9, 2011

Approved by: José Ginel Rodríguez, MD,

President and Dean of the UCCSoM

Date: August 9, 2011

Reviewed by: Dr. José Luis Oliver-Sostre

Dean of Admissions and Students Affairs

June 2023

Healthy Cohabitation

UNIVERSIDAD CENTRAL DEL CARIBE STUDENTS DRESS CODE

Universidad Central del Caribe (UCC) is interested in developing a healthy environment that promotes the achievement of academic and professional goals of its students. With the purpose of guaranteeing the utmost security to all students and developing a distraction-free space, the following rules which constitute UCC's Students Dress Code will be observed at all times.

We believe that the attire of all students must be in accordance with a study/academic environment, and conducive to professional development. Proper attire for a health professional identifies him; it contributes to personal and collective safety and alerts him about potential risks to his health. Students must be aware that their attire reflects pride in their profession and respect for himself/herself and his/her patients.

Personal Hygiene

Due to the slight contact, we have in the classroom with our peer students, faculty, and academic administrators and with our patients in the clinical workshops, it is essential to maintain an appearance that communicates our professionalism. Our appearance must at all times denote that we observe sound hygiene and grooming practices. We as health professionals should be self-aware and considerate when spraying perfume and putting makeup on our bodies in a way that is inoffensive to our patients.

The hands and nails of a health professional are always kept clean. Nails should reach a natural length that does not interfere with the performance of clinical and professional duties.

Hair should look groomed and natural.

Attire

For all students of the Medicine Program, proper attire requires the use of a coat. For all students of the Medical Imaging Technology (including all categories) proper attire includes the use of a uniform. For all students of the Graduate Program in Biomedical Sciences proper attire requires the use of a coat. For students of the Graduate Program in Substance Abuse Counseling, attire according to their profession is required (decorous, in good condition, and avoiding exposure to any intimate body parts). For all students of the Chiropractic Program proper attire requires the use of a coat, scrub, or that attire which may be required by the coordinators of their course (decorous attire, in good condition, and avoiding exposure to any intimate body part).

Those students who are required to use a coat must be mindful of wearing professional attire (decorous, in good condition, and which avoids exposure to any intimate body part) under his/her coat. Men should wear a shirt and a tie. Women must wear professional attire (long pants, a skirt, and/or a dress of an appropriate length) which avoids showing any intimate body parts).

During clinical workshops, proper attire includes the use of close-toed shoes and socks. This is a protective and security measure.

Outside the clinical workshops, students must at all times observe professional attire which is in accordance with academic environment. Classroom attire and at UCC facilities may be casual. However, shorts, sleeveless t-shirts, spaghetti strap blouses, "mini-skirts or dresses above the knee", hats, sunglasses (dark), or flip-flops will not be allowed. Any attire that shows any intimate body part (such as the bust, navel, thighs, and buttocks) will not be allowed either.

ID card

UCC students must use their ID card at all times. The ID card must be positioned so that the student's name may be visible at all times.

Applicability

We expect that all students participating in academic, and professional activities, or performing administrative and teaching-learning duties at the UCC, observe this Students Dress Code.

UCC professors and personnel will not receive in classrooms, offices, or in the library, students who do not observe these rules and, therefore, will deny them any service requested at that time. These rules apply to all activities during the work schedule and academic operations, both during school periods and during vacation time.

Any student incurring in violations to this Students Dress Code may be referred to the Discipline Committee following the due process as provided by the General Students Regulations.

These rules will apply to all students consistently and fairly. Those students who due to religious, cultural or health reasons are prevented from observing this Students Dress Code must request the corresponding accommodation to the Dean of Students Affairs.

Summary

The UCC is aware that the attire of every health professional in training is an essential component of his/her work ethic. The UCC has adopted this Student's Dress Code, for the purposes of promoting a distraction-free environment, contributing to the development of the highest levels of professional competition in an edifying manner, and ensuring the security that must be present in an academic environment.

This Students Dress Code comes into effect immediately and supersedes the previously published Dress Code. The UCC may review this Student's Dress Code any time it deems appropriate. The application of this Students Dress Code does not constitute any discrimination whatsoever for reasons of sex, race, color, religion, ideology, economic level, political preference, nationality, or physical or mental handicaps.

Prepared by: Nereida

Díaz

Rodríguez, PhD Dean of Student Affairs July 20, 2007

Approved by: José Ginel

Rodríguez Irizarry, MD President

Date: July 20, 2007

Reviewed by: Dr. José Luis Oliver-Sostre

Dean of Admissions and Students Affairs June 2023 June 30, 2023

University Community

Dr. José Luis Oliver-Sostre Dean of Admissions and Student Affairs

REMINDER

As a general rule, it is established that **posters or propaganda** may only be placed in those designated spaces within our facilities for this purpose (bulletin boards). That excludes walls, doors, and glass. We request everybody's cooperation in observing this rule. All those posters or propaganda which does not adhere to this rule will be removed.

I must remind our student body that any student activity taking place in our facilities must have the endorsement of the Deanship of Student Affairs. Any activity which takes place outside our institution, but may require promotion in our facilities, will also require the approval of our Dean's Office. All this is following the institutional policy about the possession, use, or illegal distribution of controlled substances and alcoholic beverages by UCC students and employees.

As usual, I am counting on everybody's cooperation to deal with this issue.

RULES FOR USE OF THE "STUDENT LOUNGE"

In order to guarantee an optimal environment when enjoying this room, we must respect the following rules:

- This room belongs to all UCC students.
- This room is not a study area.
- Intake of alcoholic beverages, cigarettes, vapers, or other drugs is prohibited.
- Intake of alcoholic beverages is strictly prohibited in this Institution.
- Behavior, devices, movies, and activities which are morally offensive to your peers must be avoided.
- This room must be kept clean and neat at all times.
- Remember to care for and protect all equipment located in the area. If you identify
 anything broken, please promptly notify the Deanship Students Affairs or the
 Deanship of Administration.

THIS ROOM IS YOURS!

TAKE CARE OF IT AND ENJOY IT!!!

Política de Animales de Servicio

La Universidad Central del Caribe (UCC) reconoce la importancia de atender a la población que requiera el uso de animales de servicio. Por esta razón, la UCC ha establecido la **Política de Animales de Servicio** apoyada por la *American with Disabilities Act* (Ley ADA). Esta política pretende garantizar que los estudiantes que requieren el uso de un animal de servicio, tengan un acomodo razonable de manera que puedan recibir el beneficio de la realización de sus deberes de una manera apropiada. La institución se compromete a permitir el uso de animales de servicio a personas con discapacidad para facilitar su participación e igualdad de acceso. Luego de que el estudiante se haya comunicado y certificado con las debidas áreas dentro de la institución que trabajan el componente de Servicio con Discapacidad, el estudiante tendrá acceso a todas las áreas disponibles para los estudiantes menos aquellas que salvaguarden la salud del animal de servicio. Esta política establece las reglas y los protocolos específicos para el uso apropiado de los animales de servicio.

Objetivos

El propósito de esta política es proveer a la Comunidad Universitaria una guía para el uso de animales de servicio en nuestras facilidades. Esta política ayudará a:

- Comprender los derechos de la comunidad con discapacidades que utilizan animales de servicio.
- Definir los tipos de animales de servicio.

Animales de Servicio y la Ley Federal ADA

Los animales vivos que no se utilizan para propósitos de investigación o enseñanza, normalmente son parte de la propiedad institucional. Sin embargo, bajo la ley federal ADA, los **animales de servicio** no están excluidos de las actividades de la Universidad, siempre y cuando cumplan con los requisitos establecidos en esta política.

Definiciones

Animal de Servicio

De acuerdo a las regulaciones establecidas en el Título II (programas del gobierno estatal y local) y el Título III (empresas privadas, también conocidas coma lugares públicos abiertos al público en general, como restaurantes o comercios) del *American with Disabilities Act* (Ley ADA) que entraron en vigor el 15 de marzo de 2011, un animal de servicio se define coma "cualquier perro que esta entrenado para hacer un trabajo o realizar tareas específicas en beneficio para asistir una persona con alguna discapacidad, incluyendo una discapacidad física, sensorial, psiquiátrica, intelectual u otra discapacidad mental." Animales de otras especies, ya sea salvaje o doméstico, entrenado o no entrenado, no son considerados animales de servicio.

El trabajo o las tareas realizadas para un animal de servicio deben estar directamente relacionados con la discapacidad de la persona. A continuación, algunos ejemplos de labores que podría realizar un animal de servicio:

- Asistir a personas no videntes o con poca visión para navegación y otras tareas.
- Alertar a personas que son sordas o con dificultades de audición ante la presencia de personas o sonidos.
- Proporcionar protección sin violencia o trabajo de rescate.
- Ayudar en la movilidad de una silla de ruedas no motorizada.
- Ayudar a las personas con discapacidades psiquiátricas y neurológicas mediante la prevención o la interrupción de conductas impulsivas o destructivas.
- Ayudar a una persona durante una convulsión.
- Alertar a personas ante la presencia de alérgenos.
- Alcanzar cosas coma la medicina o el teléfono.
- Proporcionar apoyo físico y ayuda con equilibrio y estabilidad a personas con discapacidades de movilidad.

Los efectos y presencia de un animal de apoyo emocional, bienestar, comodidad o compañerismo no se consideran labores para propósitos de la definición de animal de servicio.

Animal de Apoyo Emocional

Los animales de Apoyo Emocional o Animales de *Comfort* proporcionan compañía, alivian la soledad y a veces ayudan con la depresión, la ansiedad, y ciertas fobias, pero no tienen entrenamiento especial para llevar a cabo labores que ayudan a personas con discapacidades. Aunque a menudo estos animales se utilizan coma animales de terapia como parte de un plan de tratamiento médico, estos no se consideran animales de servicio bajo la Ley ADA. Los animales de terapia en un contexto clínico ayudan a mejorar el bienestar físico, social y emocional. Algunos, pero no todos, son profesionalmente entrenados. Es decir, en ocasiones el animal de apoyo emocional ha sido entrenado para su propietario. A diferencia de un animal de servicio, un animal de apoyo emocional no tiene que acompañar a la persona a sus actividades de la vida diaria o estar con su propietario en todo momento. Los animales de apoyo emocional no están permitidos en áreas tales como bibliotecas, laboratorios, cafetería y otros, según lo determine la administración.

Un animal validado bajo esta política, es un animal de servicio a quien a su propietario se le ha certificado un acomodo razonable. En el caso de los empleados esta certificación se hará desde la Oficina de Recursos Humanos y el Decanato

de Administración, y en el caso de los estudiantes desde el Decanato de Estudiantes. Dichas áreas tienen la responsabilidad de establecer un reglamento uniforme para evaluar y aprobar las solicitudes de acomodo razonable y mantener un registro de estas solicitudes. Es importante reconocer que la certificación de un acomodo razonable deberá renovarse anualmente por lo que el propietario deberá someter nuevamente la documentación requerida por la UCC.

El propietario es el miembro de la Comunidad Universitaria que ha solicitado el acomodo razonable y ha recibido aprobación para traer el animal aprobado al campus.

Animales Domésticos (mascotas)

Animales domésticos o mascotas pueden incluir gatos, conejos, cerdos, iguanas, culebras, aves, entre otros. Una mascota no es considerada un animal de servicio, por ende, no está cubierto par esta política.

Directrices para el Cuidado y Supervisión de un Animal Permitido en la Universidad

Cuidado y Supervisión

El cuidado y la supervisión del animal son responsabilidad del propietario que se beneficia del uso del animal de servicio. El propietario está obligado a mantener el control del animal en todo momento. El propietario también es responsable de asegurar la limpieza de los desechos del animal.

Salud y Bienestar del Animal

- Vacunación: De acuerdo con las ordenanzas y reglamentos locales, el animal debe ser vacunado contra enfermedades comunes a su especie. Los perros deben tener la vacuna contra la rabia y usar una etiqueta de vacunación antirrábica.
- 2. Entrenamiento: Los animales de servicio o de apoyo emocional deben estar debidamente entrenados, de tal manera que no creen una carga excesiva o una amenaza directa en el Campus Universitario.
- 3. Correa: El animal debe estar en una correa, a menos que la correa inhiba la capacidad del animal para proveer el servicio.

Deberes para los Miembros de la Comunidad Universitaria

- 1. Los miembros de la comunidad universitaria tendrán el deber de cumplir con lo siguiente:
- Deberán permitir que un animal de servicio acompañe a su dueño en todo momento, excepto donde los animales de servicio están específicamente prohibidos.
- No deben tocar ni acariciar a un animal de servicio, a menos que sean invitados a hacerlo por su dueño.
- 4. No deben alimentar a un animal de servicio.

- 5. No deben asustar a un animal de servicio.
- 6. No deben separar o tratar de separar a un propietario de su animal de servicio.
- 7. No deben preguntar por detalles sobre las discapacidades del propietario. La naturaleza de la discapacidad de una persona es un tema confidencial y protegido.

Documentos Mínimos Requeridos para la Aprobación de un Acomodo Razonable bajo esta Política

- Certificación de un profesional de la salud indicando la necesidad del propietario de contar con un animal de servicio.
- Certificación del veterinario indicando que el animal está al día con sus vacunas y que se encuentra en buen estado de salud.
- 3. Relevo de responsabilidad sobre daños.
- 4. Cumplimiento con el reglamento uniforme que establecido por la institución.

Perdida de la Aprobación

- 1. La Universidad puede remover un animal de servicio aprobado cuando:
- 2. La presencia del animal resulte en una alteración fundamental del programa de la Universidad.
- 3. El animal plantea una amenaza directa a la salud o seguridad de los demás.
- 4. El propietario no cumpla con el proceso determinado por la Universidad para la certificación de su acomodo razonable.

Los propietarios de animales de servicio aprobados son los únicos responsables de cualquier daño a personas o propiedad de la Universidad causado por sus animales.

