POLICY ADDRESSING STUDENT ABUSE AND MISTREATMENT

The mission of the Universidad Central del Caribe (UCC) is to train health professionals who will serve humanity with dedication and compassion, with the highest ethical and moral standards. The UCC is committed to providing a healthy and intellectual environment for all students in the classroom, clinics, laboratories, and throughout their academic experiences. This Policy Addressing Student Abuse and Mistreatment aims to contribute toward the achievement of a professional and collegiate academic environment.

This policy outlines an additional process within the UCC for responding to complaints of student mistreatment. However, it should be considered applicable in relation to other institutional policies. These include, but are not limited to the following policies and procedures:

- UCC General Student Rules and Regulations
- UCC General Regulations
- “Política Institucional sobre la Prevención del Hostigamiento Sexual”

This policy substitutes the previous policy regarding student mistreatment (Institutional Policy Regarding Behavior in the Learning Environment-Student Mistreatment).

UCC has established as policy that student mistreatment or abuse will not be tolerated. Student abuse may be verbal, psychological or physical. It is understood that all faculty, non-teaching personnel, staff and other students will treat students in a professional manner. UCC is committed to the principle of mutual respect. Thus, all students and personnel, regardless of their activity at the Institution, must treat each other in a professional manner under any circumstances.

The relationship between teacher and student should be based on mutual trust and respect. It is the position of UCC that certain behaviors by teachers, housestaff, and other students that could be considered to be abusive and disruptive of the learning process will not be tolerated. Some examples of these behaviors include the following:

- Verbal comments of a derogatory or a demeaning nature that do not contribute to a constructive learning environment
- Physical violence of any nature
- Physical threats or punishments
- Sexual harassment
- Degrading comments or discrimination based on gender, nationality, age, religion, ethnicity, sexual orientation, or physical characteristics
- Grading or threats of grading used to punish a student rather than to objectively evaluate academic or clinical performance
- Attempts at deception concerning credit for the work of others
- Mistreatment in the care of patients
- Request for the performance of personal services
- Requiring the performance of hazardous medical procedures without sound medical indication or without proper protective equipment

It is the contention of UCC that student abuse results in inferior learning; less effective patient care; cynicism; diminished humanitarianism; and persistent psychological problems including feelings of inadequacy and low self-esteem, depression, and frustration. Such outcomes interfere with the practice of medicine and the practice of other health professions; and with the physical and psychological wellbeing of health care providers, their colleagues, and their families.
Definitions

1. **Student Mistreatment**: behavior that shows disrespect for the dignity of others and unreasonably interferes with the learning process. Disrespectful behaviors including abuse, harassment, and discrimination, that are inherently destructive to the learning environment.

2. **Abuse**: unnecessarily harmful, injurious or offensive treatment inflicted by one person upon another\(^1\). Abuse is further defined to be particularly unnecessary or avoidable acts or words of a negative nature inflicted by one person on another person or persons. This includes, but is not limited to verbal (swearing, humiliation), emotional (intentional neglect, a hostile environment), behavioral (creating a hostile environment), sexual (physical or verbal advances, discomforting attempts at "humor"), and physical harassment or assault (threats, harm). To abuse is to treat in a harmful, injurious, or offensive way; to pressure into performing personal services (especially if an evaluative or potentially evaluative relationship exists); to attack in words; to speak insultingly, harshly, and to revile by name calling or speaking unkindly to or about an individual in a contentious manner\(^2\).

3. **Harassment**: is verbal or physical conduct that creates an intimidating, hostile work or learning environment in which submission to such conduct is a condition of continuing one's professional training.

4. **Discrimination**: constitutes those behaviors, actions, interactions, and policies that have an adverse effect because of disparate treatment, disparate impact, or the creation of a hostile or intimidating work or learning environment due to gender, race/ethnicity, age, sexual orientation, religion or other biases. In all considerations, the circumstances surrounding the alleged mistreatment must be taken into consideration especially regarding patient care, which cannot be compromised at the expense of educational goals.

5. **Teacher**: anyone who engages in the teaching process of a student at UCC. This includes and is not limited to faculty members, attendings, residents, community preceptors, and clinical supervisors.

6. **Student**: anyone enrolled, registered, and matriculated as a full time or part time student in any of the academic offerings at the UCC.

7. **Student Abuse Committee (SAC)**: a committee appointed by the President comprising members from the faculty, student body, residents, and the Director of Human Resources. It is charged with the responsibility of making recommendations to the President on cases in which a formal complaint of mistreatment is reported to the Dean of Student Affairs. The SAC is activated when the Dean of Student Affairs is unable to reach a resolution of a formal complaint of a student mistreatment incident.

Policy

The UCC, therefore, opposes all forms of student and staff abuse and advocates educational and institutional policies to identify and effectively eliminate abusive behavior in the learning environment. UCC promotes the following:

- Education of faculty, residents, and students regarding rights and responsibilities
- A safe, nonthreatening mechanism for reporting and evaluating allegations of mistreatment
- The guarantee of rights of privacy and due process
- Appointment of grievance procedures and committees to investigate ongoing disputes
- Establishment of procedures to educate involved parties and punish persistent offenders
- Availability of counseling for all parties involved

\(^1\) JAMA, 1900 (263:527)
\(^2\) AAMC, 2000
• Procedures to evaluate and promote faculty with consideration of their effectiveness in teaching and evaluation
• Involvement of student and resident representatives establishing clear performance goals and evaluation

Procedures to Address an Infraction of this Policy

Students who believe that a violation of this policy has taken place should immediately contact the Dean of Student Affairs or his/her designee. If a complaint is reported to the Dean for Student Affairs or his/her designee, several options may be pursued:

1. The first inquiry can be informal and students may ask that the discussion go no further. An informal record of this interchange, lacking the student's name, (Addendum # 1), should be filed in the central mistreatment file at the Office of the Dean of Student Affairs. Names will not be in this record if the student requests anonymity. Nevertheless, the accused will be notified of the alleged violation.