Áreas Excluidas para Animales de Servicio

La Universidad puede prohibir el uso de animales de servicio en ciertos lugares debido a restricciones de salud y seguridad. Por ejemplo, lugares donde los animales pueden estar en peligro o donde su acceso puede comprometer la integridad de las actividades.

Acceso al Campus y Áreas Restringidas

Un animal de servicio está autorizado a acompañar al propietario en cualquier lugar dentro de las facilidades. Sin embargo, las siguientes excepciones aplican:

• Laboratorios: A menos que la Oficina de Salud y Seguridad determine que no hay peligro para los usuarios o el animal.

Dichos lugares pueden tener productos químicos que podrían ser perjudicial para la salud del animal de

servicio.

Servicios Clínicos: Es necesario garantizar la seguridad, tanto de los empleados como la de todas las

personas (pacientes o no pacientes, etc.) que visitan las instalaciones, para que esta no se vea

comprometida, así como minimizar el riesgo de exponer al animal de servicio a infecciones y

enfermedades.

Otras Áreas De Potencial Peligro: Cualquier salón u oficina con polvo excesivo o maquinaria,

ya que estos lugares pueden representar un peligro para el animal de servicio.

Cuando se determine que la presencia del animal de servicio en una de estas áreas sea de riesgo, se proporcionarán acomodos razonables para asegurar al estudiante el acceso igualitario a la actividad

académica.

La Universidad Central del Caribe se reserva el derecho a modificar esta política, según lo requieran las

circunstancias.

Referencias:

https://www.avma.org/

https://ayudalegalpr.org/resource/animales-de-servicio

https://adata.org/guide/animales-de-servicio-y-animales-de-apoyo-emocional

Desarrollada por: Dr. José Luis Oliver-Sostre

Junio 2023

Aprobada por: Dr. Waleska Crespo Rivera

Julio 2023

NORMAS SOBRE MENORES DE EDAD EN LAS INSTALACIONES

La Institución tiene adoptada una norma sobre la Presencia de Niños en las instalaciones de la Universidad Central del Caribe (UCC), donde se establece que no se podrán traer menores de edad (hijos, nietos, familiares, etc.) a nuestras instalaciones por la seguridad de ellos y dada la naturaleza de esta institución.

En la época de verano en que muchos de los hijos de nuestros empleados y estudiantes estarán libres de sus estudios, deseamos reafirmar esta posición de no traer niños ni jóvenes menores de 18 años a la UCC. Esta norma esta vigente y es aplicable a todas las áreas de la institución incluyendo todas las oficinas administrativas, de servicio, laboratorios y áreas académicas de la Universidad.

Solamente se harán las siguientes excepciones:

- Menores de edad que sirven como pacientes estandarizados en el Multidisciplinary Clinical Skills Training Center (MDCSTC) para proceso de aprendizaje.
- 2. Menores de edad que vengan a recibir algún tratamiento médico en los centros de servicio de la UCC.
- 3. Actividades de día familiar donde se indique claramente que es permitido menores de edad y según sea especificado acorde el tipo de actividad.
- Situaciones de emergencia con notificación y autorización previa de Presidencia (ej: cierre de escuelas, cuidos o campamentos de niños por emergencia o paso de huracán u otro desastre natural)

El no tener centro o persona para cuido no será aceptado como razón para el no cumplimiento de esta norma. Los padres que estén experimentando esta situación deberán hacer los arreglos necesarios para atender la misma sin exponer a sus hijos y a la institución a situaciones que pudiéramos lamentar.

Agradeceré observen el fiel cumplimiento de la misma.

INSTITUTIONAL POLICY REGARDING POSSESSION, USE, OR ILLEGAL DISTRIBUTION OF PSYCHOACTIVE SUBSTANCES BY STUDENTS AND EMPLOYEES OF UNIVERSIDAD CENTRAL DEL CARIBE

The Universidad Central del Caribe, for purposes of tackling by all possible and available means, the possession, use, and illegal distribution of psychoactive substances by all its students and employees;

Aware of the adverse repercussions that the use of such substances has in the Puerto Rican community in general, and for those they could produce in our institutional community with respect to the teaching practice, the performance, and academic progress of the teaching process, as well as in all tasks and services comprised in our duty.

We resolve to establish, publish, apply, and give full effect to the Rules and Regulations about Institutional Policy Regarding the Possession, Use, and/or Illegal Distribution of Psychoactive Substances by the Universidad Central del Caribe community promulgated herein.

These Rules and Regulations constitute an amendment to the previous one denominated Rules and Regulations Regarding Institutional Policy Regarding the Possession, Use and/or Illegal Distribution of Controlled Substances and Alcoholic Beverages by Students and Employees of the Universidad Central del Caribe.

LEGAL BASIS

The following Rules and Regulations are based on all provisions contained in the laws of Puerto Rico, as well as in federal laws, regulating the use, possession, and/or illegal distribution of psychoactive substances. The Universidad Central del Caribe acknowledges the full vitality and strength of such statutes and, for purposes of affirmatively responding to the commitment of providing to its students and employees community a clear statement of its institutional policy to that respect, it advances the following list of laws dealing with this issue:

1. Controlled Substances Act of Puerto Rico, 24 L.P.R.A. Section 2101 - 2607, as amended.

This statute defines and establishes controls and/or prohibits the use, possession, handling, and/or distribution of different psychoactive substances and establishes penalties for infringements of this law.

Special emphasis is provided to Section 2411 (a) about the Introduction of Drugs in Schools and Institutions which establishes duplication of penalties for first-time offenders and triplication of penalties in the event of recidivism, to persons who introduce, dispense, distribute, administer, possess or convey with the purpose of distribution, sell, give away or in any way deliver any of the controlled substances included therein. Simple penalties may reach a penalty of imprisonment of up to a fixed term of ninetynine (99) years, a \$100,000 fine, or both.

- 2. The applicable provisions and amendments of the Drug Abuse Act of the federal government, specifically 845(a) of the 21 U.S.C.A., the federal counterpart of 24 LPRA section 2411(a).
- 3. The Drug-Free Schools and Communities Act, 20 U.S.C.A. section 3224, makes mandatory the promulgation of Rules and Regulations about the subject matter by each education institution receiving funds from the federal government.
- 4. The Vehicles and Traffic Act of Puerto Rico, 2000 articles 7.01-7.11 regulates the driving of motor vehicles under the influence (of alcoholic beverages), drugs, or controlled substances.
 - a. Article 7.02 establishes the illegality of driving or operating any motor vehicle under the influence (of alcoholic beverages). It also establishes the parameters of alcohol concentration in blood to determine intoxication. To wit: (8) hundredths of one (1) percent (0.8 %), or more as it appears from such level or concentration by a chemical or physical analysis of his/her blood or breath. In the case of truck drivers, school buses, public service heavy vehicles, and heavy motor vehicles, the foregoing provisions will be applicable when alcohol contents in the driver's blood is (2) hundredths of one (1) percent (.02%) or more.

- b. Article 7.03 establishes the unlawfulness of driving a motor vehicle under the influence of any narcotic drug, marihuana, stimulant or depressant substance, or any chemical or controlled substance, to the degree that it impairs him/her to drive safely or with physical and real control of a motor vehicle on public roads.
- c. **Article 7.04** establishes the penalties for the indicated violations. This section establishes fines, imprisonment, or both at the court's discretion, in addition to the suspension of the driver's license, increased according to the recidivism of the offense.
- d. Article 7.09 provides it is considered that any person driving a vehicle, motor vehicle or heavy motor vehicle, has consented to submit himself/herself to a chemical or physical analysis of his/her blood, breath, or any bodily fluid, for the purposes stated herein, as well as to an initial breath test to be performed at the site of the arrest by the policeman or any other officer authorized by law.
- e. **Article 7.11** thereby establishes mechanisms to follow when a driver refuses to undergo such analysis.
- f. The fact that a person accused of infringing the provisions of this article had or may have had a right to use such drug or controlled substance according to the law would not constitute a defense against an accusation of having infringed this article.

APPLICABILITY

The contents on this institutional policy is applicable to all students, employees, executives, and professionals of the Universidad Central del Caribe.

DEFINITIONS

The terms used in the Regulation are defined as follow:

Universidad Central del Caribe:

	Universidad Central del Caribe (U.C.C), or any other place considered an extension of the classroom, or where official acts are held or sponsored by the University or where the latter is participating.
University Community:	students officially enrolled at the Universidad Central del Caribe (U.C.C.), teaching personnel, administrative personnel, employees,

(U.C.C.), teaching personnel, administrative personnel, employees, professionals, visitors, and other persons who regularly interact with the U.C.C.

departments, grounds, and installations under the control of the

Official Activities or sponsored by the Universidad Central del Caribe

Psychoactive Substances:

those activities authorized by the U.C.C. held within or outside its premises.

Alcoholic beverages: any non-medicine beverage or prescribed by a physician containing alcohol.

any psychoactive substance considered illegal by the laws of the

Commonwealth of Puerto Rico, not used for medical purposes.

Attachment #1 includes a description of the most used psychoactive

substances and their effects.

Employee: any person exercising, performing, or carrying out any art,

occupation, employment or task and receives wages, salary, a daily wage or other type of compensation, from the Universidad Central

del Caribe for part-time or full-time tasks.

Professional Services: those persons who are retained for a rendering of a specialized

service during a certain period of time.

Student: any person officially enrolled either full-time or part-time at the

U.C.C.

Day: the "day" term that appears throughout this document should be

interpreted as a business day.

INSTITUTIONAL POLICY

The Universidad Central del Caribe considers that the manufacturing, distribution, supply, possession, the illegal use of psychoactive substances (it includes the use of non-prescribed medication) are prejudicial practices to the best institutional interest and shall not be allowed, regardless of the hierarchy or position of the persons who may be involved. It will not be allowed that any person whatsoever remains in his place of work or study (including clinical rotations) while under the effects of any psychoactive substance.

Any person breaching the prohibitions established herein will be subject to the disciplinary measures specified in the Program below, the General Rules and Regulations of Students (if he is a student), and in any administrative proceedings established for these purposes. In addition, every employee working on a Project sponsored by federal funds, as well as every student who received financial aid from the federal government, must comply with this policy as a condition for his employment in the Project or participation in the financial aid.

The Institution pretends to guarantee, to the extent possible, a work and study environment free from psychoactive substances, that would promote the most adequate and efficient use of university resources. The Universidad Central del Caribe wishes that all its employees and students may cohabit in a healthy environment, free from the influences of psychoactive substances. For these purposes, it maintains a program for the prevention of use and abuse of psychoactive substances, in sync with the applicable laws and regulations. The main objective of the program is to provide the university community with a mechanism to prevent and attend to the use of psychoactive substances.

The Universidad Central del Caribe encourages the whole university community to give full compliance to this policy for an environment free from the use of psychoactive substances, to use the assistance programs, if that were necessary, and to avoid disciplinary measures that would proceed against those persons failing to comply with the policy herein established.

EFFECT

The provisions of this institutional policy have immediate effect. A copy thereof will be delivered to each student and to each employee, keeping the receipt as evidence, and it will be placed on the bulletin boards of our Institution.

PROGRAM FOR PREVENTION OF USE AND ABUSE OF PSYCHOACTIVE SUBSTANCES

The Universidad Central del Caribe is aware that the use and abuse of psychoactive substances are harmful to the well-being and development of the human being. This Institution has a firm commitment to promoting a healthy work and study environment, free from difficulties and limitations that cause the use and abuse of psychoactive substances by students and personnel. The same is due to the serious consequences which represent the use of such substances for the service and adequate execution of the tasks and assignments that the student, employee, or officer, in particular, may render. The effect of the psychoactive substances used at the work or study place may be demonstrated in different ways that could directly affect the safety and quality of the services, productivity, and physical and emotional health of the personnel and the students.

The Universidad Central del Caribe has a Prevention and Integral Health Program, known by its acronym P.P.S.I. This program associated with the Dean of Admissions and Student Affairs Office, through its Counseling Office, carries out a series of activities (chats, workshops, clinics, forum cinema, among others) with the purpose of preventing the illegal use of alcohol and other drugs, violence, and stress. It also promotes student academic progress and personal growth. This program also provides orientation, counseling, and referrals, if necessary, to specialized services for the treatment of use, abuse, and/or dependency on substances, some of which appear in Attachment #2.

The Human Resources Office will orient any employee seeking professional help, referring them to different programs. Employees who are under Medical Insurance coverage will be referred to the Program of Assistance to Employees). The rest of the students will be referred to the following aid programs: Alcoholics Anonymous, Administration of Mental Health Services and Against Addiction (ASSMCA, for its acronym in Spanish), and those appearing in Attachment #2, as applicable.

Every psychoactive substance has been defined as a chemical agent used intentionally to alter mood or behavior and which may cause cognitive disturbances (Campbell, 1996). According to the Diagnostic and Statistics Manual of Mental Disorders, 5th ed., disorders for the consumption of psychoactive substances are: refers to an addiction to the consumption of a substance. For its diagnosis, two of the following criteria must be met, within 12 months:

- Hazardous use.
- Social or interpersonal problems related to consumption.
- Breach of the principal roles for its consumption
- Abstinence syndrome (also for cannabis).
- Tolerance, use of a greater amount of the substance or more time.
- Repeated attempts to stop or control consumption.
- Employ more time than thought in activities related to consumption.
- Having physical or psychological problems with consumption.
- Cease doing other activities as a result of the consumption.

It is classified in three degrees of severity, according to the number of criteria it meets: light (2-3), moderate (4-5), and serious (6 or more).

On the other hand, consumption of psychoactive substances may have risks affecting people's health, such as:

Overdose The inadvertent or deliberate consumption of a dose higher than usual of a

psychoactive substance. It usually entails a serious toxic reaction or could even

cause death.

Tolerance: Needs to consume a greater number of drugs each time to achieve the same

effect. The increased tolerance may also be a precipitating factor in causing

overdose episodes.

Withdrawal syndrome: It refers to a set of signs and symptoms that develop upon abruptly

discontinuing or after a rapid decrease in the dose of a psychoactive drug (that may have been consumed repeatedly for a long period of time or in a high dose or both). For many of the psychoactive substances, a specific withdrawal

syndrome has been identified.

Health deterioration: The abuse of psychoactive substances during a prolonged period of time could

destroy a healthy body and mind. It can lead to the malfunctioning of organs, mental illness, malnourishment, or death, not to seek medical assistance required by diseases or existing injuries. The risk of AIDS, hepatitis, and other diseases increases with the use of injected drugs. In pregnant women, the use and abuse of drugs may threaten the life or health of the baby. The newborn may suffer physical dependence and withdrawal symptoms of the drug or may

be born with serious congenital defects.

Accidents: The use of psychoactive substances affects the operation of the Nervous

System. This entails that all those activities regulated by the operation of the nervous system may be altered. This situation may cause involuntary accidents.

One may feel extremely confident and carelessly take risks.

If the vehicle is being driven you take the risk of injuring or killing others or

yourself.

Legal Problems:

Abusing psychoactive substances is against the law. Offenders (both who experience it as well as those who are engaged in the use of psychoactive

substances) take the risk of having to pay large fines and/or be incarcerated. Certain psychoactive substances may trigger uncontrollable violence, resulting in crimes that are severely penalized by law. Behavior related to the obtention of psychoactive substances may include robberies and even murders thereby increasing the crime index.

Economic Problems:

The continuous use of psychoactive substances may be very expensive, costing hundreds or even thousands of dollars per year. In order to sustain their consumption, many persons recur to crime. Using money to pay for drugs may deprive a family of caring for other needs such as food, clothing, and money for medical expenses.

Personal Problems:

When you begin to need psychoactive substances more than people, intimate relations can be spoiled and friendships lost. You may cease participating in the world, abandon goals and plans, stop growing as a person, not try to constructively resolve problems, and recur to more psychoactive substances as a solution. Discussions and problems related to drug abuse may cause family discussions and mistreatment of other persons.

A. Rules of Conduct

- 1. The following is prohibited at Universidad Central del Caribe:
 - a. The manufacturing, possession, consumption, sale, and distribution of psychoactive substances in any of its facilities or grounds.
 - b. Attendance to class (including clinical workshops) or work area of persons under the influence of psychoactive substances.
 - c. The use of psychoactive substances during student activities.
- 2. Consumption of alcoholic beverages during official activities of the University may be previously approved by the University President or by the person delegated by the latter and it will be governed by the university policy for responsible consumption of alcoholic beverages.
- The university policy for responsible consumption of alcoholic beverages includes the following provisions:
 - Alcoholic beverages will only be served to persons with the minimum age required by state laws.
 - b. Persons delegated by the U.C.C. in charge of official activities will be responsible for enforcing the rules about the consumption of alcoholic beverages at the U.C.C.
 - Members of the university community in charge of official activities will establish the following conditions:
 - (1) Effective measures to supervise the consumption of alcoholic beverages.
 - (2) Prominently offer non-alcoholic beverages, in sufficient amounts (fifty percent) and variety.
 - (3) Offer food proportionately to the number of participants.
 - (4) Discontinue the dispensing of alcoholic beverages at least 30 minutes before the end of the activity.
 - (5) Convince the persons who have drunk alcoholic beverages not to drive motor vehicles. Instead, pertinent arrangements will be made for a sober person to take the affected person to his/her destination.

B. Disciplinary Measures

Disciplinary measures will be applied according to the rules and regulations established regarding the use and abuse of psychoactive substances at the U.C.C. These disciplinary measures shall be applied by the corresponding departments, with employees and students violating the Rules of Conduct.

The Discipline Board will be the Forum to discuss the disciplinary measures regarding the student. The Human Resources office will be the forum to discuss the disciplinary measures regarding the employees.

The person may appeal before the President of the University within the period of fifteen (15) days after receiving the notification. He/She may also appeal the President's decision before the Board of Trustees within the same period of time.

The Board of Trustees will study the evidence presented and may confirm or revoke the decision issued. The Board of Trustees' decision will be final.

The procedures and/or determinations made in compliance with these Rules and Regulations shall be independent of any other legal proceedings which may stem from the same or other facts.

1. First offense-Suspension or Discharge

- The charges and evidence supporting such charges will be informed orally or in writing.
- b. The opportunity for a hearing to deny or accept the charges will be offered.
- c. If he/she is an employee, he/she may be suspended from the oral or written notification of the charges prior to the hearing. If he/she is a student, he/she may be suspended from the University depending on the seriousness of the case.
- d. The person found guilty could be suspended from employment and salary for a period of three business days or terminated, according to the seriousness of the case. If he/she is a student, he/she could be suspended for a determined period of time or expelled.
- e. If the person accepts the charges he could be referred to an appropriate rehabilitation program.
- f. The acceptance and adherence to treatment by the affected person may help him/her keep his/her job or position at the U.C.C.

2. <u>Second offense</u> – Termination or Expulsion

- a. The specific charges, witnesses, and testimonies that would justify the termination or expulsion will be informed in writing.
- b. This person will have the opportunity of a hearing to present witnesses and evidence in his/her favor.
- c. Subject to the seriousness of the charges, criminal proceedings may be initiated under the authority of the laws of the Commonwealth of Puerto Rico.
- d. If the person accepts he/she needs help and submits himself/herself to treatment, he/she could be considered for re-employment by the University, or for re-admission if he is a student.

3. Conviction by a Court of Law

a. It shall be the responsibility of every employee or student of the University who has been convicted by a Court of Law for violation of a statute related to the use and/or selling of drugs, to inform the University in writing of such conviction within the first five (5) days after such conviction.

- b. The convicted student or employee will present a certified copy of such conviction before the Students Dean Office (if a student) or the Director of the Human Resources Office (if an employee) of the Universidad Central del Caribe and/or will sign an authorization allowing the U.C.C. to obtain a copy thereof, within the next ten (10) days after having received the notice pursuant to the foregoing paragraph.
- c. The University will take disciplinary or rehabilitating action with respect to the employee or student within thirty (30) days, after having been notified of the conviction. The action taken may result in suspension or expulsion, according to the seriousness of the case.
- d. The University will help the student or employee at all times in getting professional aid if he/she so wishes.

C. Safety Measures

An employee or student who does not notify the conviction of the University may be suspended, terminated, or expelled, depending on the situation and seriousness of the case.

- 1. Strict safety measures will be implemented to tackle issues related to the use and abuse of psychoactive substances at the U.C.C.
- 2. The U.C.C. reserves the right to perform preventive searches when there is reasonable doubt to verify the possession of psychoactive substances among members of the university community and its visitors. This includes the right to request that a person voluntarily submits himself/herself to a test to detect the presence of psychoactive substances.
- 3. The U.C.C. may use other means to detect the existence or possession of drugs, such as trained dogs, electronic devices, and any other means approved for these purposes.

D. Education, Counseling, Treatment, and Rehabilitation

The U.C.C. is committed to avoiding the use of psychoactive substances by all possible means. To that effect, it has established an education and counseling component within its prevention programs.

1. Education

- a. A copy of the Institutional Policy Regarding Possession, Use, or Illegal Distribution of Psychoactive Substances by Students and Employees of the Universidad Central del Caribe will be delivered to each employee and to each student.
- b. Educational chats will be presented to inform the university community regarding:
 - (1) The Institutional Policy related to this matter.
 - (2) The risks involved in using drugs.
- c. The Institution offers information to students about the harmful effects of psychoactive substances as part of courses within the curriculum.
- 2. Counseling
- 3. Any student using psychoactive substances may request and obtain counseling from the Orientation Office of the Dean for Student Affairs Office.
- 4. Treatment and Rehabilitation

The Orientation Office of the Student Affairs Dean Office and the Human Resources Office maintain information about agencies and organizations offering treatment and rehabilitation services for persons with psychoactive substances issues. These offices may, if they so accept, refer any student or employee to one of these agencies or organizations.

E. Policy Review

The Universidad Central del Caribe will carry out a review, every two (2) years, of the Program to: (a) determine its effectiveness and implement whatever changes necessary; and ensure that the sanctions developed are consistently applied.

Revised and Nereida Díaz Rodríguez, Ph.D.

Amended by: July 20, 1999

Approved by: Nilda Candelario Fernández, MD

President July 23, 1999

Revised and Nereida Díaz Rodríguez, PhD

Amended by: Elizabel De León, MD

Areliz Quiñones, MHS Lymari Candelario, MEd

Gloria Sepúlveda Carmen Rivera February 28, 2007

Approved by: José Ginel Rodríguez, MD

Acting President July 20, 2007

Revised: Omar Pérez Del Pilar, Ph.D

July, 2022

Reviewed on: Dr. José Luis Oliver-Sostre

June 2023

References

American Association of Psychology. <u>Diagnostic and Statistical Manual of Mental Disorders</u>, 5th ed. Washington, DC: Author.

Campbell, Robert. J. (1996). Psychiatric Dictionary, 7th ed. New York: Oxford University Press.

Control Substances Law of Puerto Rico. Act No. 4 of June 23, 1971, as amended until August 1, 2002.

Motor Vehicles Law of Puerto Rico, 2000

National Institute on Drug Abuse (NIDA) is part of the <u>National Institutes of Health (NIH)</u>, a component of the <u>U.S.</u> <u>Department of Health and Human Services</u>. Series of Research Reports

34 CFR Part 86. Complying with the Drug Free Schools and Campuses Regulations: A Guide for University and Colleges Administrators.

Most used Psychoactive Substances and their effects

Drugs can be classified as legal and illegal: the first ones include alcohol, tobacco (with some legal restrictions), medical prescription drugs, certain domestic or industrial use dissolvent; and the rest of them are illegal.

Psychoactive substances are also classified according to their chemical and property structure and the effects they produce on the Central Nervous System (CNS). This classification <u>is</u> used by NIDA and it establishes categories due to the **depressor** or stimulant effect of the psychoactive substance on the CNS.

The most common **depressors** are alcohol, sedatives, narcotics, anxiolytics, cannabis, as well as volatile dissolvent. **Stimulants** or **sympathomimetics** are cocaine, amphetamines, and methamphetamines, hallucinogens, and minor stimulants where xanthine is included.

Following is a list of the more psychoactive substances and their effects.

Depressors:

Type of Drug	Examples	Form of Use	Appearance	Some consequences
Cannabis	Marihuana ("toque, mota, hierba, chora, grifa, chuby, churro, flexo, bacha, juanita, material") Hashish (Hash)	Smoked Can be consumed in cookies or cakes	Herb of similar smell to burnt oregano Gummy substance of a black-coffee color	Possible damage to Nervous System due to substances used for its cultivation. Discouragement syndrome. Reduction of the creative and intellectual ability In men, infertility. In women, disorders in the ovulatory rhythm. Cancer risk factor 8 times higher than tobacco.
Tranquilizers	Valium, Lexotan, Ativan, Rohypnol, Rivotril ("pingas, pastas, chochos")	• Oral	Pills and tables	Sexual promiscuity. Lack of inhibition of aggressive impulses. Deterioration in the thinking, attention, and memory processes. Unfavorable changes in school and social attitudes.
Alcohol	Beer, Wine, Tequila, Liquor	• Drunk	• Liquid	Halt and/or deterioration of the physical, mental, and emotional growth.

Type of Drug	Examples	Form of Use	Appearance	Some consequences
Natural origin opiates (narcotics)	Heroin ("chiva, heróica")	Injection: subcutaneous, intravenous, intramuscular Smoked Inhaled	Stone or yellowish or white dust.	Lack of inhibition of aggressive and sexual impulses. High risk of accidents while driving. Social isolation. Deterioration in thinking, attention, and memory. Drastic changes in school and social attitudes. Violent suppression syndromes. During suppression or during noconsumption periods moods centered on dissatisfaction and
				frustration. Exposure to catching infectious diseases (hepatitis, AIDS).
Synthetic and analogous origin opiates (narcotics)	 Codeine Nuvain Darvon Temgesic Demerol Fentanil 	OralIntravenous	 Syrups Pills, capsules Injectable solutions 	 High addictive level. Apetite suppression. Malnourishment. Apathy before life. Deterioration of thinking ability. Aggression during suppression. School, work, and social problems.
Barbiturate	Pentobarbital, Secobarbital. ("pastas, nembus, muñecas, barbas")	• Oral	Red, yellow or blue color capsules.	 High risk of intoxication crossed with alcohol. Risk of respiratory failure. Apathy toward daily activities.

Type of Drug	Examples	Form of Use	Appearance	Some consequences
Inhalable	Tonsol (toncho), Thinner, Resistol (chemo, pegue,FZ-10, flan, activo, vainilla) Gasoline Amilo nitrite (poppels, rush)	• Inhaled	Transparent liquid of penetrating odor Yellow glue	 Aggressive behavior. Depression of the organism's immune system. Intense suppression syndrome. Experience of frustration and depressive moods. Deterioration of mental processes. Isolation.

Stimulants:

Type of Drug	Examples	Form of use	Appearance Some consequ		
Cocaine	• Cocaine (coca, snow White,	Inhaled, smoked, injected	White yellowish dust	Paranoid fantasies.	
	"perico") • Crack (base,	- ,	Smoked	White stones or crystals with sweet smell	Deterioration in the thinking process.
				Sexual impotence.	
				• Insecurity appears.	
				Slow deterioration of learning ability.	
				Cardiovascular alterations.Brain activity alterations.	
Natural origin hallucinogens	Mushrooms (small birds, "san isidros,"	Oral	Similar to mushroomsAmorphous	High risk of psychotic breakages.	
	demolishes) • Peyote (head,		tubercle	Exposure to accidents.	
	buttons)			Deterioration in the thinking ability.	
				Apathy toward daily activities.	
				Isolation.	
				 Aggression bursts. 	
				Unfavorable changes in school, family and social attitudes.	
				• Existential emptiness.	
Synthetic and analogous origin hallucinogens	• LSD (acids, micropoints)	Oral (in sugar, stickers or pieces of paper)	Liquid and colorless	Aggression bursts. (Especially with	

Type of Drug	Examples	Form of use	Appearance	Some consequences
	PCP (angel dust)	Injected	Liquid, capsules, pills or crystalline white dust	the consumption of PCP).
Amphetamines and their analogous	Ionamin, Esbelcaps, Ritalin, Tonoate dospan (anfetas)	Oral	Pills or capsules	Decrease in the attention, concentration, and attention span.
Methamphetamines and their analogous	Ionamin, Esbelcaps, Ritalin, Tonoate dospan ("anfetas")	Oral	Pills or capsules	Decrease in the attention, concentration, and attention span.
Methamphetamines and their analogous	Cristal (cristina) Ice, Crank	OralInjectedInhaled	Pills, White dust or similar to pieces of wax.	Generate a very intense dependence. Organic complications such as damage to the liver, lung, kidney, brain irritation, loss of weight, malnourishment, immunologic system deficiencies.