2. If the complainant wishes to remain anonymous, no formal action will be taken. However, the anonymous complaint will be filed in the Office of Student Affairs and reviewed to monitor for patterns of abuse. All reports of incidents will be held in confidence and will be dealt with expeditiously (Addendum # 1). In an anonymous manner the accused will be notified of the alleged violation.

3. If a formal complaint is reported, the Dean of Student Affairs will discuss the allegations with the consent of the accuser, among all involved parties in attempting to reach resolution. The mediation of the matter may involve contacting the chairperson of the relevant department, administrator, Clerkship or Residency Program Director, clinical supervisor, community preceptor, or other.

MECHANISM OF REPORTING AND INVESTIGATING INCIDENTS OF STUDENT ABUSE

An algorithm outlining the steps to report, investigate and resolve an incident of student mistreatment is included (see Figure A). The system and the plan for its implementation are designed to protect students from retaliation and to protect those charged with abuse from unfair accusations. The names of the students, the reporting individual and the alleged abuser will be held in strict confidence on a need to know basis.

An incident of abuse may be reported by a student or by an individual who witnessed the incident of abuse. An incident can be reported directly to the Dean of Student Affairs. An incident reported to a trusted faculty member, a class officer, a member of academic administration or a close friend may be reported to the Dean for Student Affairs who will evaluate the case. When a faculty is involved in a case of student mistreatment he/she will be notified in writing about the procedures to be conducted. On a need to know basis the Program Coordinator, the Program Director, the Course Director, or the Departmental Chair will also be notified about the procedures to be followed with the complain.

The Dean of Student Affairs will notify the University's legal counsel of the incident, receive necessary advice, and gather information on the incident from the student and from any known witnesses to the incident, and from the accused offender. The communication and interaction involved in this process may lead to resolution of the incident. If the incident is resolved, no further action needs to be taken. The Dean of Student Affairs will file a report describing any documented incident with a committee comprised of members of the faculty, student body, residents and members from administration (named Student Abuse Committee "SAC"). The report of a resolved incident will be anonymous. The Dean of Student Affairs will follow up on the incident 4 to 6 weeks after the report to assure that there has been no retribution.
If the incident is not resolved, the Dean of Student Affairs will consult with the University legal counsel again, gather more information, if needed, and present the case to the SAC. The SAC will review the information and make a recommendation to the President for further action. Disciplinary actions may include but are not limited to:

a) Verbal or written reprimand  
b) Suspension  
c) Dismissal  
d) Possible criminal prosecution if warranted  

In the strictest confidence, the Dean of Student Affairs including information regarding verification and resolution will document all reported incidents of abuse. During a follow-up assessment, 4 to 6 weeks after the process, the Dean of Student Affairs will determine if there has been any retribution to the student.

Once a formal complaint is reported; any faculty member, administrator or university staff will be allowed the due process in accordance with the provisions of the Institutional General Regulations.

Documentation will be forwarded to the SAC, which will compile an annual report on all incidents of abuse or student mistreatment. This annual report will be sent to the University President and kept on file.

In order to promulgate this policy of Student Mistreatment, this document will be posted electronically on the University’s web site, and a copy will be distributed to faculty members, residents, and other individuals who teach students. Additionally, this policy will become part of the institutional policies and regulations.

Prepared and   José Ginel Rodríguez, MD, FAAP  
Submitted by:   Associate Dean of Medicine  
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               August 30, 2002  

Approved by:  Nilda Candelario Fernández, MD  
               President  
               September 9, 2002
REPORT OF ALLEGED STUDENT ABUSE OR MISTREATMENT

Addendum # 1

Name of Student ___________________________ Student Number __________________
Birth date ___/___/____ Telephone _____ - _____ - ______
Address
____________________________________________________________________________________
____________________________________________________________________________________

Name of accused person of alleged student mistreatment: __________________________
Position: __________________________

Nature of alleged offense (include any specific comments or observations that are directly related to the alleged incident, the individuals involved, and the specific location where the incident took place:
____________________________________________________________________________________
____________________________________________________________________________________
____________________________________________________________________________________

Date of Incident: ___/___/___ Date of Report: ___/___/___

I agree to pursue a formal investigation.

☐ Yes. Please, explain:
____________________________________________________________________________________
____________________________________________________________________________________
____________________________________________________________________________________
____________________________________________________________________________________
____________________________________________________________________________________

☐ No. Please, explain:
____________________________________________________________________________________
____________________________________________________________________________________
____________________________________________________________________________________
____________________________________________________________________________________
____________________________________________________________________________________

Student’s Signature____________________________________________
ALGORITHM FOR REPORTING INCIDENTS OF STUDENT ABUSE OR MISTREATMENT

(FIGURE A)

INCIDENT

↓

STUDENT REPORTS TO

Dean of Student Affairs

Trusted faculty member

Friend

REPORTS TO

Dean of Student Affairs

IF NO RESOLUTION

FACILITATES

RESOLUTION

Dean of Student Affairs

gathers information

from student, others, the accused

Confers

with Legal counsel if necessary

gathers more information

DEAN OF STUDENT AFFAIRS REPORTS CASE TO SA COMMITTEE

Reviews information

RECOMMENDATIONS FOR ACTION BY PRESIDENT

In all instances the report from the Dean of Student Affairs will include at least the following:

- a description of the complaint, with pertinent documentation
- channels already pursued to resolve the issue(s)
- results of the investigation