References:

The National Institute on Drug Abuse (NIDA) is part of the <u>National Institutes of Health (NIH)</u>, a component of the <u>U.S. Department of Health and Human Services</u>. Series of Research Reports

Attachment #2 Directory of Services for Problems of Use	e and Abuse of Psychoact	tive Substances

DIRECTORY OF RESOURCES

CENTER	SERVICES	TELEPHONE NO.	TOWN
ADMINISTRACIÓN DE SERVICIOS DE SALUD MENTAL Y CONTRA LA ADICCIÓN (ASSMCA), OFICINA CENTRAL (ADMINISTRACIÓN)	The telephone service for citizens with the intention to assist, orient, and refer to treatment due to problems of use and abuse of alcohol, tobacco, and other drugs: Orientation Line is (787) 758-7211. PAS line is a toll free number, offering services through number 1 (800) 981-0023, 24 hours a day, 7 days a week. For beneficiaries of the Health Plan of the Commonwealth of Puerto Rico and who receive mental health, drug, or alcohol services, should contact toll free number 1 (800) 596-4747 for appointments and orientation. http://www.gobierno.pr/ASSMCA/inicio/	Switchboard (787) 763-7575 Orientation (787) 758-7211, PAS Line 1 (800) 981-0023 (787) 765-5895	Hato Rey
(ASSMCA), CENTRO DE SALUD MENTAL COMUNITARIO DE MAYAGÜEZ	They offer services for youngsters with mental health problems and for use and/or abuse of substances.	787) 833-0663 (787) 834-8690 (787) 832-7856	Mayagüez
(ASSMCA), CENTRO DESINTOXICACIÓN PONCE	Detoxification with a duration of 14 to 21 days, group and individual therapies, medical services, infirmary, counseling, social worker services, psychological services, and recreation therapy.	(787) 840-6530 (787) 840-6935	Ponce
(ASSMCA), CENTRO DESINTOXICACIÓN SAN JUAN	Detoxification with a duration of 14 to 21 days, group and individual therapies, medical services, infirmary, counseling, social worker services, psychological services, and recreation therapy.	(787) 751-4014 (787) 764-5643	Río Piedras
(ASSMCA), CENTRO DE TRATAMIENTO CON METADONA AGUADILLA	Patients being assisted must be over 21 years old, masculine or feminine and who have been using heroin or opium-derived drugs. The medication or substance known as methadone is used. It offers psychiatric services, psychological evaluation, orientation, individual and group therapies, recreational therapy, medication, if necessary, and samples of toxicological urine.	(787) 891-2360 (787) 891-2352 (787) 882-4382	Aguadilla
(ASSMCA), CENTRO DE TRATAMIENTO CON METADONA CAGUAS	Patients being assisted must be over 21 years old, masculine or feminine and who have been using heroin or opium-derived drugs. The medication or substance known as methadone is used. It offers psychiatric services, psychological evaluation, orientation, individual and group therapies, recreational therapy, medication, if necessary, and samples of toxicological urine.	(787) 745-0410 (787) 745-0410	Caguas
(ASSMCA), CENTRO DE TRATAMIENTO CON METADONA BAYAMÓN	Patients being assisted must be over 21 years old, masculine or feminine and who have been using heroin or opium-derived drugs. The medication or substance known as methadone is used. It offers psychiatric services, psychological evaluation, orientation, individual and group therapies, recreational therapy, medication, if necessary, and samples of toxicological urine.	(787) 786-4370 (787) 778-0699	Bayamón
(ASSMCA), UNIDAD DE EMERGENCIA PARA ALCOHOLISMO, CENTRO MÉDICO	Alcohol treatment services. Persons can go directly to the unit to request the services and may be referred. The first step is to request an appointment for evaluation. Cases are evaluated by a doctor on duty and eligibility is determined therein. ASSMCA has a second alcoholism Unit at Hogar Intermedio de Gurabo.	(787) 763-5305 (787) 763-7521 (787) 763-2480	San Juan
CASA ISMAEL, INC.	Substances abuse treatment program. It offers services to men and women.	(787) 795-7009 (787) 795-4015, (787) 784-5040	Toa Baja
CENTRO CRISTIANO YO ME LEVANTARÉ, INC.	Residential treatment program for men (16 months).	(787) 797-5754 (787) 797-5754	Bayamón
CENTRO CRISTO ES MI FORTALEZA	Residential treatment for men over 18 years old. 12 months and 3 months follow- up treatment for drugs and alcohol problems.	(787) 266-0862	Yabucoa

CENTRO DE AMOR EL ELIÓN, INC.	Residential treatment center for persons with psychoactive substance problems.	(787) 788-3335 (787) 275-2150	Cataño
CENTRO DE MEDICINA CONDUCTUAL MANATÍ	Hospitalization for detoxification, drugs and alcohol treatments, the population in general.	(787) 854-3700, Ext. 1438 (787) 621-3700 (Switchboard)	Manatí
CENTRO DE ORIENTACIÓN Y REHABILITACIÓN PARA DROGADICTOS Y ALCOHÓLICOS (CORDA), INC.	Non-profit Christian agency. Residential treatment is offered for adult men with drug and alcohol use problems.	(787) 285-0578 (787) 850-6100	Humacao
CENTRO UNIVERSITARIO DE SERVICIOS PSICOLÓGICOS, (CUSEP) DE LA UNIVERSIDAD DE PUERTO RICO	Psychological and psychometric evaluations are performed, crisis intervention. Individual, family, couples, games, and group psychotherapy therapies are offered.	(787) 764-0000 (Switchboard UPR), Ext. 3545	San Juan
CENTRO KODESH DE HOMBRES	Treatment for drugs and alcohol abuse of 12 to 18 months long. Capacity for 30 beds, it has social workers and counselors.	(787) 855-1470 (787) 509-5409	Vega Baja
CENTRO LATINOAMERICANO DE ENFERMEDADES DE TRANSMISIÓN SEXUAL (CLETS)	Doctors, infirmary, laboratory, pharmacy, epidemiology, education to the community, training about the handling and prevention of diseases for health professionals and other disciplines, orientation and test to detect venereal diseases.	787) 754-8118, (787) 754-8124 Ext. 258 (787) 754-8119 (787) 754-8127, (787) 754- 8128	Río Piedras
CENTRO MADRE DOMINGA, CASA DE BELÉN, INC.	Treatment and Detox for women 18 to 40 years old. Does not have custody in effect or legal pressure by the court. The admission will take into account if the participant is studying or working and if she has the intention to do it. Women needs are taken care of from an approach integrating her children, partner, and other supporting relatives to her recovery.	(787) 290-3627	Ponce
CENTRO MISIONERO DE JESUCRISTO, INC.	Residential for boys over 18 years old. Primary medical services (if necessary doctor provides medication). Cultural activities, case management, rescue, orientation, prevention about the use and abuse of drugs. Philosophical therapy about rules, good manners therapies, support therapies, occupational therapies, follow up therapy after the resident concludes treatment.	(787) 792-8958	Guaynabo
CENTRO MUJER Y SALUD DEL RECINTO DE CIENCIAS MÉDICAS DE LA UNIVERSIDAD DE PUERTO RICO	The offer medical services specialized in women health.	(787) 758-2525, Ext. 2813 (787) 764 3707 (787) 753-0090	Río Piedras
CENTRO TRANSFORMACIÓN	Non-profit agency. Residential for men over 21 years old. Residential treatment for drugs and alcohol problems, with duration of up to 24 months.	(787) 879-2556	Arecibo
CIUDAD DE JEHOVÁ	Non-profit Christian agency. Residential for men over 18 years old. Treatment for drugs and alcohol problems.	(787) 730-9295	Bayamón
CLÍNICA DR. RICARDO J. SOLER RAMÍREZ	Help center for alcohol and controlled substances dependent.	787) 782-4405 (787) 782-1600	Río Piedras
CLÍNICA PSICOTERAPÉUTICA ESPECIALIZADA EN ADICCIONES	Private agency for general public in mental health and addiction areas. Outpatient treatment for drug and alcohol use problems with multidisciplinary approach. Extended Schedule.	(787) 785-3448 (787) 778-2868	Bayamón
FUNDACIÓN UPENS, INC. (CENTRO HOGAR EL CAMINO) (OFICINA CENTRAL)	Non-profit Christian agency. Residential for men over 18 years. Treatment for drugs and alcohol problems. The Foundation has treatment centers in Vega Baja	(787) 807-5952 (787) 807-6457	Vega Baja

	and in Bayamón they have the women residential. Interested persons must contact the Main Office in Vega Baja.	(787) 855-4706	
HELPING HANDS CENTER	Residential treatment for alcoholic or addict men. Treatment for 15 months by legal pressure. Capacity for 40 beds, social worker, treatment technician, case treatment, chaplain and volunteers.	(787) 780-1194 (787) 798-7341	Bayamón
HOGAR ALBERGUE R.U.T.H.	Program for substance abuse treatment. Serves as an emergency shelter. Referrals to housing are made and they have a case manager, among other services.	(787) 805-1141 (787) 805-3235 (787) 805-1141	Mayagüez
INSTITUTO PSICOTERAPÉUTICO DE PUERTO RICO (BAYAMÓN)	Private agency for general public which offers specialized services in mental health conditions, drugs and alcohol use. <u>bayamon@inspirapr.com</u>	(787) 995-2700 (787) 995-2701	Bayamón,

^{*}You can come by the Counseling Office at the Dean of Students Affairs Office to review a comprehensive directory.

NO SMOKING INSTITUTIONAL POLICY BY STUDENTS, EMPLOYEES, AND VISITORS

EXPOSITION OF MOTIVES

The Universidad Central del Caribe, with the purpose of tackling by all possible and available, means smoking in its physical facilities;

Aware of the adverse repercussions that smoking has in the Puerto Rican community in general, and for those that could be produced in our institutional community regarding the teaching practice, the performance, and achievement of the teaching process, as well as all tasks and services which constitutes our duty;

That it has been scientifically proven that inhaling cigarette or vapor smoke, directly or indirectly, is prejudicial to the smoker's health, his/her family, and his co-workers and that the smoking habit is one of the underlying factors in the incidence, among others of chronic lung diseases;

We resolve to establish, publish, apply, and give full enforcement to the No Smoking Institutional Policy for the community of the Universidad Central del Caribe promulgated herein.

APPLICABILITY

The contents of this Institutional Policy apply to all students and employees of Universidad Central del Caribe.

INSTITUTIONAL POLICY

The Universidad Central del Caribe considers that smoking is a prejudicial practice to the best institutional interests and it will not be allowed, regardless of the hierarchy or position of the persons who may become involved. No person whatsoever will be allowed to infringe this prohibition. Any person infringing the prohibitions established herein shall be subject to the disciplinary measures specified in the rules and regulations in effect, and in any whatever administrative proceedings established for these purposes.

The Institution pretends to guarantee, to the extent possible, a working and study environment free from tobacco use, which promotes the most adequate and efficient use of university resources. Universidad Central del Caribe wishes that all its employees and students may cohabit together in a healthy environment.

The Universidad Central del Caribe encourages the university community to give full compliance to this policy for a smoke-free environment, to use help programs if that were necessary, and avoid the disciplinary measures that would proceed against those persons failing to comply with the policy established herein.

EFFECT

The provisions of this institutional policy have immediate effect. A copy thereof shall be delivered to each student and to each employee, and it will be placed on the bulletin boards of our Institution.

DEFINITIONS

The terms used in the Policy are defined as follow:

1. **Universidad Central del Caribe**: departments, grounds, and installations under the control of the Universidad Central del Caribe (U.C.C), or any other place considered an extension of the classroom, or where official acts are held or sponsored by the University or where the latter is participating.

- 2. **University Community**: students officially enrolled at Universidad Central del Caribe (U.C.C.), teaching personnel, administrative personnel, employees, visitors, and other persons regularly interacting with the U.C.C.
- 3. Official or sponsored activities by the Universidad Central del Caribe: those activities authorized by the U.C.C. held within or outside its premises.
- 4. **Corresponding Deans**: The Dean of Students with respect to the students, Dean of Medicine with respect to the Faculty, Dean of Health and Technologies Sciences, and Dean of Administration with respect to non-teaching employees.
- 5. **Employee**: any person who receives wages, salary, a daily wage, or any other form of compensation from the Universidad Central del Caribe for part-time or full-time tasks. It includes every candidate for employment at the Universidad Central del Caribe.
- 6. **Smoking**: The use of cigarettes, cigars, smoking pipes, vapers, or other means using tobacco or analogous material.

RULES OF CONDUCT

- 1. Employees, students or visitors are not allowed to smoke (including vapers) at Universidad Central del Caribe or any of the premises around the Department of Health of Puerto Rico buildings, and the affiliates.
- To those employees and students presenting difficulties in discontinuing the smoking habit will be encouraged to participate in those programs available in the community and through the internal programs established to help control this use.

DISCIPLINARY MEASURES

Disciplinary measures shall be used in relation to the violations of the university community to the policy established at the Universidad Central del Caribe (U.C.C.). These disciplinary measures will be applied by the corresponding divisions, with employees and students who have incurred in violations of the Rules of Conduct.

The Discipline Board (Students General Rules and Regulations) will be the Forum to discuss disciplinary measures with respect to the student. The Human Resources Office will be the forum to discuss disciplinary measures with respect to the employees.

The person may appeal before the President of the University within the period of fifteen (15) days after the notification is received. He/She may also appeal the President's decision before the Board of Trustees within the same period of time.

The Board of Trustees will study the evidence presented and may confirm or revoke the decision issued. The Board of Trustees' decision will be final.

The procedures and/or determinations made in compliance with this Policy, will be independent from any other legal proceedings which stem from the same or other facts.

- A. For the student, the faculty member, and the employee
 - 1. First offense This entails a verbal reprimand by the Dean of Students, if he/she is a student; Human Resources Office if he/she is an employee; or by the Dean of Medicine, or Dean of Professions allied to Health and Technology if he/she is a member of the faculty.
 - 2. Second offense This entails a written reprimand by the corresponding deans as indicated in paragraph 1.

3. Third offense — The student, faculty member, or employee will be summoned by the corresponding dean, for an orientation about the consequences of his/her conduct in the event of persisting in the violation of the policy established. The Students General Rules and Regulations, the Faculty Rules and Regulations, and the Personnel Rules and Regulations, as the case may be, will be taken into consideration, as well as any other regulations in effect, or which may be issued according to this Policy.

B. For the visitor

1. In cases of visitors infringing this policy, the complaint will be channeled through the Security Office. The security guards will have the responsibility of watching over the compliance of the rules.

The security guard on duty will submit a report about the incident to the Dean of Administration. Said report will include, to the extent possible, information about the person and a description of the incident.

REVIEW OF THE POLICY

The Universidad Central del Caribe will perform a periodical review of this Policy to: (a) determine its effectiveness and to implement whatever changes necessary; and (b) ensure that the sanctions developed are consistently put in practice.

Approved by: Nilda Candelario Fernández, MD

President July 23, 1999

Revised by: Omar Pérez Del Pilar, PhD

July 2022

Reviewed: Dr. José Luis Oliver-Sostre June 2023

ABOUT THE RIGHT TO BE INFORMED TO ALERT ABOUT CRIMINAL INCIDENCE AND SAFETY ON THE PREMISES OF UNIVERSIDAD CENTRAL DEL CARIBE TO STUDENTS AND EMPLOYEES

Universidad Central del Caribe Institutional Policy about the Right to be Informed and to Alert about Criminal Incidence and Safety on the Premises to Students and Employees.

EXPOSITION OF MOTIVES

The Universidad Central del Caribe is aware of the repercussions of criminal incidence and the personal safety of the Puerto Rican community in general, and for those that could be produced in our institutional community with respect to the teaching practice, the performance, and achievement of the teaching process, as well as in all tasks and services that constitute our duty;

We resolve to establish, publish, and give full effect to the Institutional Policy about the Right to be Informed and Alert about Criminal Incidence and the Safety on the Premises of Universidad Central del Caribe to Students and Employees promulgated herein.

LEGAL BASIS

The following policy is based on all provisions contained in the laws of Puerto Rico, as well as in federal laws, related to crime and the safety of each citizen. The Universidad Central del Caribe acknowledges the vitality and strength of such statutes.

In particular, it responds to Public Law 101-542, "The Student Right-to-Know and Campus Security Act" as amended by Public Law 102-26, "The Higher Education Technical Amendments Act of 1991", of the federal government.

APPLICABILITY

The contents of this Institutional Policy apply to all students and employees of the Universidad Central del Caribe.

INSTITUTIONAL POLICY

The Institution intends to guarantee, to the extent possible, a working and study environment that promotes the most adequate and efficient use of university resources. Universidad Central del Caribe wishes that all its employees and students may cohabit in a healthy environment according to the applicable laws and regulations. The main objective is to provide the university community a mechanism to prevent and attend to personal safety.

Any person violating the prohibitions established herein shall be subject to the specific disciplinary measures that appear below in the Students General Rules and Regulations (if he/she is a student), and in the University Rules and Regulations (if he/she is an employee), and in any other administrative proceedings established for these purposes.

The Universidad Central del Caribe encourages the university community to give full compliance to this policy, and to avoid the disciplinary measures that would proceed against those persons failing to comply with the policy established herein.

EFFECT

The provisions of this institutional policy have immediate effect. A copy thereof shall be delivered to each student and to each employee, and it will be placed on the bulletin boards of our Institution.

CRIME PREVENTION AND PERSONAL SECURITY PROGRAM

A. Definitions

The terms used in the Regulation are defined as follows:

- Universidad Central del Caribe: departments, grounds, and installations under the control of the Universidad Central del Caribe (U.C.C), or any other place considered an extension of the classroom, or where official acts are held or sponsored by the University or where the latter is participating.
- 2. **University Community**: students officially enrolled at Universidad Central del Caribe (U.C.C.), teaching personnel, administrative personnel, employees, visitors, and other persons regularly interacting with the U.C.C.
- 3. Official or sponsored activities by the Universidad Central del Caribe: those activities authorized by the U.C.C. held inside or outside its premises.
- 4. **Employee**: any person who receives wages, salary, a daily wage, or any other form of compensation from the Universidad Central del Caribe for part-time or full-time tasks.
- 5. **Student**: any person officially enrolled either part-time or full-time at the U.C.C.
- 6. **Day**: the term "day" that appears throughout this document must be interpreted as a business day.
- 7. **Felonies**: It refers to homicide, rape, robbery, aggravated assault, law infringement about us of alcoholic beverages, drug use violations, possession of firearms, illegal appropriation.

B. Provisions

Universidad Central del Caribe, aiming to create a safe and crime-free environment, has performed the following actions:

- 1. Procedures and mechanisms for any person to inform any criminal or emergency action that takes place at the facilities
 - a. The Universidad Central del Caribe has guards' posts at different points of the University premises. The guard on duty will handle any situation that attempts against the security and any complaint informed to him. If necessary, he will contact the State Police for the corresponding immediate action. He will also render to the Dean of Administration a report regarding any event. Such report will have, at least, the following information about the incident:
 - 1) Date
 - 2) Time
 - 3) Place
 - 4) Affected persons
 - 5) Other persons who were present
 - 6) A detailed description
 - 7) Actions taken and their outcome
 - b. Hospital Universitario Dr. Ramón Ruiz Arnau has a procedure similar to the foregoing. However, the guard goes to the Hospital Health and Security Office and sends copy to the Dean of Administration.

- 1. Safety proceedings and access to physical facilities.
- a. The Universidad Central del Caribe has a guard system at the Biomedical Sciences Building that takes care of both the inside part and the surroundings of the facilities.
- b. It has a guard system that surveils the lobby and the surroundings of Casa de Salud.
- c. Has an efficient lighting system inside its facilities and the surroundings thereof.
- d. Has an alarm system that indicates if any person enters or exits the facilities through non-surveilled doors at the Biomedical Sciences Building.
- e. Has a registration system to write down any person who enters and exits the Biomedical Sciences Building after regular business hours.
- f. Dr. Ramón Ruiz Arnau University Hospital has a 24-hour surveillance system with 38 guards and a Security Director. After business hours the entrance is only through the main lobby and through the Emergency Room. There is a security guards posts at those entrances.
- 3. Applicability of security measures
- a. The Dean of Administration will proceed accordingly on each case in particular as he may be informed. He will perform those processes he deems convenient for the resolution of the incident.
- b. The President of Universidad Central del Caribe may recur to the necessary forums to resolve incidents occurring at the Biomedical Sciences Building and its surroundings.
- c. The HURRA Executive Director may recur to the necessary forums to resolve incidents occurring at the Hospital.
- d. The persons mentioned in paragraphs a, b, and c may recur to the forums necessary, jointly, when they deem it convenient.
- 4. Disclosure of security information
- a. Annually, we offer chats to new students about the Institutional Policy on the Student's Right to Be Informed and to Alert about Criminal Incidence and Safety on the Premises of Universidad Central del Caribe to Students and Employees. Also, a copy of this policy is handed and explained to them.
- b. The Director of the Human Resources Office orients each new employee about the Institutional Policy on the Student's Right to Be Informed and to Alert about Criminal Incidence and Safety on the Premises of Universidad Central del Caribe to Students and Employees and delivers them a copy thereof.
- c. Every person is made aware of the individual responsibility of his/her safety and that of his/her peers.
- 5. The Institution provides the University Community with statistical information related to the incidence of violations to safety on its premises. (See Chart 1).

C. Disciplinary Measures

Any employee or student that is charged or accused of any of the offenses as defined (Section A.6) will be opened a file that will have all documentation related to the case. Employees' files will be safeguarded by the Dean of Students.

The corresponding institutional disciplinary measures will be applied to any employee or student who is convicted by a court of justice, for any of the felonies identified.

The Discipline Board (Students General Rules and Regulations) will be the Forum to discuss disciplinary measures with respect to the student. The U.C.C. Board of Deans will be the forum to discuss disciplinary measures with respect to the employees.

The person may appeal before the President of the University within the period of fifteen (15) days after the notification is received. He/She may also appeal the President's decision before the Board of Trustees within the same period of time.

The Board of Trustees will study the evidence presented and may confirm or revoke the decision issued. The Board of Trustees' decision will be final.

The procedures and/or determinations made in compliance with this Rules and Regulations will be independent from any other legal proceedings which stem from the same or other facts.

D. Review of the Policy

The Universidad Central del Caribe will perform a by-yearly review of this policy to determine its effectiveness and to implement whatever changes necessary.

Revised and Nereida Díaz Rodríguez, Ph.D

Amended by: June 20, 2003

Approved by: Nilda Candelario Fernández, MD

President June 23, 2003

Revised: Omar Pérez Del Pilar

July 2022

Reviewed on: Dr. José Luis Oliver-Sostre

June 2023

Universidad Central del Caribe

Chart 1 Campus Crime and Security Report

CRIMINAL OFFENSE $Murder/non-negligent\ manslaughter$ Forcible sex offenses Non-forcible sex offenses Robbery Aggravated assault Burglary Motor vehicle theft Arson Negligent manslaughter Liquor law violations Drug law/abuse violations Weapons: carrying, possessing, etc.

INSTITUTIONAL POLICY REGARDING PREVENTION OF SEXUAL HARASSMENT OF THE UNIVERSIDAD CENTRAL DEL CARIBE

EXPOSITION OF MOTIVES

The Universidad Central del Caribe is aware of the adverse repercussions that sexual harassment has on the Puerto Rican community in general, and for those that would be produced in our institutional community regarding the teaching practice, the performance and achievement of the teaching process, as well as in all duties and services which constitute our duty;

In acknowledging that sexual harassment constitutes a repeated form of discrimination based on sex. Aware of the explicit manner in which the Letter of Rights of the Constitution of the Commonwealth of Puerto Rico forbids such discrimination as a safeguard to the inviolability precept of the human being's dignity. For purposes of strongly tackling by all possible and available means the sexual harassment incidents in the community constituted by all its students and employees;

We resolve to establish, publish, apply, and give full effect to the Universidad Central del Caribe Institutional Policy about the Prevention of Sexual Harassment promulgated herein.

LEGAL BASIS

The policy is based on all provisions contained in the laws of Puerto Rico, applicable local and federal jurisprudence. The Universidad Central del Caribe acknowledges the full vitality and strength of such statutes and, affirmatively responds to the commitment provided to its community of students and employees with a clear statement of its institutional policy in that respect.

In particular, this Institutional Policy responds to Title VII of the Federal Law of Civil Rights of 1964. It also responds to Title IX of the Federal Law of Elementary/Middle Education of 1972. As to its employer aspect, this policy responds to the so-called contents in Article 11 of the Sexual Harassment Law of Puerto Rico, Law Number 17 of April 22, 1988, 29 LPRA sec. 155 (i).

APPLICABILITY

The contents of this Institutional Policy apply to all students and employees of Universidad Central del Caribe.

INSTITUTIONAL POLICY

The Universidad Central del Caribe considers that sexual harassment is prejudicial to the best institutional interests and it shall not be allowed, regardless of the hierarchy or position of the persons who may become involved. No person whatsoever will be allowed to infringe this prohibition.

Any person infringing the prohibitions established herein shall be subject to the specific disciplinary measures that appear below in the Students General Rules and Regulations (if he/she is a student), and in any administrative proceedings established for these purposes.

The Institution intends to guarantee, to the extent possible, a working and study environment free from sexual harassment, which promotes the most adequate and efficient use of university resources. Universidad Central del Caribe wishes that all its employees and students may cohabit in a healthy environment and free from sexual harassment.

The Universidad Central del Caribe encourages the university community to give full compliance to this Policy and to avoid the disciplinary measures that would proceed against those persons failing to comply with the policy established herein.

EFFECT

The provisions of this institutional policy have immediate effect. A copy thereof shall be delivered to each student and to each employee, and it will be placed on the bulletin boards of our Institution.

PROVISIONS

A. Definitions

The terms used in the Rules and Regulations are defined as follows:

- 1. <u>Official or sponsored activities by the Universidad Central del Caribe</u>: those activities authorized by the U.C.C. held inside or outside its premises.
- 2. <u>University Community</u>: students officially enrolled at Universidad Central del Caribe (U.C.C.), teaching personnel, administrative personnel, employees, visitors, and other persons regularly interacting with the U.C.C.
- 3. <u>Day</u>: For procedural purposes, the term "day" that appears throughout this document must be interpreted as a business day.
- 4. <u>Employee</u>: any person who receives wages, salary, a daily wage, or any other form of compensation from the Universidad Central del Caribe for part time or full-time tasks. It includes any candidate for employment at the Universidad Central del Caribe.
- 5. Sexual Harassment: advances of a sexual nature, request for sexual favors and any verbal or physical behavior of a sexual nature when (1) the submission to such behavior is performed explicitly or implicitly as a condition for the employment or the individual's academic success, (2) the submission or rejection of such behavior by an individual is used as the basis for the evaluation to make employment decisions or of an academic nature and which affect the individual, or (3) that such behavior has the purpose or effect of unreasonably interfering with the work performance or execution at work or academic performance of the individual or creating an intimidating, hostile, and offensive environment.
- 6. <u>Supervisor</u>: any person exercising some control or whose recommendation is considered for the contracting, classification, termination, promotion, transfer, fixing of compensation or about the schedule, place or work conditions or about tasks or duties an employee or group of employees perform or may perform or about any other terms or work condition, or any person who day by day performs supervision tasks.
- 7. <u>Universidad Central del Caribe</u>: departments, grounds, and installations under the control of the Universidad Central del Caribe (U.C.C), or any other place considered an extension of the classroom, or where official acts are held or sponsored by the University or where the latter is participating.

B. Rules of Conduct

- 1. The following is prohibited at Universidad Central del Caribe:
 - a. Sexual harassment addressed to other persons.
 - b. Consensual love relationships between the supervisor and the supervised or between the teacher and the student, while there are relationships of supervisor/supervised and professor/student.
 - c. Offering sexual favors aiming to obtain preferential treatment.
 - d. Carrying out actions against a person for having reported sexual harassment.
 - e. Falsely accusing other persons of sexual harassment.

C. Procedures

The Discipline Board (Students General Rules and Regulations) shall be the forum to consider the disciplinary measures with respect to the student. The Human Resources Office shall be the forum to discuss disciplinary measures with respect to employees.

1. Filing of Complaint

The prejudiced person will inform in writing the charges and evidence supporting his/her imputation to the Assistant Dean of Students if he/she is a student, or to the Dean of Administration if he/she is an employee.

The corresponding dean will process the complaint received to the Dean of Students, in case he/she is a student, or to the Human Resources Office, in case he/she is an employee.

The corresponding administrator or determined board shall take the corresponding decision within 30 days.

2. Appeal

The person may appeal before the President within a period of fifteen (15) days after the notification is received from the pertinent Board. He/She may also appeal the President's decision before the Board of Trustees within (15) days after receipt of the President's notification.

The Board of Trustees will study the evidence presented and may confirm or revoke the decision issued. The Board of Trustees' decision will be final.

The proceedings and/or determinations made in compliance with this Regulation shall be of independent from any other legal proceedings which may stem from the same or other facts.

D. Disciplinary Measures

These disciplinary measures will be applied by the corresponding departments, with employees and students who incur violations of the Rules of Conduct. The corresponding confidentiality will be maintained during the proceedings to be carried out and with the documents generated.

1. First offense - Suspension

- a. The corresponding Board will inform about the charges and evidence to sustain such charges in writing or verbally.
- b. A hearing to discuss the complaint will be scheduled 15 days in advance. The defendant will be entitled to be represented by an attorney and to present evidence in his/her favor.
- c. Depending on the seriousness of the imputed charges, if an employee, he/she could be suspended with salary during the ongoing proceedings, and if a student, he/she could be suspended from the University.
- d. Any person found guilty could be temporarily or indefinitely suspended from employment and salary or terminated, according to the seriousness of the case. If he/she is a student, he/she could be suspended for a determined period of time or expelled.
- e. If the person agrees, he/she may be referred to an adequate rehabilitation program.
- f. The acceptance of the treatment by the affected person could help him/her to keep his/her employment and position at the U.C.C.
- 2. Second offense Termination or Expulsion

- a. The specific charges, witnesses, and testimonies that would justify the termination or expulsion will be informed in writing.
- b. This person will have the opportunity to a hearing to present witnesses and evidence in his/her favor.
- c. Depending on the seriousness of the charges, criminal proceedings could be initiated under the authority of the laws of the Commonwealth of Puerto Rico.
- d. If the person accepts, he/she needs help and submits to treatment, he/she could be considered for re-employment by the University or re-entering if he/she is a student.

F. Program Review

The Universidad Central del Caribe will perform a periodical review of this Policy to: (a) determine its effectiveness and to implement any changes necessary; and (b) ensure that sanctions developed are consistently enforced.

Approved: Nilda Candelario Fernández, MD

President July 20, 1999

Revised: Omar Pérez Del Pilar, Ph.D

July 2022

Reviewed on: Dr. José Luis Oliver-Sostre

June 2023

NORMAS Y PROCEDIMIENTOS PARA ATENDER ALEGADAS VIOLACIONES A LAS DISPOSICIONES DEL TÍTULO IX

Introducción

Estas normas y procedimientos se emiten en armonía con la política institucional para el cumplimiento con las disposiciones del Título IX aprobada por la Junta de Síndicos de la Universidad Central del Caribe. Al aprobar dicha política, la Junta reconoció la responsabilidad institucional de atender querellas formales en casos en los que se aleguen violaciones a las disposiciones del Título IX. Para cumplir con esta responsabilidad se establecen estas normas y procedimientos.

I. Base Legal

El 6 de mayo de 2020, el Departamento de Educación federal promulgó una nueva reglamentación que tuvo el efecto de reenfocar el alcance del Título IX, en particular en lo que tiene que con qué tipo de conducta constituye "hostigamiento sexual" bajo el Título IX y cuándo la respuesta o falta de respuesta institucional podría ser considerada como inconsistente con la nueva reglamentación y, en consecuencia, constituir una potencial transgresión de la Ley de Derechos Civiles de 1964.

Estas normas y procedimientos se promulgan en virtud de la autoridad conferida a la Presidenta de la Universidad por la Junta de Síndicos en la reglamentación interna de la Universidad. Están enmarcadas en la política de la Universidad para el cumplimiento con las disposiciones del Título IX y las leyes federales y estatales aplicables.

II. Alcance

Las normas y procedimientos que se describen en este documento normativo aplican a toda la comunidad universitaria incluyendo a todos/as los/as supervisores/as, empleados/as, profesores/as y estudiantes de la Universidad en todos los niveles, disponiéndose que a los fines de este artículo se considerará a aquellas personas que estén dentro del alcance del control de la Universidad. Todas estas personas tendrán la responsabilidad de observar estas normas y procedimientos y estarán sujetas a ser investigadas en casos en los que se aleguen violaciones a las disposiciones del Título IX, según se define en la política institucional.

Las protecciones que brinda el Título IX se extienden a todos los programas o actividades educativas de la Universidad, según definidos en este documento normativo, se realicen dentro o fuera del campus universitario.

III. Propósito

Este documento normativo tiene el propósito de establecer las normas y procedimientos de la Universidad para informar y atender casos en los que se aleguen violaciones a las disposiciones del Título IX. Estas normas y procedimientos promoverán el mejor interés de la Universidad y ayudarán a proteger la reputación, la integridad, los derechos y el bienestar de toda la comunidad universitaria.

IV. Definiciones

Para los fines de este documento, los siguientes términos tendrán el significado que a continuación se expresa:

- 4.1 **Acecho** Es un patrón de conducta dirigido a una persona específica que tenga el efecto de causar en una persona razonable temor por su seguridad o la seguridad de otros o que le provoque angustias emocionales.
- 4.2 **Agresión sexual** Cualquier delito que cumpla la definición de violación, actos lascivos, incesto o violación técnica, según definidos en el Programa Uniforme de Información de Delitos del Buró Federal de Investigaciones.
- 4.3 **Angustia emocional** Se refiere a un sufrimiento o angustia mental significativo que puede o no requerir tratamiento o consejería profesional.
- 4.4 **Ambiente hostil** Se trata de conducta constitutiva de hostigamiento sexual de una naturaleza severa, generalizada y objetivamente ofensiva, que que tenga la consecuencia de negar en la práctica a una persona, sea estudiante o empleado, el acceso en igualdad de condiciones a las oportunidades o actividades académicas o las condiciones o beneficios de su empleo y que contribuye a crear un ambiente educativo o laboral intimidante, amenazante o abusivo en términos concretos.
- 4.5 **Consentimiento** Es la acción de manifestar libre y voluntariamente deseo personal de llevar a cabo una acción o un acto. La voluntariedad del consentimiento se afecta cuando la persona está bajo los efectos de drogas, alcohol o narcóticos, no importa los haya tomado por sí o se los haya suministrado otra persona con o sin su conocimiento
- 4.6 Coordinador Institucional de Título IX Es el/la funcionario/a que tiene la responsabilidad de velar por el cumplimiento de las disposiciones del Título IX de las enmiendas a la Ley de Educación Superior federal. El/La Coordinador /a Institucional de Título IX trabaja junto y asesora, a los/las Coordinadores/as Auxiliares de Título IX.
- 4.7 **Coordinador/a Auxiliar de Título IX** Es el/la funcionario/a designado/a en la Universidad que tiene la responsabilidad de velar por el cumplimiento con las disposiciones del Título IX, implantar los programas de adiestramientos requeridos por la reglamentación y contribuye y participa del proceso de las investigaciones y determinaciones preliminares de las querellas formales que puedan presentarse por alegadas violaciones a las disposiciones del Título IX.
- 4.8 **Empleado/a-** Toda persona que trabaja para la Universidad mediante contrato, con o sin compensación por ello, incluyendo a los aspirantes a empleo. Para efectos de la protección que se confiere mediante la Ley, el término empleado se interpretará en la forma más amplia posible.
- 4.9 **Estándar de prueba** El estándar de prueba para adjudicar una querella formal donde se alegue alguna violación a las disposiciones del Título IX es el de preponderancia de la prueba.
- 4.10 **Estudiante** Toda persona matriculada en cualquier curso o programa que ofrece la Universidad, médicos residentes, así como todo solicitante a ingreso.
- 4.11 Hostigamiento sexual Es una de las formas en que se manifiesta el discrimen por razón de género contra la mujer o el hombre, atentando contra su dignidad como ser humano. Consiste en cualquier tipo de acercamiento de naturaleza sexual no deseado. Puede tratarse, además, de requerimientos de favores sexuales y cualquier otra conducta verbal o física de naturaleza sexual, incluyendo el envio de mensajes electrónicos de contenido sexual.

- 4.12 **Identidad de género** Se refiere a la manera en la que se identifica la persona, como se reconoce a sí misma, en cuanto al género que puede corresponder o no a su sexo biológico o asignado en su nacimiento. A los fines de alcanzar los propósitos dispuestos en este estatuto, esta definición será interpretada tan ampliamente como sea necesario para extender sus beneficios a todo ciudadano expuesto a un episodio o patrón de discrimen.
- 4.13 **Oficial examinador/a** Abogado/a autorizado/a a ejercer la profesión por el Tribunal Supremo de Puerto Rico designado/a para dirigir los procedimientos formales para adjudicar una querella formal conforme disponen estas normas y procedimientos
- 4.14 **Oficial de Recursos Humanos** El/La Oficial de Recursos Humanos de la Universidad.
- 4.15 **Orientación sexual** Es la capacidad de cada persona de sentir una atracción emocional, afectiva o sexual por personas de un género diferente al suyo, o de su mismo género, o de más de un género. A los fines de alcanzar los propósitos dispuestos en este estatuto, esta definición será interpretada tan ampliamente como sea necesario para extender sus beneficios a todo ciudadano expuesto a un episodio o patrón de discrimen.
- 4.16 **Parte querellada-** Persona a quien se le imputa la violación a este Reglamento.
- 4.17 **Parte querellante** Persona que alega ha sido objeto de una violación de alguna disposición del Título IX.
- 4.18 **Patrón de conducta** Son dos o más actos, incluyendo, pero sin limitarse a, los actos en los que el acosador directa o indirectamente o a través de terceros, por cualquier acción, procedimiento, dispositivo o medio sigue, monitorea, observa, vigila, amenaza o se comunica con una persona o interfiere con la propiedad de la persona.
- 4.19 **Persona razonable** Es una persona que en circunstancias similares actuaría de manera similar a la de la persona que presenta una querella formal por alegadas violaciones a las disposiciones del Título IX.
- 4.20 **Presidente/a** El(la) Presidente(a) de la Universidad Central del Caribe.
- 4.21 **Profesor/a-** Incluye todos los miembros de la facultad de la Universidad.
- 4.22 **Programa o actividad educativa** Se refiere a programas, actividades, entornos y facilidades sobre las cuales la Universidad ejerce un control sustancial con relación a la persona querellada y al contexto en el que se produce la conducta constitutiva de hostigamiento sexual.
- 4.23 Querella formal Es un documento escrito presentado por una persona querellante en donde se alega una violación de las disposiciones de Título IX contra un querellado y por medio del cual se solicita que la Universidad investigue la alegación y donde se declara que, al momento de presentar la querella formal, la persona querellante estaba participando o deseando participar de una programa o actividad educativa, según se define en este documento normativo. El término "documento escrito" se refiere a cualquier documento o envío electrónico que contenga la firma física o digital de la persona querellante o que de otra manera indique que la persona querellante es la persona que presenta la querella formal.
- 4.24 **Rebeldía** Estado procesal del que siendo parte en una querella formal no acude al llamamiento que formalmente le hace el/la Oficial Examinador/a o deja incumplidas las órdenes de éste/ésta.

- 4.25 Supervisor/a- Toda persona que ejerce algún control o cuya recomendación sea considerada para la contratación, clasificación, despido, ascenso, traslado, fijación de compensación o de horario, lugar o condiciones de trabajo o sobre tareas o funciones que desempeña o pueda desempeñar un empleado o grupo de empleados o sobre cualquier otro término o condiciones de empleo, o cualquier persona que día a día lleve a cabo tareas de supervisión.
- 4.26 **Título IX** Son las disposiciones de las enmiendas a la Ley de Educación Superior federal que ofrecen protección contra el discrimen o el acoso en cualquier actividad académica, educativa, extracurricular, atlética y cualquier otro programa, actividad o empleo ofrecido por una institución educativa que reciba fondos federales al margen de dónde se lleve a cabo la actividad, dentro o fuera del campus, siempre y cuando se trate de una actividad auspiciada o controlada por la Universidad y que la actividad ocurra en territorio los Estados Unidos de America.
- 4.27 **Unidad académica** Se refiere a las diferentes entidades que conforman la Universidad de Central del Caribe, es decir el Decanato de Medicina y el Decanato de Profesiones Aliadas a la Salud y Tecnologías.
- 4.28 **Universidad** El sistema de la Universidad Central de Caribe.
- 4.29 Violencia en una relación Es cualquier acto violento cometido por una persona que está o ha estado en una relación de naturaleza social, romántica o íntima con la víctima. La existencia de esta relación se determinará a base de las alegaciones de la parte querellante considerando la duración de la relación, el tipo de relación y la frecuencia de los encuentros entre las personas involucradas. La conducta violenta incluye, pero no se limita a, abuso sexual o físico y la amenaza de ser objeto de tales abusos. Este tipo de acto violento no incluye las conductas definidas como violencia doméstica.
- 4.30 Violencia doméstica- La violencia doméstica es un patrón de comportamiento en el cual la pareja o ex –pareja utiliza la fuerza física y/o sexual, la coacción, las amenazas, la intimidación, el aislamiento, el abuso emocional o económico para controlar a su pareja. Se manifiesta como maltrato físico, sexual o psicológico, restricción de la libertad, la utilización de estrategias para ejercer poder y control contra la pareja, entre otros.
- V. Procedimientos para presentar y atender alegaciones de violación a las disposiciones del Título IX
 - 3.1 Inicio del procedimiento
 - 3.1.1 Cualquier estudiante o empleado/a que entienda haber sido objeto de alguna violación a las disposiciones del Título IX en su centro de estudio o trabajo, tiene la prerrogativa de presentar una querella formal ante el/la Investigador/a designado/a por la Universidad. El procedimiento se inicia mediante la presentación de la querella formal (Ver Anejo A). En el caso de los estudiantes, podrán presentar la querella formal en el Decanato de Estudiantes o ante el Coordinador Auxiliar de Título IX de la Universidad, según loestimen conveniente, sin embargo, esto implica un cambio del funcionario designado a llevar a cabo la investigación inicial.

La querella formal se puede presentar por cualquier medio tangible sea en papel o electrónico y tiene que contener una declaración de que la persona querellante estaba participando o deseando participar de una programa o actividad educativa o laboral bajo el control sustancial de la Universidad y, además, tiene contener firma física o digital de la persona querellante de modo que se pueda establecer que la persona querellante es la persona que presenta la querella formal. Toda querella formal que cumpla con estos requisitos de forma será atendida de acuerdo a estas normas y procedimientos aun cuando no se haya utilizado el formulario dispuesto para esos fines. (Ver Anejo A).

La querella formal tendrá que presentarse dentro del término jurisdiccional de ciento ochenta (180) días calendario, a partir de la fecha en que ocurrieron los hechos. Al recibo de la querella formal, el/la Investigador/a designado/a abrirá un expediente del caso y le notificará al/a la Coordinador/a Auxiliar de Título IX de la Universidad.

- 3.1.2 La querella formal deberá contener una relación de la conducta en que alegamente incurrió la parte querellada y deberá ser firmada por el/la querellante.
- 3.1.3 Investigador/a. Persona que, en primera instancia, está encargada de llevar a cabo la investigación preliminar de la querella formal.
 - a. Cuando una querella formal sea presentada por un/a empleado/a docente o no docente y por un/a estudiante de la Universidad contra miembros de la facultad, empleado docente o no docente, contratista y visitante, el/la Investigador/a será el Oficial de Recursos Humanos de la Universidad o la persona designada por éste/ésta.
 - b. En la querella formal presentada por un/a estudiante contra un/a estudiante, el/la Investigador/a será el/la Decano/a de Estudiantes donde ocurren los hechos o la persona designada por éste/ésta.

3.2 Investigación

- 3.2.1 El/La Investigador/a realizará una investigación confidencial de las alegaciones contando en todo momento con la asistencia del/de la Coordinador/a Auxiliar de Título IX de la Universidad. Esta investigación deberá iniciarse en un período de tiempo no mayor de veinte (20) días laborables, a partir de la fecha en que se recibió la querella formal.
 - 3.2.1.1 Prerrogativas y derechos de las partes en el proceso de investigación inicial
 - a. Las partes tendrán igual oportunidad de presentar prueba que sirva para sustentar sus alegaciones en esta fase.
 - b. Durante esta fase, las partes podrán recopilar prueba y hacerla llegar al/ a la Investigador/a mientras no se haya completado el informe inicial.
 - c. Las partes podrán contar con la asesoría de una persona de su selección, que puede ser un abogado. Sin embargo, en esta fase de los procedimientos no se reconoce el derecho de estar representado por abogado, su función en esta fase se limita a la asesoría.
 - d. Las partes serán notificadas por escrito de cualquier citación para entrevistas o reuniones.
 - e. La parte querellada y su asesor deberán ser notificados de la con, al menos, diez (10) días antes de ser citada para su entrevista inicial de cualquier prueba que haya sido presentada en su contra.

- f. La parte querellada y su asesor deberán ser notificados de la con, al menos, diez (10) días antes de ser citada para su entrevista inicial de un resumen de la evidencia que obre en su contra.
- g. La parte querellada deberá entregar en esta fase toda la prueba que obre en su poder que sirva para sustentar sus alegaciones.
- h. La parte querellada tiene la obligación de mantener informado/a al/ a la Investigador/a sobre cualquier otra prueba que descubra o pretenda utilizar en la fase formal de estos procedimientos y la pondrá a su disposición.
- i. Se podrán consolidar querellas que surjan de los mismos hechos.
- j. En el proceso de investigación no son de aplicación las Reglas de Procedimiento Civil ni las de Evidencia.
- 3.2.2 El/La Investigador/a deberá presentar un informe confidencial de la investigación dentro de un término prorrogable de cuarenta y cinco (45) días laborables, a partir de la fecha de inicio de la investigación. Los días de receso académico y administrativo, de cierre por causa mayor o días feriados no contarán para efectos del término de cuarenta y cinco (45) días laborables de este inciso.
- 3.2.3 El informe será remitido con copia del expediente del caso a el/la Coordinador/a Institucional de Título IX. Estos funcionarios evaluarán el mismo y remitirán sus recomendaciones al Decano/a de la unidad académica donde se presentó la querella formal dentro de los veinte (20) días laborables siguientes al recibo del informe.

3.3 Derecho a solicitar inhibición

- 3.3.1 Cualquiera de las partes tiene la prerrogativa de solicitar la inhibición de la persona asignada a realizar la investigación, de modo que se designe un/a nuevo/a Investigador/a, cuando la parte solicitante de la inhibición entienda que existe conflicto de intereses, parcialidad o cualquier otra situación que atente contra la objetividad e imparcialidad que se requiere en dicha investigación. La solicitud tiene que contener una relación de los fundamentos y de hechos que la justifican (ver anejo C).
- 3.3.2 Esta solicitud se presentará por escrito ante el/la Ejecutivo Principal de la unidad académica, quien luego de escuchar a las partes adjudicará el asunto dentro de cinco (5) días laborables, a partir de la fecha en que recibió la solicitud.
- 3.4 Resolución de la querella formal sin necesidad de vista
 - 3.4.1 Si durante el proceso de investigación la parte querellante, libre y voluntariamente, retira la querella formal, el procedimiento se tendrá por terminado y el caso será archivado (ver anejo B).
 - 3.4.2 Si del informe del/de la Investigador/a se desprende que no existe causa suficiente para creer que se incurrió en alguna violación a las disposiciones del Título IX, así lo hará constar al (a la) Coordinador/a Institucional de Título IX, quien explicará a la parte querellante, las determinaciones de hecho y los fundamentos de derecho que sirven de base a esta conclusión.
 - 3.4.3 El/la Director/a de la unidad académica ratificará el informe del/de la Investigador/a mediante resolución escrita al efecto. Dicha resolución será

notificada a las partes, quien una vez ratificado el informe y notificada la resolución conforme al párrafo anterior, citará por escrito a la parte querellante, para cumplir con lo allí requerido.

- 3.4.4 Si la parte querellante está de acuerdo con esta conclusión, el caso se entenderá como cerrado. Si por el contrario, la parte querellante no está conforme con esta conclusión, tendrá derecho a apelar ante el/la Presidente/a de la Universidad.
- 3.4.5 Métodos alternos de resolución de disputas Mediando el consentimiento voluntario, informado y por escrito de las partes se podrá recurrir a métodos alternos para la resolución de disputas para intentar alcanzar una solución. La persona designada para atender el asunto deberá ser una persona certificada en la aplicación de métodos alternos para la resolución de disputas. Cualquiera de las partes, antes de que se emita una resolución, podrá retirarse del proceso y solicitar que se continúe con el proceso formal de adjudicación de la querella formal. Este proceso no está disponible para casos en los que un empleado ha incurrido en violaciones a las disposiciones del Título IX contra un estudiante.
- 3.4.6 En todos los demás casos, se procederá de conformidad con la sección Vista Administrativa de este documento.

3.5 Vista administrativa

3.5.1 Medidas cautelares

En los casos en que se refiera una querella formal para su adjudicación final a un un/una Oficial Examinador/a, el/la Coordinador/a Institucional de Título IX podría recomendar al/a la Decano/a que se tomen medidas cautelares para paliar el efecto inmediato de la conducta que pueda consituir una violación a las disposiciones del Título IX. Estas medidas pueden incluir, sin limitarse a, suspensiones de empleo y sueldo, suspensiones a estudiantes, prohibición de acceso al campus, retiro de privilegios de acceso al internet, etc.

3.5.2 Designación del/de la Oficial Examinador/a

3.5.2.1 Una vez reciba las recomendaciones del/de la Directora/a de la unidad académica el Coordinador/a Institucional de Título IX, designará a un/una Oficial Examinador/a para atender la querella formal dentro de los próximos diez (10) días laborables.

3.5.3 Notificación de la querella formal

- 3.5.3.1 El/La Oficial Examinador/a notificará a la parte querellada su designación dentro de quince (15) días laborables, a partir de la fecha de haber sido designado/a.
- 3.5.3.2 La notificación se podrá efectuar personalmente con constancia de haberse entregado a la parte querellada o por correo electrónico o correo certificado con acuse de recibo a la dirección postal que conste en los expedientes de la Universidad o a cualquier otra conocida.
- 3.5.3.3 Con la notificación, el/la Oficial Examinador/a acompañará copia

de la querella formal presentada y advertirá a la parte querellada de su derecho a esta representada por abogado o cualquier otro representante de su selección. Advertirá, además, a la parte querellada que de no formular una contestación a la querella formal en el término jurisdiccional de diez (10) días laborables, contados a partir de su notificación o dentro de la prórroga que se le haya concedido, el/la Oficial Examinador/a procederá a señalar y celebrar la vista del caso en rebeldía y a descargar el resto de sus responsabilidades bajo estas normas y procedimientos.

3.5.3.4 De celebrarse la vista en rebeldía, la participación de la parte querellada en la misma estará limitada a presenciar los procedimientos y examinar la evidencia documental o física que se presente en su contra. No se le permitirá a la parte querellada presentar evidencia de clase alguna.

3.5.4 Notificación de la Vista Formal

- 3.5.4.1 El/La Oficial Examinador/a notificará a todas las partes sobre la celebración de la vista administrativa dentro de diez (10) días laborables de haber recibido la contestación a la querella formal presentada por la parte querellada.
- 3.5.4.2 La notificación deberá hacerse con no menos de diez (10) días laborables de antelación a la fecha señalada para la celebración de la vista, la cual deberá celebrarse dentro de un término no mayor de treinta (30) días laborables, a partir de la fecha de recibo de la contestación de la querella formal.
- 3.5.4.3 La notificación incluirá la siguiente información:
 - a. Fecha y hora de la vista
 - b. Lugar de la vista
 - c. Propósito de la vista
 - d. Conveniencia de asistir a la vista y la desventaja de no hacerlo
 - e. Derechos procesales, tales como: derecho a estar representado por un abogado o cualquier otra persona de su selección, interrogar, contrainterrogar y presentar prueba testifical y/o documental.

3.5.5 Solicitud de suspensión de la vista

- 3.5.5.1 El propósito de estas normas y procedimientos es que las querellas presentadas se adjudiquen de manera rápida y eficiente, pero dentro de un marco de justicia y equidad. Por lo anterior, las solicitudes de suspensión de los procedimientos no serán favorecidas.
- 3.5.5.2 Si cualquiera de las partes solicita la suspensión de una vista señalada, deberá presentar por escrito la solicitud al/a la Oficial Examinador/a con, por lo menos, cinco (5) días laborables de anticipación a la fecha de la vista. Copia de la solicitud tiene que notificarse a la otra parte dentro del mismo término. La solicitud tiene que contener los fundamentos que, a juicio de la parte

promovente, justifican que se conceda el remedio solicitado (ver anejo D).

3.5.5.3 La parte que solicita la suspensión de una vista deberá comparecer ante el/la Oficial Examinador/a en la fecha y hora señalada para la celebración de la misma, a menos que con anterioridad haya recibido la notificación del/de la Oficial Examinador/a concediendo la suspensión solicitada. De no haberse concedido la suspensión, el/la Oficial Examinador/a celebrará la vista según había sido citada.

3.5.6 La vista

- 3.5.6.1 En la celebración de la vista, el/la Oficial Examinador/a garantizará a todas las partes lo siguientes derechos, salvo en la eventualidad de que la misma se celebre en rebeldía:
 - a. Asistir a la vista solo, acompañado y/o representado por un abogado o cualquier otro representante de su selección.
 - b. En la vista no aplicarán las Reglas de Procedimiento Civil o Evidencia salvo con carácter supletorio a discreción del/de la Oficial Examinador/a.
 - c. Previo al inicio de la vista, se entregará a la parte querellada cualquier prueba adicional que haya sido presentada luego de concluida la fase de investigación inicial para que la examine. Para esto dispondrá de un tiempo razonable a discreción del/de la Oficial Examinador/a. No se suspenderán vistas por no haber recibido prueba previamente.
 - d. Escuchar toda la prueba testifical y examinar toda la prueba documental que se presente en la vista.
 - e. Interrogar y contrainterrogar los testigos.
 - f. Presentar toda prueba testifical y documental pertinente a la querella formal.
 - g. Tener y presentar como prueba documentos relevantes a la controversia en cuestión que estén bajo la custodia de la Universidad.
- 3.5.6.2 Los procedimientos ante el/la Oficial Examinador/a serán grabados por éste/a.
- 3.5.6.3 El/La Oficial Examinador/a deberá comenzar la vista con un resumen de las de las alegaciones de la querella formal y explicará la forma en que se realizará la vista.
- 3.5.6.4 Durante la vista, el/la Oficial Examinador/a tendrá la autoridad para garantizar que el procedimiento se conduzca en forma decorosa, incluyendo—sin que se interprete como un límite de sus facultades—ordenar a una parte, su abogado, representante o cualquier testigo a guardar silencio y solicitar a cualquier persona que no observe un comportamiento decoroso a abandonar el lugar en que se está celebrando la vista. El ejercicio de las facultades aquí concedidas al/a la Oficial Examinador/a deberá interpretarse y aplicarse de forma tal que se le garantice a las partes el debido

proceso de ley.

- 3.5.6.5 En la vista solo podrán estar presentes las partes, sus representantes y un/a funcionario/a en representación de la unidad académica. El/La Oficial Examinador/a no permitirá la presencia de personas ajenas a los procedimientos, salvo los testigos cuando estén aportando prueba, y cualquier persona que éste/a designe para asistirle.
- 3.5.6.6 La Universidad iniciará la presentación de la prueba en la vista. Terminada la presentación de esta prueba, la parte querellada presentará su prueba. Disponiéndose, sin embargo, que el/la Oficial Examinador/a podrá alterar este orden siempre y cuando lo crea conveniente. El peso de la prueba respecto de todos los elementos relativos a la querella formal incoada corresponderá a la parte querellante. El peso de la prueba respecto a todo elemento de circunstancias atenuantes o de cualquier defensa a los cargos formulados corresponderá a la parte querellada.
- 3.5.6.7 Los testigos que vayan a testificar en la vista, prestarán juramento ante el/la Oficial Examinador/a. Una vez juramentados, se retirarán del salón hasta el momento de prestar su declaración, a menos que las partes estipulen que los testigos, o alguno de ellos, permanezcan en el salón de sesiones mientras declaran otros.
- 3.5.6.8 El/La Oficial Examinador/a emitirá su Resolución dentro del término de cuarenta y cinco (45) días calendario contados a partir de la fecha en que se haya concluido con la celebración de la vista. Dicha Resolución deberá contener, entre otra información, lo siguiente:
 - a. La fecha y el lugar en que se celebró la vista, las partes y/o representantes y testigos que comparecieron a la misma.
 - b. Las alegaciones de la querella formal expuestas en forma clara y concisa.
 - c. Las determinaciones de hecho a base del récord de la vista.
 - d. Las conclusiones de derecho.
 - e. La Resolución a base de las determinaciones de hechos y las conclusiones de derecho.
 - f. Las recomendaciones respecto a las sanciones a imponerse, si aplica.
- 3.5.6.9 Será responsabilidad del/de la Oficial Examinador/a notificar la Resolución al ejecutivo principal de la unidad académica, al/a la Coordinador/a Institucional de Título IX, a las partes y al representante legal de la parte querellada si lo hubiese. La notificación puede hacerse por correo o por correo electrónico.
- 3.5.6.10 Si la querella formal fue adjudicada en favor de la parte querellante, el/la ejecutivo principal notificará al querellado y a su representante legal, si aplica, su determinación, así como las medidas cautelares y las sanciones disciplinarias, así alguna, que se impondrán dentro del término de diez
 - (10) días calendario, por correo certificado con acuse de recibo.

3.5.7 Sanciones disciplinarias

- 3.5.7.1 Las sanciones que podrán imponerse a una persona encontrada incursa en violación a las disposiciones del Título IX son las siguientes:
- 3.5.7.2 Empleados docentes, no docentes y personal docente no universitario
 - a. Amonestación escrita.
 - b. Suspensión de empleo y sueldo por un término que nunca excederá de tres (3) meses.
 - c. Despido se impondrá en consideración al grado de la falta cometida de acuerdo con lo expresado en la Resolución del/de la Oficial Examinador/a.

3.5.7.3 Estudiantes

- a. Suspensión de la Universidad por un término no mayor de un año
- Suspensión por término mayor de un año pero que no exceda dos años.
- c. Expulsión permanente de la Universidad.
- 3.5.7.4 Si al momento de determinarse la sanción la misma conlleva suspensión y el querellado hubiera estado suspendido preventivamente, la suspensión final que se determine comenzará a contar desde la fecha que comenzó la suspensión preventiva.

3.5.8 Apelación

- 3.5.8.1 Cualquiera de las partes que no esté de acuerdo con la determinación final, podrá presentar un escrito de apelación ante el Presidente de la Universidad dentro de los próximos diez (10) días laborables de haber recibido copia de la Resolución. Este término es jurisdiccional.
- 3.5.8.2 El Presidente de la Universidad deberá emitir su decisión dentro de los diez (10) días laborables siguientes a la presentación de la apelación, de emitirse alguna resolución esta será considerada final e inapelable. Si el Presidente dejare de tomar alguna acción con relación a la apelación al cabo de los diez (10) días siguientes a ser presentada, se entenderá que ha sido rechazada de plano.

3.5.9 Contratistas

- 3.5.9.1 En los casos de contratistas, suplidores, invitados o visitantes, la Universidad no será responsable de sus actos salvo que medien las siguientes condiciones:
 - a. que haya sido notificada alguna conducta impropia;
 - b. esté en posición de tomar acción sobre dicha conducta;
 - c. y no tome acción correctiva inmediata y adecuada con relación a la situación;
 - d. en cualquier caso, se tomará en consideración el grado de control que pueda ejercer efectivamente la Universidad

sobre la persona.

3.5.10 Interpretación

3.5.10.1 Las disposiciones de estas normas y procedimientos deberán ser interpretadas en la forma más amplia posible a la luz de sus propósitos y del conjunto de normas que lo componen y en armonía con los fines del Título IX.

VI. Prohibición de represalias

La reglamentación federal prohíbe expresamente que se tomen represalias contra las personas que presenten querellas formales por alegadas violaciones del Título IX. Iniciar un procedimiento disciplinario de acuerdo a la normativa institucional aplicable contra cualquier persona que haya hecho declaraciones o representaciones falsas o de mala fe en un procedimiento bajo este documento normativo no constituye represalia. Sin embargo, una mera determinación de responsabilidad, sin más, en ese procedimiento no necesariamente será considerada suficiente como para establecer que la persona haya hecho declaraciones o representaciones falsas o de mala fe.

VII. Cláusula de separabilidad

Las disposiciones de estas normas y procedimientos son separables entre sí, por lo que la declaración de nulidad de alguna de ellas no afectará a las otras, que mantienen su vigencia y eficacia independientemente de las que hayan sido declaradas nulas.

VIII. Derogación y enmiendas

Estas normas y procedimientos derogan el documento normativo G-0216-043 y cualesquiera otras directrices que estén en conflicto con lo aquí dispuesto. Estas normas y procedimientos pueden ser enmendadas por el Presidente de la Universidad.

IX. Vigencia

Estas normas y procedimientos tendrán vigencia inmediata.

X. Interpretación

La Universidad se reserva el derecho de interpretar el presente cuerpo de reglas de forma compatible con la legislación aplicable, la eficiencia operacional, nuestra misión, visión, filosofía, valores, metas y objetivos profesionales, la productividad, la eficiencia y los mejores intereses institucionales enmarcados en la obligación de esta de prevenir y erradicar la conducta ilegal prohibida por esta política.

XI. Aprobación

Dra. Waleska Crespo Presidente 09/03/2021

Anejos

Título IX



E-mail

coordinador.tituloix@uccaribe.edu Web

http://www.uccaribe.edu/?page_id=5950

estudiante: Decanato de Asuntos Estudiantiles empleado: Oficina de Recursos Humanos visitante: Oficina de Recursos Humanos

Título IX

Coordinador de Título IX

Lic. Emilia Soto, Decana de Administración

Paso 1: La querella puede ser sometida de las siguientes formas Paso 5: El resultado de la investigación es sometido al e-mail: coordinador.tituloix@uccaribe.edu web: http://www.uccaribe.edu/?page_id=5950 oficinas: estudiante - Decanato de Estudiantes

empleado - Oficina de Recursos Humanos visitante - Oficina de Recursos Humanos

Paso 2: La guerella llega de forma confidencial al Coordinador Institucional de Título IX quien la refiere la misma al Coordinador Auxiliar correspondiente.

Paso 3: El Coordinador Auxiliar refiere la querella al Oficial Investigador en los subsiguientes 20 días.

Paso 4: El Oficial Investigador realiza la investigación con la asistencia del Coordinador Auxiliar en los subsiguientes 45 días.

Coordinador Institucional del Título IX

Paso 6: El Coordinador Institucional del Título IX somete el informe final con sus recomendaciones al Decano del querellante en los subsiguientes 20 días.

Paso 7: El Decano ratifica la desición e informa a las partes.

Paso 8: El guerellante ratifica o apela la desición.

Paso 9: La apelación es sometida al Coordinador Institucional de Título IX en los subsiguientes 10 días. Esta apelación es enviada a la Oficina de Presidencia. La desición del presidente será final e inapelable

INSTITUTIONAL POLICY REGARDING STUDENTS CALLED TO ACTIVE DUTY IN THE ARMED FORCES OF THE UNITED STATES OF AMERICA DURING A WAR EFFORT

The Universidad Central del Caribe, in accordance with Institutional regulations and a joint request by the American Universities and Land – Grant Colleges, the American Association of State Colleges and Universities, the American Association of Community and Junior Colleges, the Association of American Universities and the National Association of Independent Colleges and Universities, has established, published and will apply the following Institutional Policy Regarding Students Called to Active Duty in the Armed Forces of the United States of America during a war effort.

An enrolled student of this Institution who is called to active duty in the Armed Forces of the United States of America will receive consideration, to the extent feasible, in relation to tuition, financial assistance and leave of absence.

Article I. Tuition

- A. A student who paid the total amount of tuition in cash will receive a credit equal to the portion of time that he/she was unable to complete in that particular semester. This credit will be applicable to tuition when he/she is readmitted to the Institution.
- B. A student who does not return to the Institution, he/she or his legal representative shall be reimbursed for the monies withheld if he/she paid the total tuition in cash.
- C. A student who paid tuition by means of federal loans (Safford Loan/ GSL, HEAL Loan, etc.) or by means of the federal funds, will be treated according to item A. In addition, the lending institution or the corresponding federal agency will be notified of the situation and actions taken.
- D. A student who paid tuition part in cash and part by federal loans will be treated according to this items \underline{A} and \underline{C} taking in consideration the respective amounts of money involved.

- E. A student who paid tuition by means of a Pell Grant is responsible to pay the Institution for all monies received as reimbursements, since the Institution has to reimburse the Federal Department of Education for the Whole amount of money assigned to the particular students.
- F. A student who made a down payment and who was granted a deferment of payment for the rest of the cost of tuition will: 1. be treated according to item <u>A</u> or, 2. Reimburse the Institution, depending upon the amount of time lapse since classes started.
- G. A student who was granted a deferment of payment and also received federal loans will be treated as in items <u>C</u> and <u>F</u>.
- H. A student, who is readmitted, is responsible to pay any debs to the Institution prior to enrollment.

Article II. Financial Assistance

When readmitted the student's eligibility for financial assistance will be judged by the Institutional document Standards for Satisfactory Academic Progress for Receipt of Title IV Student Financial Assistance.

The eligibility of such student for renewal of financial assistance will be dependent upon his/her academic status; that is, a student in academic probation will be considered as being in financial aid probation and if the student is not removed from academic probation he/she will be suspended from financial assistance eligibility.

A student may be granted a leave of absence for a variety of reasons, including military service. The period of time for which the student has been approved leave shall be excluded from the maximum time frame in which an individual student will be eligible for financial aid.

Article III. Policy Regarding Leave of Absence

A leave of absence can only be granted for one year which must be stated in the official authorization by the institution. The student who is granted an official LOA can neither register for nor attending any course in the medical school program during the LOA period. The student returning from LOA may register at the beginning of the semester depend on the student's academic status at the time the LOA was granted. The student who is granted and LOA for health reasons must furnish written certification, prior to registration, that the original reason for granting the LOA has been remedied." (From Regulations for Student Evaluation and Promotion).

A student called to active duty during a war effort may apply for Leave of Absence (LOA) outside of the time frame contemplated in the regulation. Understanding that a student re-entering civilian life after participating in a military confrontation may require a time period to readjust to the rigors of academic life, Universidad Central del Caribe will extend its allowable time frame for LOA to three years within which the student does not have to apply for readmission. Academic placement will be determined for each individual case by the Committee of Student Evaluation and Promotion of the respective faculty or program, utilizing criteria that protect the student from unnecessary academic risk.

Article IV. Procedures for Unforeseen Situation

Any situation of a student that has not been expressed in this document will be resolved by the Council of Deans of the Institution.

Article V. Procedures for Appeal

A student who is not in agreement with a decision made by the Dean of Administration, the Dean for Academic Affairs, the Dean for Admissions and Student Affairs or the Council of Deans may appeal. He/She will do so, in writing, to the President of Universidad Central del Caribe, indicating the problem and including any documents to support his appeal.

The President will communicate his decision to the student. If his decision is against the student, he/she may appeal to the Board of Trustees; their decision will be final.

Article VI. Amendments

This Institutional Policy may be amended upon recommendations of the Dean for Admissions and Student Affairs, the Dean for Academic Affairs, or the Dean for Administration to the President of the Universidad Central del Caribe. The President will make the final recommendation to the Board of Trustees for their consideration and final decision.

January, 2022

Develop by:

Omar Perez Del Pilar, Ph.D.

Dean of Admissions and Student Affairs

Approve by:

Waleska Crespo-Rivera, DrPH, MHSA

Reviewed on: Dr. José Luis Oliver-Sostre June 2023